

CONSIDERATIONS AND TECHNIQUES FOR OPTIMAL DATABASE PERFORMANCE

Db2 Night Show 2021-12-10 Jim Bean Cigna Performance & Forensics

Together, all the way."



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Topics

- HW, SW and Currency
- DB Configuration
- Maintenance
- Data Archive and Purge
- SQL
- Indexing
- Flash Storage (SSD)
- Implementation Details
- Q & A and More Information



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- DBMS
- OS
- Server

○ More resources (faster CPU; more memory; etc.)

- Storage
- Costs from lack of currency
 - \circ Security vulnerabilities
 - $\circ\,$ Code defects
 - \circ Support
 - $\,\circ\,$ Inability to use / lack of new features and functionality
 - \circ No beneficial performance improvements
 - More CPUs = more licensing costs (generally)



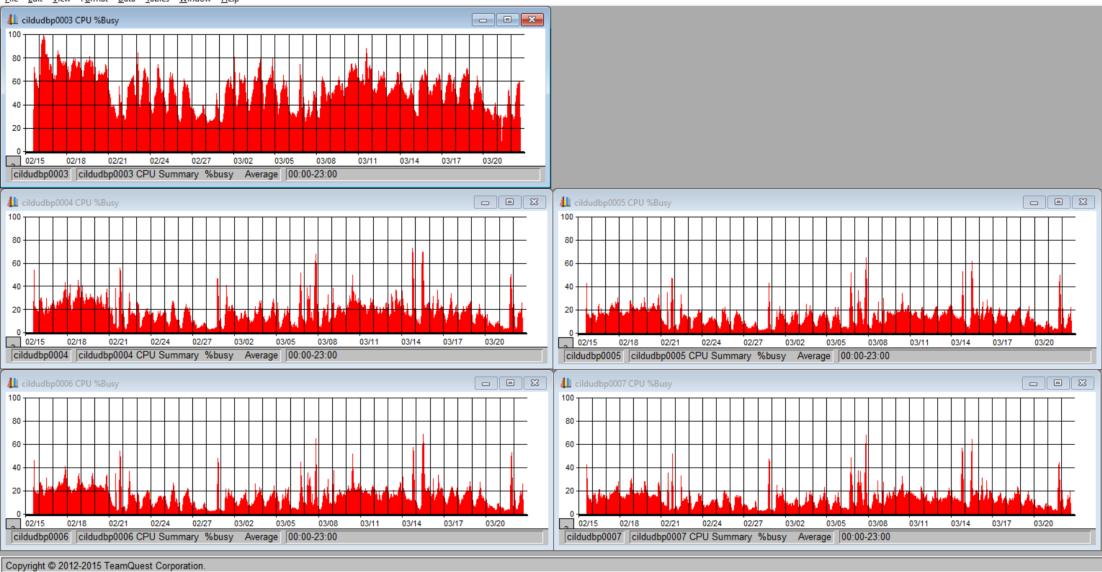
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- Example: 21+TB PRD data warehouse (one of several for this app totaling 85+TB)
- Throughput not fast enough; but "this is not a performance issue"
- Request seeking more and faster CPUs which would increase costs, impact licensing, takes significant man-hours, may require an outage impacting the business, adds risk, etc.
- Issue wasn't with HW; rather design and implementation of the design resulting in underutilization of the existing HW
- Configuration:
 - $_{\odot}$ One catalog node (coordinator) on one server
 - \circ Eight partitioning nodes (workers) across four servers
 - $_{\odot}\,$ Each of the five servers has four logical CPUs and 64 GB memory
 - $_{\odot}\,$ Total of 20 logical CPUs



ل TeamQuest View

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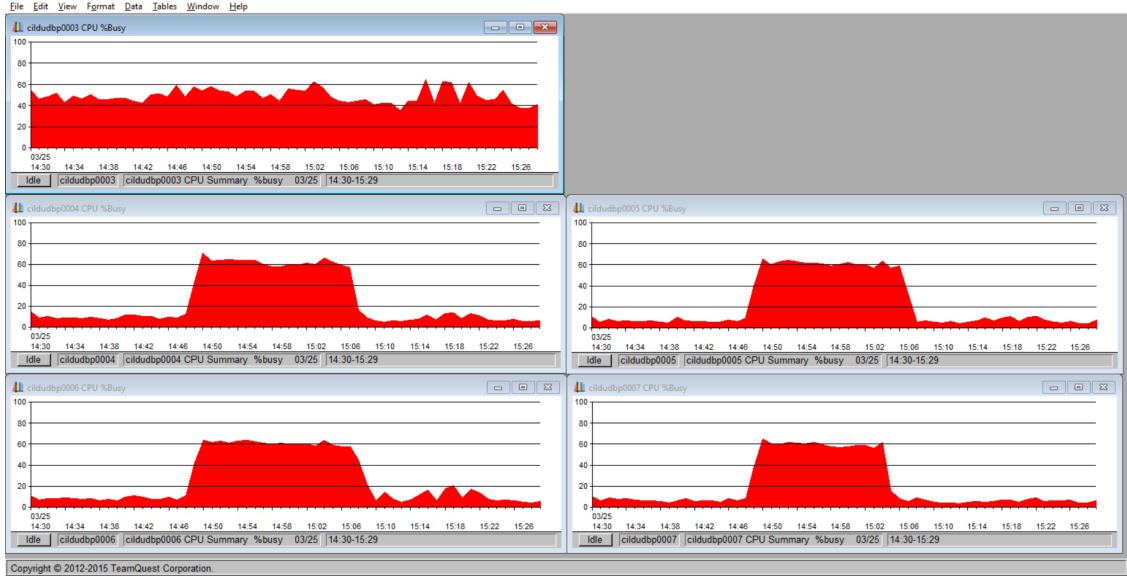
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- On the prior slide seven logical CPUs in use across the five logical servers
- Very inefficient use of resources from the database perspective
 - o Minimal parallelism
 - Partitioning node servers generally under 25% (except backups)
 - o Catalog node server very busy, pushing 100% at times and leading to alerts on the logical server
 - \circ Catalog node should not be burdened with "heavy lifting" it's supposed to be the coordinator
- Not a shortage of CPU, rather an inappropriate use of CPU
- Based on lack of proper partitioning and placement of database objects
 - $_{\odot}$ Large objects have to be partitioned properly to spread the workload
 - $_{\odot}$ And underlying files spread to obtain maximum parallelism











- On the prior slide for 20 minutes 13 logical CPUs in use across the five logical servers
- Essentially using double the CPU, driving significantly more IO and increasing throughput
- Much more efficient use of resources from the database perspective
 - $\circ\,$ Increased parallelism
 - $_{\odot}$ Partitioning node servers at 60%
 - $_{\odot}$ Catalog node server 50 to 60%
- It's all about proper partitioning and placement
- Spreads the workload amongst the eight nodes on the four partitioning servers
- Puts more of the HW to use versus having it sit idle
- One example of partitioned workload processing
- Still have much more to go because there is still far too much processing on the catalog node versus the partitioning nodes as the first slide showed



- Direct ties via configuration parameters
- Parallelism/no parallelism and number of cores vs. speed of cores
- To compress or not to compress
 - $_{\odot}$ impact on processing (CPU)
 - $_{\odot}\,$ impact on storage and memory footprint
- Offload processing where possible to less expensive processing platforms
 - IDAA (IBM Db2 Analytics Accelerator)
 - $_{\odot}$ Reporting databases
 - Minimized (data: horizontally and vertically)
 - Optimized for specific processing (DBMS configuration; storage; indexing)
- Storage
 - $_{\odot}$ SSD (Solid-State Drives) or Flash
 - $\circ \,\, \text{Spindle}$
 - \circ Optical



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- Some database configuration parameters control enablement of certain features
 - Automatic maintenance activities (reorg, rebuild, stats, etc.)
 - $_{\odot}$ Compression
 - $\,\circ\,$ Extent size, prefetching, etc.
 - $_{\odot}$ External tables and locations
 - $\circ\,$ Parallelism and maximum degree
 - Query optimization levels, statement concentration
 - $\circ\,$ HA and DR
 - \circ Workload manager
 - $\circ\,$ Monitoring / tracing activation
 - $\circ\,$ Self-tuning, health monitoring

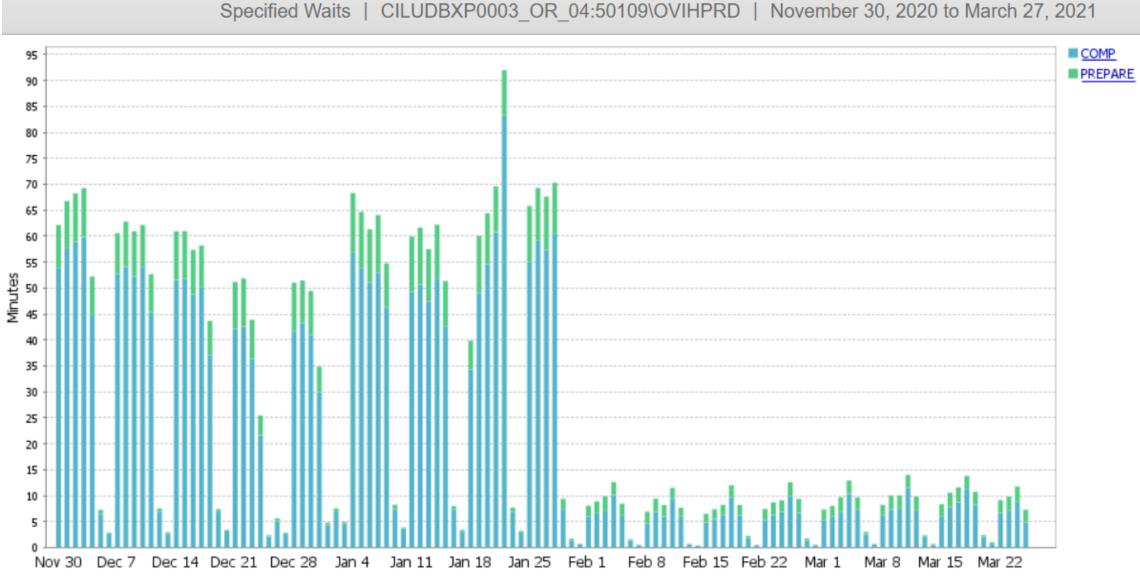


- Some database configuration parameters control and allocate hardware resources
 - o Memory allocations (caches, heaps, etc.) including defaults, min, max, auto
 - Automatic memory management
 - Network buffers
 - o HWMs / maximum limits (open files, locks, sessions, init. agents, pooled agents, etc.)
 - $\,\circ\,$ Page cleaners, async readers, etc.
 - $\circ\,$ CPUs, speed (auto detect)
 - o Logging (active log, sync points, transaction manager, diaglog, etc.)
 - $\,\circ\,$ Recovery (e.g. backups and trackmod, etc.)
 - Utility processing
- Example: Proper use of the Db2 LUW package cache (without statement concentrator)
 - $_{\odot}$ With proper use of parameter markers, saves significant space in the package cache
 - $\,\circ\,$ Also reduces CPU significantly
 - Repeatedly-executed statements with varying WHERE criteria in a highly-active OLTP environment run faster and consume less resources than the repeated compilations



- For a CRM app
 - o Recently implemented application changes reduced dynamic SQL compilation and prepare time
 - $_{\odot}$ Compiling a simple dynamic SQL statement may take a ms or two
 - $_{\odot}\,$ Some can take as much as 500 ms or even more
 - o Although generally small, as we'll see later, in very high quantities this can lead to significant time
 - And this is usually all CPU time as all necessary information is cached, assuming other settings in the DBMS are configured appropriately
- The following slide shows the impacts of application changes for six sets of SQL calls
- Reduced total dynamic SQL compilation and prepare time about 60 minutes per day, or about 85% - all CPU time (catalog cache)
- Reduced total database processing time over 12% by eliminating 10s of millions of compilations per day
- Picked the top six sets of SQL calls to get the "biggest bang for the buck"

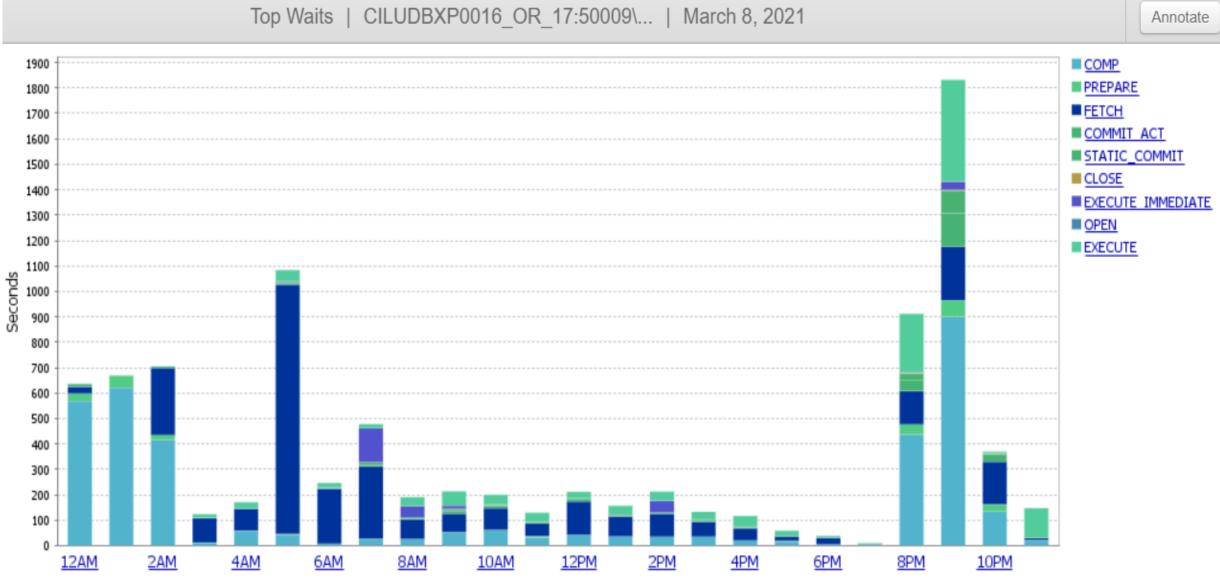






- Seen similar issues with batch processing in another app
- Next slide shows high compile and prepare times
- Over the full 24-hour day, averaged >43% of the total database time
- For certain hours, much higher
 - \circ 00:00 93%
 - o 01:00 100%
 - o 02:00 62%
 - o 20:00 52%
 - o 21:00 52%
- Significant improvements to batch processing can be realized by using parameter markers versus literals in dynamic SQL calls, to eliminate redundant compiling
- In many of these cases the compile times exceed the execution times







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- Parameter markers / host variables
 - o Use appropriately for frequently-executed dynamic SQL calls that have varying WHERE criteria
 - o Eliminates unnecessary compile/prepare/bind time to repetitively determine access paths
 - o Compile time can exceed SQL call execution time, and frequently does for optimized SQL calls
 - o Can have a drastic impact on CPU consumption reflected as high COMP, PREP or BIND time
 - $\,\circ\,$ Can calculate savings from using parameter markers
 - o Likewise can identify those candidates *not* using parameter markers when they should be
 - A recent example; the next slide shows dynamic SQL *not* using parameter markers (how *not* to do it)
 - The subsequent slide shows dynamic SQL calls using parameter markers appropriately; examine the execution count (Execs) versus the compilation count (Comps)
 - o From the final SQL call using parameter markers appropriately, we see update INTXN.TASK_ATTR set BUS_STEP_ID=? where TASK_ATTR_ID=?
 - Executed 11,027,200 times since first being inserted into the dynamic SQL statement cache (package cache)
 - $\,\circ\,$ Only two compiles at 1 ms each for a total of 2 ms
 - o But ... 11,027,198 compiles at 1 ms each were saved, for a total of 11,027,198 ms
 - That's 11,027 seconds or over 3 hours of CPU time, for just one SQL statement in the cache



Execs Comp	ps Comp_ms SQL
1	1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612792)
1	1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612794)
1	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612797)</pre>
1	<pre>1 2 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612798, 1266612799)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612801)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612802)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612803)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612805)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612806)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612807)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612810)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612811)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612818)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612820)</pre>
	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612821)</pre>
1	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612834)</pre>
1	<pre>1</pre>
1	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612842)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612844)</pre>
-	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612845)</pre>
1	<pre>1</pre>
1	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612847)</pre>
1	<pre>1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612850)</pre>
1	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612853)</pre>
1	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612854)</pre>
1	1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612862)
_	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612863)</pre>
	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612865)</pre>
1	1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612866)
1	1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612867)
1	<pre>1 2 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612868, 1266612869, 1266612870)</pre>
1	1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612875)
	1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612877)
1	<pre>1 1 update intxn.cmnt c set c.case_id =? where c.cmnt_id in (1266612878)</pre>



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Execs	Comps	Comp_ms SQL
3610593	2	1 update intxn.CMNT set case_id=? where CMNT_ID=?
131849	1	1 update INTXN.CORR_RCPN set ADDR_LINE1=?, ADDR_LINE2=?, CITY_NAME=?, FIRST_NAME=?, LAST_NAME=?, MIDDLE_INITIAL=?, PRIM_RCPN=?, STATE_CODE=?, SUFFIX_TEXT=?, ZIP=? wh
74516	1	1 update INTXN.CORR_RCPN set DOC_ROW_ID=null where DOC_ROW_ID=?
171786	2	1 update INTXN.CORR_RCPN set DOC_ROW_ID=? where RCPN_ROW_ID=?
1803335	1	0 update intxn.DOC set BUS_STEP_ID=null where BUS_STEP_ID=?
74326		2 update intxn.DOC set BUS_STEP_ID=? where DOC_ROW_ID=?
131767	2	2 update intxn.DOC set CASE_ID=?, ATCH_ID=?, DOC_TYPE=?, DOC_DESC=?, CREATED_BY=?, CREATED_TS=?, LAST_UPD_BY=?, LAST_UPD_TS=?, CREATOR_ROLE=?, UPDATER_ROLE=?, CORR_I
492801		0 update intxn.DOC set case_id=null where case_id=?
1372782	2	1 update INTXN.FIELD_AUDIT set case_id=? where FIELD_AUDIT_ID=?
1289194		1 update INTXN.FIELD_AUDIT set FIELD_NAME=?, LAST_UPD_VAL=?, LAST_UPD_FULLNAME=? where FIELD_AUDIT_ID=?
1568128	2	4 update INTXN.INTXN_CONTACT set last_name=?, first_name=?, full_name=?, addr_line1=?, addr_line2=?, city=?, state=?, zip=?, email_id=?, fax_num=?, phone_num=?, PART
3073062	2	4 update INTXN.INTXN_CONTACT set last_name=?, first_name=?, full_name=?, addr_line1=?, addr_line2=?, city=?, state=?, zip=?, email_id=?, fax_num=?, phone_num=?, PART
4737367	2	1 update INTXN.INTXN_EXT set doc_num=?, rcvd_dt=?, acc_method=?, intxn_status=?, rqst_rel=?, followup_intxn_id=?, system_intxn_id=?, last_upd_by=?, CREATOR_ROLE=?, C
250460	1	11 update intxn.RQST_EXT set PART_PROVIDER=?, REVIEW_TYPE=?, APPEAL_STATUS=?, PROCESSED_STATE=?, RECEIPT_MTHD=?, APPEAL_TYPE=?, CIGNA_RECVD_DATE=?, APPEAL_RECVD_DATE=
3462834	2	2 update intxn.rqst r set r.cmnt_text = ?, r.cmnt_ind = '1', r.updater_fullname = ?, r.last_upd_by = ?, r.last_upd_ts = ? where r.case_id = ?
1	1	4 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?
2	1	4 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?
4	1	3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?
5	1	4 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?
2	1	3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?)
4	1	3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?)
18		3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?, ?, ?)
603	1	3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?, ?)
52	1	3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?, ?)
56		3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?, ?)
132	1	3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?, ?)
39390		2 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?, ?)
107023		3 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?, ?)
234909		<pre>2 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?, ?)</pre>
894731		<pre>2 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?, ?)</pre>
374267	1	1 update intxn.rqst r set r.rqst_status_code = ?, r.last_upd_by = ?, r.last_upd_ts = ? where case_id in (?)
2698446	2	3 update intxn.RQST set RQST_EXT_ID=?, TYPE=?, sla=?, rqst_reason_code=?, rqst_status_code=?, alliance_name=?, alliance_partner=?, cigna_role=?, RQST_CATG_ID=?, RQST
1382936		1 update INTXN.TASK_ATTR set attr_id=?, attr_val=? where TASK_ATTR_ID=?
1102720	ð 2	1 update INTXN.TASK_ATTR set BUS_STEP_ID=? where TASK_ATTR_ID=?



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- And of course there are exceptions "it depends"
- Example: Situation where using parameter markers would degrade performance
 - Non-uniform spread of indexed data (STATUS_CD)
 - \circ With literals provided, and valid runstats, the optimizer knows what to expect
 - With so many rows qualifying (295M rows or 65%), Db2 chose table scan with prefetch as it should
 - With parameter markers, it doesn't know what to expect, and chose the access path based on certain "assumptions"
 - In this particular case, the optimizer generated an access path using a non-clustered index to subsequently access data pages even though 65% of the data qualified
 - $_{\odot}$ Results in "death by random IO"
 - The SQL will run significantly longer, even though EXPLAIN showed a lower cost (estimated timerons)
 - $\circ\,$ REOPT VARS option may help
 - REOPT ONCE (first time; may help; "should" choose table scan with list prefetch again)
 - REOPT ALWAYS (back to repeated compiles)



- Miscellaneous
 - $\,\circ\,$ Database status, level, etc.
 - $_{\odot}$ Authentication, security and security groups
 - $_{\odot}\,$ Data type defaults and conversions
 - $_{\odot}\,$ Date and other data type formatting
 - Diagnostics (level; location; etc.)
 - \circ Language
 - \circ Codepage
 - $\,\circ\,$ Locking and deadlocking, timeout values
 - \circ User exits



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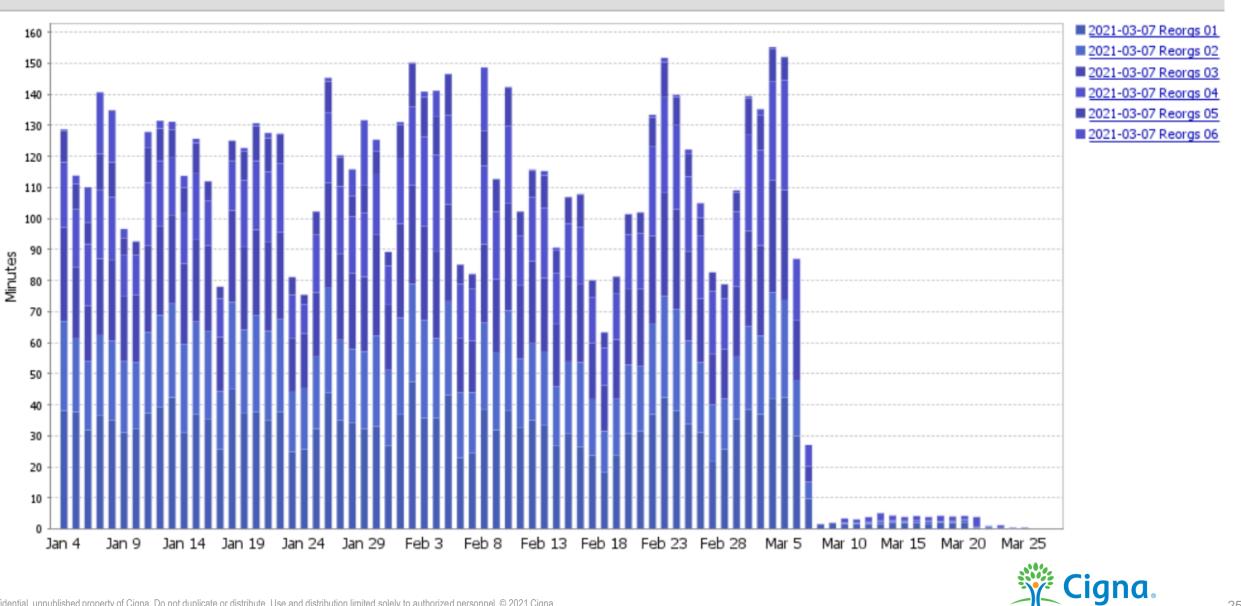
Maintenance

- Reorgs; when to reorg and why
 - $\circ\,$ Online vs. offline; be aware of the differences
 - $_{\odot}$ Active tables needing reorg nightly or weekly; offline reorg quarterly
 - $\,\circ\,$ Traditional approach as to when and what to reorg
 - $\circ\,$ Logically deleted rows (e.g. purge; more later)
 - $_{\odot}$ Data re-located to less than optimal page placement (e.g. overflow access)
 - $_{\odot}\,$ Use a tool (or develop one) to track overflow accesses by table
 - This is an excellent indicator of rows out of place and that are read, indicative of needing a reorg
- Database tuning started for the large data warehouse
 - $_{\odot}$ First set of reorgs completed 3/7, and more on 3/21 see next slide
 - Much more database tuning remains including repartitioning, SQL tuning, index tuning, data purging, etc.



Maintenance





Maintenance

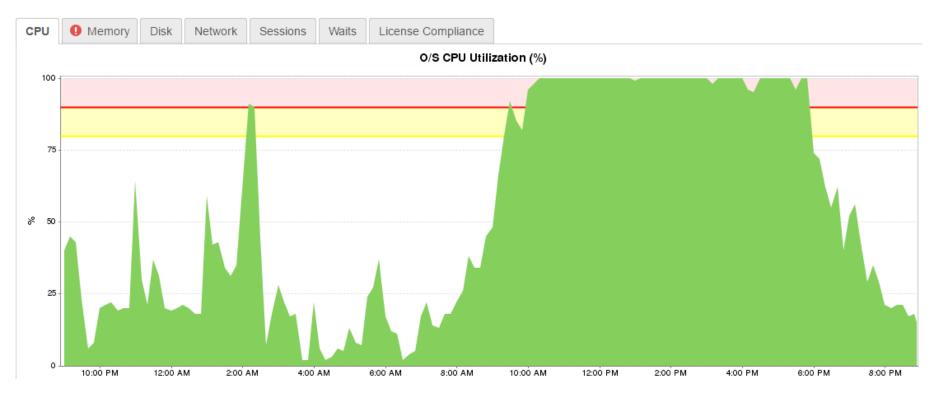
- Runstats can solve major performance issues; two examples below
 - First Incident from a while back
 - o Called in to investigate major CPU and locking issues on a Sunday afternoon
 - Maintenance on Db2 zOS side, required bringing down a web app early Sunday morning
 - o Issue encountered later that morning after everything was back up
 - $\circ\,$ Second issue in January
 - \circ This was a reoccurrence
 - Performance would be fine for weeks, then would degrade for a while; then improve again
 - $\circ\,$ No explanation as to why at the time





Houston, we have a problem

• An obvious problem, although they're not always as obvious and certainly not always CPU (although there is a tendency to focus on CPU).





Identifying "candidates" for improvement (2|6)

- One thing is for certain be sure you understand what the problem is and the impact <u>BEFORE</u> tuning or taking any actions; else you could be tuning the wrong SQL or taking the wrong actions.
- Example: INSERT statement running long due to locking. Everyone was focused on the INSERTs and locking, but it wasn't a locking issue; it was a performance issue.
- Many performance issues masquerade or appear as locking issues. The issue wasn't even with the INSERT; it was a SELECT (two table join) including the table that was INSERTed to, resulting in CPU exhaustion, locking, etc. And it didn't require an index to resolve either.

DUGVIRTUAL

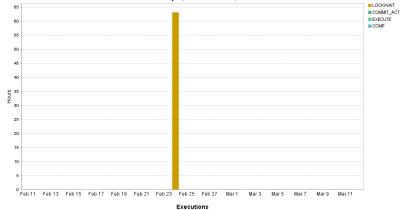
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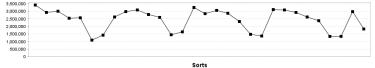


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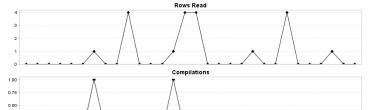












0.00 Feb 11 Feb 13 Feb 15 Feb 17 Feb 19 Feb 21 Feb 23 Feb 25 Feb 27 Mar1 Mar3 Mar5 Mar7 Mar9 Mar11

0.25



Identifying "candidates" for improvement (4|6)

- With poor response, a crisis call was initiated.
- The focus on this issue was locking experienced by certain INSERTs. Without full understanding, an application recycle was attempted to no avail; same thing happened afterward.
- Upon joining the crisis call, learned they were about to stop the application, recycle Db2 and restart the application (again).
- Halted that activity since the root cause of the problem wasn't fully understood; therefore how could they say this would help?



Identifying "candidates" for improvement (5|6)

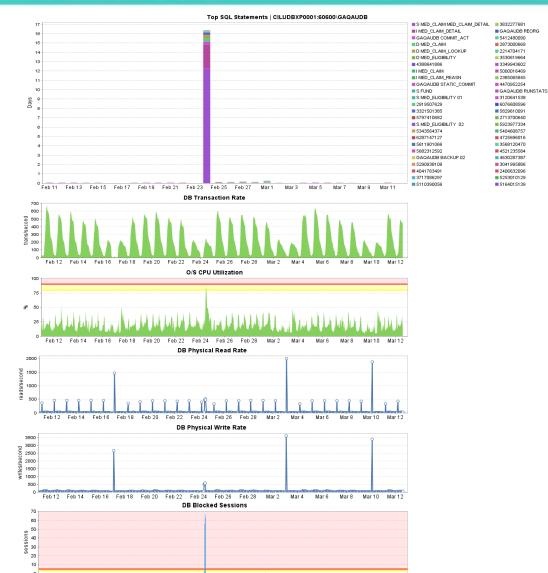
- Examined other data and stats; see reports on the next slide. Reviewing the issue, shifted focus to a SELECT with a two-table join including the table suffering from locking when performing INSERTs.
- From reports on the next slide, it's clear the execution count for the SELECT was normal for a Sunday, yet the access path must have changed resulting in significantly more row reads overall and per execution.
- Instead of averaging under 70 row reads and under 0.2ms per execution, now the SELECT was averaging over 370K row reads and over 35 seconds per execution.

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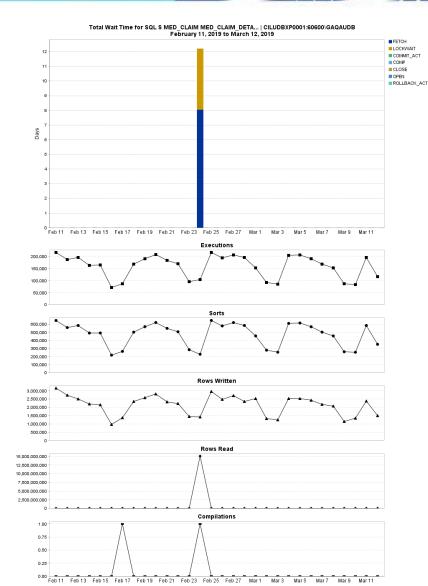


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Feb 12 Feb 14 Feb 16 Feb 18 Feb 20 Feb 22 Feb 24 Feb 26 Feb 28 Mar 2 Mar 4 Mar 6 Mar 8 Mar 10 Mar 12



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When a new index WASN'T needed #1 (1|10)

- Next step is to examine the access path.
- From the next slide showing the "before" and "after" access paths, there's a slight difference resulting in very different performance levels.
- The access path change was a result of running stats when the two tables accessed were empty!
- Solution was to run stats with sufficient data in the tables.
- Difference was incredible; instead of averaging over 370K row reads and over 35 seconds per execution, now the SELECT was averaging under 70 row reads and under 0.2ms per execution again.
- Performance of this two-table SELECT was back to normal, stabilizing CPU and eliminating locking. Performance of the INSERT was back to normal as well.

DUGVIRTUAL

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	Object name	Туре	Rows Page	es Statistics	time I	ile pages P	ct free R	I parents RI	children	RI self Ke	y unique Key	columns Col	count Che	eck cour
	GAQA.MED_CLAIM	Table	0 0	2019-02	24 05:30:40	1 1	0 0	3		0 0	1	26	0	
15白— 含↓ Data is sorted (40.13)	GAQA.MED_CLAIM_DET/	AIL Table	0 0	2019-02	24 05:30:41	1 -1	1	0		0 0	0	25	0	
14由一 JIII Sorted table data scan (40.13)	<													>
13白— 含↓ Data is sorted (40.13)														
12 由→ ↓III Sorted table data scan (40.13)	Index name T	Гуре U	nique rule	Leaf Leve	ls Full keycard	First keyca	ard Cluste	r ratio Col c	ount Sta	tistics time	Intern	al ID User de	ined Syste	em req
11 白- 2↓ Data is sorted (40.13)	GAQA.MED_CLAIMOX C	Clustering U	nique	1 1	0	0	100	4	201	9-02-24 0	5:30:40 1	Yes	0	
10由- ღ급 Nested loop join (40.13)	GAQA.MED_CLAIM1X F	Regular P	rimary Index	1 1	0	0	100	1	201	9-02-24 0	5:30:40 2	Yes	1	
9 E Fetch table data - Inner join feed GAQA.MED_CLAIM_DE TAIL (19.50) 8 - Jim Index scan GAQA.MED_CLAIM_DE TAIL0X (6.78)	<													>
7 ⊡ — 🚼 Nested loop join - Outer join feed (22.82)														
6년 문을 Nested loop join - Outer join feed (22.82)	Column name	Data ty	pe	User type	Avg col length	Index order	Key seq	Cardinality	High2Key	Low2Key	Col number	Part key seq	Nulls Null	cour 🔨
50 - 49 Fetch table data - Inner join feed (22.82)	MBR_NUM	CHAR/	CTER (10)	No	10	Ascending	N/A	0			0	0	No O	
4 ↓ mindex scan GAQA.MED_CLAIM_DETAIL(13.34)	MBR_SFX_RELCD	CHARA	CTER (2)	No	2	Ascending	N/A	0			1	0	No 0	
3 ⊡ ≝ Fetch table data - Outer join feed GAQA.MED_CLAIM (9.28)	MBR_SORT_ORDER	SMALL	INT (2)	No	2	Ascending	N/A	0			2	0	No 0	
2 ↓ j m Index scan GAQA.MED_CLAIM0X (6.27)	CLM_NUM	CHAR/	CTER (15)	No	15	Ascending	1	0			3	0	No O	
	CLM_SRC_SYS_CD	CHAR/	CTER (1)	No	1	N/A	N/A	0			4	0	No 0	
	CLM_STAT_DESC	CHAR/	CTER (20)	No	20	N/A	N/A	0			5	0	No 0	
	CLM_PROCSD_DT	DATE	4)	No	4	N/A	N/A	0	"		6	0	No 0	

Data operation complete (58.35)	Object name	Type Rows Pa	-			Pct free	RI parent	s RI children RI self	Key unique Key col	umns Col ce	ount Check cour
16 🗁 🚛 Sorted table data scan (58.35)	GAQA.MED_CLAIM	Table 161582 11				10	0		0 1	26	0
15 🗗 – 🛃 Data is sorted (58.35)	GAQA.MED_CLAIM_DET	AIL Table 438793 23	3269 20	019-02-24 13:48:56	5 29269	-1	1	0 0	0 0	25	0
14 E Jim Sorted table data scan (58.35)	<										>
13 D ata is sorted (58.35)		-	· ·	r					- r - r		
12 白— 및 Nested loop join (58.35)						rd Cluste	er ratio Co	l count Statistics time	Internal ID	User define	d System req Se
11 E fetch table data - Inner join feed GAQA.MED_CLAIM_DETAIL (20.31)	GAQA.MED_CLAIMOK		1796		11208	-1	4	2019-02-24 13		Yes	0 17
10 - + Tindex scan GAQA MED_CLAIM_DETAIL0X (13.54)	GAQA.MED_CLAIM1X	Regular Primary Index	1171	3 161582	161582	-1	1	2019-02-24 13	3:48:03 2	Yes	1 11
9白→↓III Sorted table data scan - Outer join feed (44.81) 8白→ 身↓ Data is sorted (44.81)	<										>
7 - 2. Nested loop join (44.81)		0	lu .			L.		lu: Lov	L or		In u
6년— 없고 Nested loop join - Inner join feed (44.81)	Column name	Data type		ype Avg col length				freed according to the second s	Low2Key		er Part keys 🔨
5 G = 40 Fetch table data - Inner join feed (44.81)	MBR_NUM	CHARACTER (10)		10	Ascending		11208	'943767392 '	'001442121 '	0	0
4 — ↓ Index scan GAQA.MED_CLAIM_DETAIL(X (13.54)	MBR_SFX_RELCD	CHARACTER (2)		2	Ascending		17	'EE'	'02'	1	0
3	MBR_SORT_ORDER	SMALLINT (2)	No	2	Ascending		12	11	2	2	0
2 - Index scan GAQA.MED_CLAIMOX (13.54)	CLM_NUM	CHARACTER (15)		15	Ascending		161582	'9861905290250 '	'0191813187001	' 3	0
1 Table access full - Outer join feed SYSIBM.GENROW (0.00)	CLM_SRC_SYS_CD	CHARACTER (1)		1		N/A	3	'R'	J.	4	0
•	CLM_STAT_DESC	CHARACTER (20)		20		N/A	6	'PENDING	DUPLICATE	5	0
	CLM_PROCSD_DT	DATE (4)	No	4		N/A	728	'2019-02-24'	'2017-02-28'	6	0
	CLM_CHRG_AMT	CHARACTER (13)		13		N/A	24832	'001237831.22 '	'000000000.01 '	7	0
	CLM_PD_AMT	CHARACTER (13)		13		N/A	36352	'000753231.49 '	'00000000.01 '	8	0
	OTHER_INS_CONSIDER	RED CHARACTER (13)	No	13		N/A	1	'000000000.00 '	'000000000.00 '	9	0
	OTHER_INS_PAID	CHARACTER (13)	No	13		N/A	3552	'000047292.18 '	'00000000.19'	10	0
	HSA_FSA_IND	CHARACTER (1)		1		N/A	2	'D'		11	0
	CCN_IND	CHARACTER (1)		1		N/A	2	'N'		12	0
	HSA_PAYMENT_AMT	CHARACTER (13)	No	13		N/A	1	'000000000.00 '	'00000000.00 '	13	0
	MBR_LIABILITY_AMT	CHARACTER (13)	No	13	N/A	N/A	27136	'000147726.45 '	'00000000.01 '	14	0
	PAID_FROM_HRA	DECIMAL (11,2)	No	6	N/A	N/A	3616	+000002395.95	+00000000.02	15	0
	PAID_FROM_FSA	DECIMAL (11,2)	No	6	N/A	N/A	416	+000001897.03	+000000000.01	16	0
	PAID_FROM_HAA	DECIMAL (11,2)	No	6	N/A	N/A	99	+000000300.00	+00000000.30	17	0
	REM_MBR_RESP	DECIMAL (11,2)	No	6	N/A	N/A	20992	+000147726.45	+000000000.00	18	0
	HRA_TYPE_CD	VARCHAR (30)	No	4	N/A	N/A	5	'GMPVRX'	'GM'	19	0
	MERPS_ACCT_NUM	CHARACTER (7)	No	8	N/A	N/A	4	'3323396'	'3208116'	20	0
	OTHER_INS_IND	CHARACTER (1)	No	2	N/A	N/A	3		'N'	21	0 ~
The index is used to access the data	<						Tara i			Level .	>

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LOCKWAIT

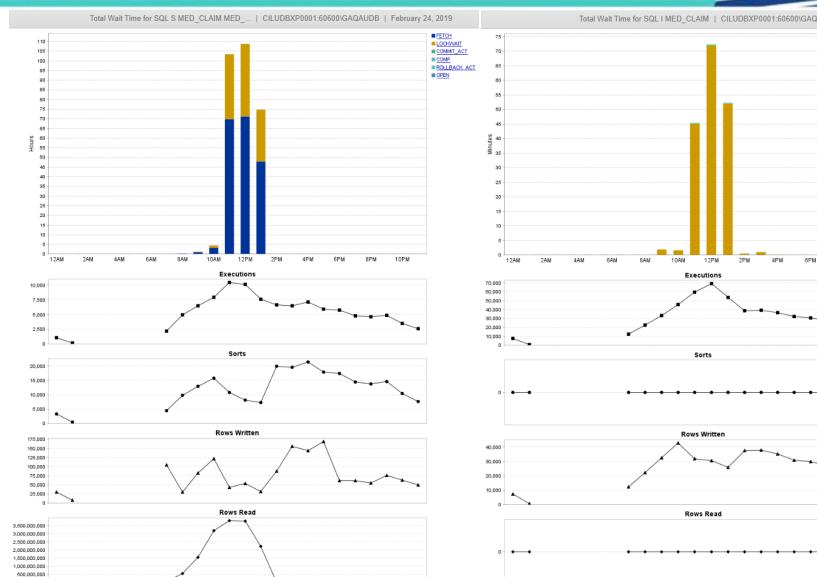
EXECUTE

COMP

8PM

10PM

COMMIT ACT



Total Wait Time for SQL I MED_CLAIM | CILUDBXP0001:60600\GAQAUDB | February 24, 2019



When a new index WASN'T needed #3 (8|10)

- Here's another issue that started January 28th. Further research and testing showed the access path changed after runstats executed. No other changes were made.
- The SQL calls affected are 7 table joins, unioned together 3 times.
- Although all the tables involved are small (under 100K rows) we found sampling was used.
- Using full runstats resulted in a better access path improving performance as of January 30th PM.
- There have been no access path changes since; the access paths have remained stable since removing "SAMPLED" option.

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Specified SQL Statements | CILDUDBP0002:50000\IMGPOPRD | January 1, 2019 to February 28, 2019 SiteAdmin 04 105 SiteAdmin 02 100 SiteAdmin 01 95 SiteAdmin 03 90 85 80 75 70 65 60 Minutes 55 50 45 40 35 30 25 20 15 10 5 0 Jan 17 Jan 21 Jan 25 Jan 29 Feb 2 Feb 6 Feb 10 Feb 14 Feb 18 Feb 22 Feb 26 Jan 1 Jan 5 Jan 9 Jan 13

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30,000,000

20,000,000

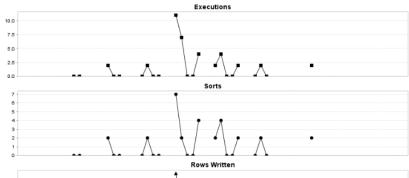
10.000.000

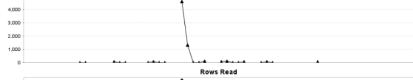
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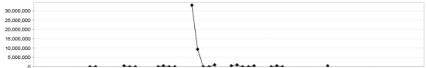
₩IDUGDb2

 Total Wait Time for SQL SiteAdmin 04 | CILDUDBP0002:50000\IMGPOPRD | January 1, 2019 to March 9, 2019

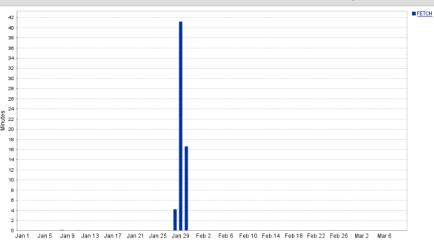
ĴJan 1. Jan 5. Jan 9. Jan 13. Jan 17. Jan 21. Jan 25. Jan 29. Feb 2. Feb 6. Feb 10. Feb 14. Feb 18. Feb 22. Feb 26. Mar 2. Mar 6



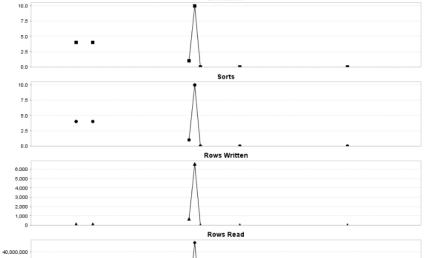








Executions



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Topics

- HW, SW and Currency
- DB Configuration
- Maintenance
- Data Archive and Purge
- SQL
- Indexing
- Flash Storage (SSD)
- Implementation Details
- Q & A and More Information



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- Approach is very dependent on the relative amount of data to be archived and purged
- If a large portion of the data is being archived and purged consider:
 - $_{\odot}$ Unloading the data to be archived (if necessary)
 - $\circ\,$ Unloading the data to be retained
 - $_{\odot}$ Optionally drop unnecessary indexes
 - $_{\odot}\,$ Sorting the data to be retained in data clustering sequence
 - $\circ\,$ Loading the data to be retained
 - Optionally recreate indexes
 - o Runstat
- This method is extremely efficient if a large percentage of the data is being purged; e.g. no reorgs needed
- If there are HW updates, change of hosting, etc., can minimize data conversion and transport
- Useful if there is no scheduled, automated purge, and periodically (e.g. annually) a manual purge is performed



- If a small portion of the data is being archived and purged consider:
 - Using "traditional" methods of selecting the data to be archived (if necessary)
 - $_{\odot}$ Optionally drop unnecessary indexes
 - $\circ\,$ Delete the data to be purged
 - \circ Optionally reorg
 - Optionally recreate indexes
 - o Runstat
- This method is preferred if a small percentage of the data is being purged
- Reorgs may be needed
- Useful for a scheduled, automated purge (e.g. weekly) that can be combined with periodic reorgs (e.g. monthly or quarterly)



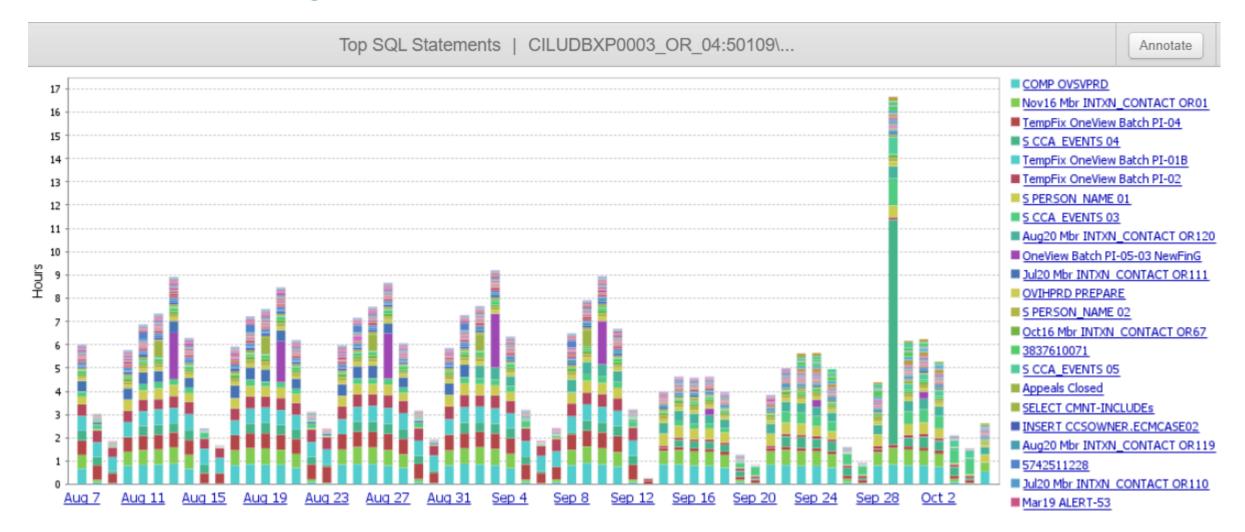
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- In a partitioned environment, partition rotation can be used
- Be aware of any application- or Db2-maintained RI
- RI drives the sequence of tables processed
- Either way, there should be an archive and purge strategy in place to keep size and space in check
- Impacts sequential processing such as some batch, utilities (backup, reorg, runstats)
- Should have minimal impact on OLTP
- Monitor levels on indexes; an increase in levels can indicate an extra physical read
- · Keeping objects purged to minimum necessary can keep levels in check, for OLTP
- Keeping objects purged to minimum necessary can keep batch and utilities consistent
- There are tools available, e.g. IBM InfoSphere Optim Archive, to facilitate archive and purge
- And they take into consideration RI
- If you haven't purged in a "long time" purging can result in huge improvements

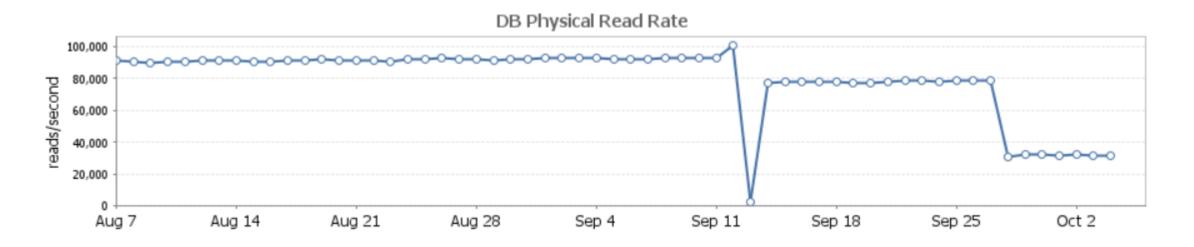


- Every application, every database must have documented data retention requirements
- From that, archive and purge rules can be defined
- Not all data in an application has to have the same retention; e.g. appeals data is needed longer
- Archive only the data necessary; likely a subset of tables, subset of rows (e.g. appeals data), subset of columns
- The smaller the data needed for retention, the smaller the archive tables or files can be
- Archive and purge on some regular basis:
 - Nightly / weekly during "quiet" times to minimize processing impact and take advantage of available resources
 - $\circ~$ Monthly if less-frequent cycle suffices since application volume is low
 - \circ Continuous for some very active applications; watch locking and commit frequency / UOW sizing
- Use your own or use a product; either way just use something to purge unnecessary data
- · Be sure to reorg and runstat as required afterward
- Impacts processing that scans; sequential batch processing and maintenance (e.g. backups)
- A recent example

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- Before purge: 8.052 Billion physical reads/day
- After purge: 6.735 Billion physical reads/day (data copy tables present / image copied)
- After purge: 2.765 Billion physical reads/day (data copy tables dropped)
- Net: 65.7% reduction in physical reads/day



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Topics

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- I've presented previously at several CCDUG and IDUG conferences; in those presentations you can find much on SQL tuning
- We'll review some items I've come across multiple times
 - $\,\circ\,$ Don't use SELECT * ...
 - \checkmark Hear this over and over
 - ✓ Really can make a huge difference, especially when physical sorts are involved
 - \checkmark And can make all the difference for index-only access considerations
 - $_{\odot}\,$ Proper placement of parentheses
 - \checkmark Include parentheses where needed (required), and for clarification
 - \checkmark Be specific and careful about placement
 - ✓ Avoid unnecessary parentheses
 - ✓ Incorrect placement can prevent proper index usage leading to performance issues
 - \checkmark ... And invalidate the actual query results returned
 - Unnecessary sorting (ORDER BY, GROUP BY, DISTINCT)
 - $\circ\,$ SQL simplification and function removal; impacting index usage
 - ✓ Know your data
 - ✓ Don't use functions unnecessarily



- Formatting of SQL calls and proper placement of parentheses are key
- Here's a portion of a SQL call from one of our FileNet databases:

```
SELECT ...
```

```
FROM PROVIDE2.DocVersion T0
WHERE (T0.home_id IS NULL
and T0.recovery_item_id IS NULL
AND ( ( ( u4c98_producercode = ?
OR ( u4c98_producercode = ?
AND u53a3_statementdate <= ?
AND u53a3_statementdate >= ?
AND u2922_published = ?
AND uab72_viewable = ?))
AND object_class_id=?
AND is_current = ?)))
ORDER BY u53a3_statementdate DESC
```

- Received a message of poor performing searches from our DBA; investigated
- I noticed an issue immediately, something with the WHERE criteria different from all other searches



• I added some color and reformatted the SQL snippet to make it clear:

```
SELECT ...
FROM PROVIDE2.DocVersion T0
WHERE (T0.home_id IS NULL
and T0.recovery_item_id IS NULL
AND (((u4c98_producercode = ? OR
            (u4c98_producercode = ? AND u53a3_statementdate <= ? AND
            u53a3_statementdate >= ? AND u2922_published = ? AND
            uab72_viewable = ?))
AND object_class_id=?
AND is_current = ?)))
ORDER BY u53a3_statementdate DESC
```



• What was intended is:

```
SELECT ...
FROM PROVIDE2.DocVersion T0
WHERE T0.home_id IS NULL
AND T0.recovery_item_id IS NULL
AND (u4c98_producercode = ? OR u4c98_producercode = ?)
AND u53a3_statementdate <= ?
AND u53a3_statementdate >= ?
AND u2922_published = ?
AND uab72_viewable = ?
AND object_class_id = ?
AND is_current = ?
ORDER BY u53a3_statementdate DESC
```

- Corrected the performance issue, <u>and more importantly</u> corrected the results returned by the SQL call
- Using an IN clause is preferred; much more readable and lessens the risk of making a parentheses-related error

```
AND u4c98_producercode IN (?,?)
```



```
What was intended is:
SELECT ....
FROM PROVIDE2.DocVersion T0
WHERE T0.home_id IS NULL
AND T0.recovery_item_id IS NULL
AND u4c98_producercode IN (?,?)
AND u53a3_statementdate <= ?
AND u53a3_statementdate >= ?
AND u2922_published = ?
AND uab72_viewable = ?
AND uab72_viewable = ?
AND object_class_id = ?
AND is_current = ?
ORDER BY u53a3_statementdate DESC
```

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When a new index WASN'T needed #2 (4|10)

• Here's an example of SQL that needs to be tuned first:

```
SELECT ...
FROM PRV.CHCP_USR_TIN_ACCSS_MGR
WHERE trim(SSO_ID)=trim(?)
AND upper(trim(LOB_TY))<>'FIM'
AND (REGISTERED_TIN is null OR trim(REGISTERED_TIN)='')
```

• which can be rewritten as:

```
SELECT ...
FROM PRV.CHCP_USR_TIN_ACCSS_MGR
WHERE SSO_ID=?
AND LOB_TY<>'FIM'
AND (REGISTERED TIN is null OR REGISTERED TIN='')
```



When a new index WASN'T needed #2 (5|10)

- After verifying data from the table, EXPLAIN estimated a 99.98% reduction with SQL changes alone.
- Db2 chose to use an already-existing index on SSO_ID as one would suspect since it has good cardinality.
- The optimal index for this revised SQL call is an index on CHCP_USR_TIN_ACCSS_MGR (SSO_ID, REGISTERED_TIN, LOB_TY), although we never created it.
- With SQL changes alone these calls were rarely captured afterward and our tooling reflected average run times of 0 to at most 0.76ms.

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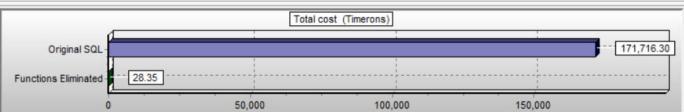
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When a new index WASN'T needed #2 (6|10)

	E- Data operation complete (171716.30)	Object name	Type Row	s Pages	Statistics time	e File pag	es RI parent	s RI children R	Iself Col count Sta	us 🛄 Append	d mode	.ock size Vol	atile
	6	PRV.CHCP_USR_TIN_AC	CSS_MGR Table 218	9559 191151	2018-09-09	9:38:29 305413	0	0 0	32 Nor	mal Rowsi	nserted	Row	
	5由- 集 Row identifier scan (RID) (10132.60)								1		-	r	
	4 中一 党↓ Data is sorted (5014.16)	Index name						er ratio Col cou	nt Statistics time			First2 keyca	
	3 └─ ∔∰ Index scan (no table access) SSOIPRD.CHCP_USR_TIN_ACCSS_MGR_X3 (5013.62) 2 ⊡─ ∲↓ Data is sorted (5118.44)	SSOIPRD.CHCP_USR_TI				2085153 6125		4	2018-08-08 19:22:02		42	612537	20025
Before	1 └─ ↓ im Index scan (no table access) SSOIPRD.CHCP_USR_TIN_ACCSS_MGR_X3 (4600.80)	PRV.CHCP_USR_TIN_AC		Duplicates 6		329780 3297		1	2018-08-08 19:22:02		96	-1	-1
		PRV.CHCP_USR_TIN_AC		Duplicates 2		2002541 6125		2	2018-08-08 19:22:02		98	2002541	-1
		SSOIPRD.CHCP_USR_TH					98	-	2018-08-08 19:22:02		96	-1	-1
		SSOIPRD.CHCP_USR_TH				1	98	2	2018-08-08 19:22:02		96	•1	-1 -1
		SSOIPRD.CHCP_USR_TI	N_ACCSS_MGR_X5 1	Juplicates 4	300 3		98	2	2018-08-08 19:22:02	2 4299	99	1	-1
		<											>
		Column name	Data type	User type /	Avg col length	Index order Key	seq Cardinali	ty High2Key	Low2Key	Col numbe	r Nulls I	Null count De	efault 🔨
		REGISTERED_TIN	CHARACTER (1)	No	2	Ascending N/A	. 1			20	Yes	2085153	
		CHCP_USR_ID	BIGINT (8)	No	8	N/A N/A	612520	9995929101	10717 26679	0	No)	
		SSO_ID	VARCHAR (32)	No	14	N/A N/A	630315	'zzzsleep'	'0004506n'	1	No) "	
	Data operation complete (28.35)	Object name	Type Rows	Pages	Statistics time	1 73		Di shikan Di	self Col count Statu			در از کرد اور باری	2
	2 ⊡— ≝ ^O Fetch table data PRV.CHCP_USR_TIN_ACCSS_MGR (28.35)	PRV.CHCP_USR_TIN_ACC					ni parents			al Rowsin			lie
	1 └─ ↓m Index scan PRV.CHCP_USR_TIN_ACCSS_MGR_X2 (20.30)	JENV.CHCE_USh_HN_ACC	255_Mun Table 2105	553 [131151]	2010-03-03 13	0.36:23 303413	10	0 0	32 NOM	ai i nows in	selled in	ow	
		Index name	L	Inique rule Le	af Levels F	ull keycard First k	eycard Cluste	r ratio Col coun	t Statistics time	Seq pages	Density	First2 keycar	rd First3
		SSOIPRD.CHCP_USR_TIN	LACCSS_MGR_PK	Inique 39	376 4 2	085153 6125	20 89	4	2018-08-08 19:22:02	1 28986	42	612537	2002
		PRV.CHCP_USR_TIN_ACC	CSS_MGR_X1 C	uplicates 67	77 3 3	29780 3297	30 13	1	2018-08-08 19:22:02	1 5111	96	-1	-1
• After		PRV.CHCP_USR_TIN_ACC		uplicates 21		002541 6125		2	2018-08-08 19:22:02	16661	98	2002541	-1
• Allel		SSOIPRD.CHCP_USR_TIN				1	98	1	2018-08-08 19:22:02		96	-1	-1
		SSOIPRD.CHCP_USR_TIN				1	98	1	2018-08-08 19:22:02		96	-1	-1
		SSOIPRD.CHCP_USR_TIN	LACCSS_MGR_X5 E	uplicates 43	800 3 1	1	98	2	2018-08-08 19:22:02	1 4299	99	1	-1
		<											>
		Column name	Data type	Liser type	Ava col lenath	Index order Key	seg Cardinali	tu Hiah2Keu L	ow2Key Col number N	ulls Null coun	t Default		<u>^</u>
		SSO_ID	VARCHAR (32)		14	Ascending N/A				lo 0			_
		CHCP TIN	CHARACTER (9)		9	Ascending N/A		'99999995 '0		lo 0			
		CHCP_USR_ID	BIGINT (8)		8	N/A N/A		99959291 2		lo 0			
		PROV_ID	CHARACTER (7)	No	8	N/A N/A	1		3 Y	es 1863610			

Scenario name	Qualifier	Class	Total cost	I/O cost	CPU cost	Elapsed time	Rows	Columns
Original SQL		5	171716.30	192184.88	24841592832.00	N/A	N/A	N/A
Functions Eliminated		5	128.35	4.19	117581.48	N/A	N/A	N/A

• Comparison



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Topics

- HW, SW and Currency
- DB Configuration
- Maintenance
- Data Archive and Purge
- SQL
- Indexing
- Flash Storage (SSD)
- Implementation Details
- Q & A and More Information



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Indexing

- I've presented previously at several CCDUG and IDUG conferences; in those presentations you can find much on Indexing
- We'll review several items I've come across multiple times
 - $_{\odot}$ Unused indexes
 - $_{\odot}\,$ Single-column indexes, no multi-column indexes
 - $_{\odot}\,$ Multi-index access versus multi-column index access
 - Physical sorting
 - o Referential integrity
 - $_{\odot}\,$ Index-only access and INCLUDE columns
 - \circ "One fetch" access
 - \circ Expression-based indexes
 - EXCLUDE NULL KEYS



Indexing

- Identify and DROP unused indexes
 - Whenever I'm analyzing SQL and recommending either a new index or an index change, I run a quick check against PRD to see if all the indexes are used, and how recently:
 - SELECT tbcreator, tbname AS TABLE_NAME, creator, name AS INDEX_NAME, lastused AS LAST_USED,

firstkeycard AS FIRST_KEY_CARD, fullkeycard AS FULL_KEY_CARD, nleaf AS LEAF_PAGES,

nlevels AS LVLS, numrids AS ROWS, colnames AS COLUMN_NAMES

FROM sysibm.sysindexes

```
--HERE tbcreator <> 'SYSIBM' -- Bypass UDB Catalog Tables
```

WHERE tbcreator = 'your_table_creator'

```
AND tbname IN ('your_table_name1', 'your_table_name2')
```

```
ORDER BY 1, 2, lastused DESC, firstkeycard DESC
```

- Why? Why not? Might as well
- o Often I find never-used indexes, not-recently-used indexes, redundant indexes, etc.
- Eliminates overhead of maintaining indexes
- For redundant, run query above with **ORDER BY 1, 2, colnames**
- In earlier versions of Db2, there were issues getting proper lastused values updated in the sysindexes table; that was long ago and is no longer an issue
- Of course always test thoroughly and be aware of indexes used solely for monthly, quarterly or yearly processing

Indexing

- Single-column indexes, no multi-column indexes
 - I've seen various product databases come with many single-column indexes, and no or very few multicolumn indexes
 - Forces the Db2 optimizer to choose either a single index or multiple index access, likely impacting performance
 - $_{\odot}\,$ In some cases, single-column indexes are perfectly fine and all that is needed
 - \circ An example





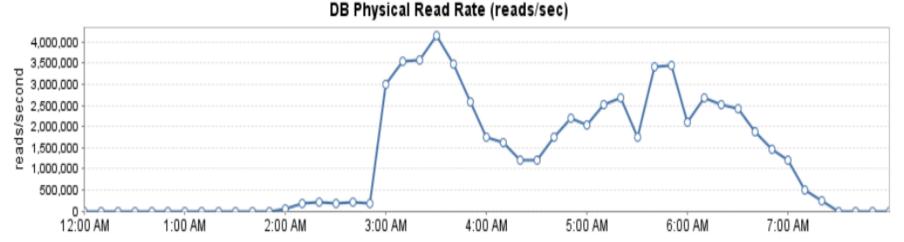
Typical index usage – single column #3 (7|9)

- Application reportedly causing FA utilization alerts; HW team about to add resources.
- Found various SQL calls without parameter markers running and performing excessive asynchronous IO leading to the alerts.
 DELETE FROM APPDM.CP_OG_CARDS_DETAIL WHERE request_id = ######

- No indexes on the table; only access path choice was full table scan.
- Needed a new index on CP_OG_CARDS_DETAIL(REQUEST_ID).



Typical index usage – single column #3 (8|9)



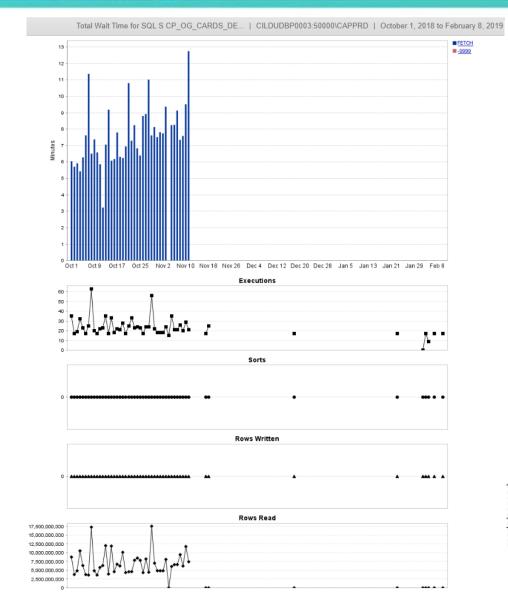
- Savings totaled over 3¼ hours per day and eliminated 198 Billion logical reads per day.
- Experienced a 30% drop in physical reads from 460K to 320K reads/sec averaged throughout the 24-hour day, saving more than 12 Billion physical reads per day.
- Eliminated storage FA utilization impacts affecting other unrelated application processing in the environment.
- 96% of the remaining physical reads were from daily Db2 backups.

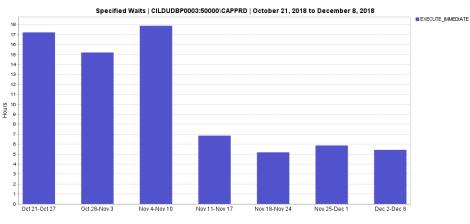
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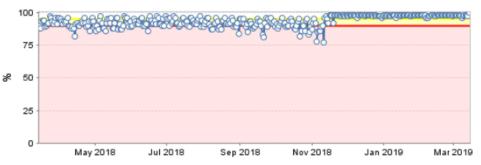
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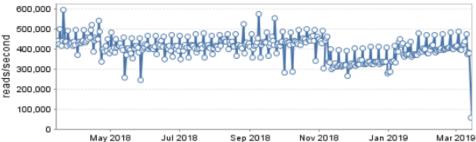




DB Buffer Pool Hit Ratio



DB Physical Read Rate





Typical index usage – multi-column #1 (1|7)

- With more complicated SQL calls, more complicated indexing is required.
 - Think like the optimizer what's the best index?
 - The smallest index that gives the best filtering
 - When using multiple columns, weigh the length of the column (actual, in bytes) versus the screening or filtering it provides
 - Don't include columns that provide no benefit; e.g. a column with one value (cardinality=1) provides no filtering (although there is at least one exception to this)
 - Don't include long columns providing little benefit; e.g. a low cardinality column provides little filtering
 - What's indexable; = versus <> versus LIKE, ANDs/ORs precedence, INs over NOT INs, etc.



Multi-index usage versus multi-column index (1|4)

- Generally, multi-index access indicates a potential tuning opportunity. The more indexes involved (2, 3 etc.), the greater the opportunity.
- Essentially the optimizer has decided to use two different indexes and (usually) AND the results together and then fetch the qualifying data rows.
- In these cases, a better index choice would be a multi-column index having the necessary columns to optimize access for the query using just one index.
- However there are exceptions to this. If for instance you do need the two indexes for other processing, and it's not worth the overhead of a third index (overlapping columns with the other two indexes), and performance is "sufficient" considering processing volume, then a third index isn't warranted.



Multi-index usage versus multi-column index (2|4)

- In the following example, originally two different indexes were used to resolve the criteria in the WHERE clause, including U7C98_CIGNA_ACCT_NR from the 4X index and U04A6_CIGNA_CLIENT_ID from the 2X index.
- Unfortunately this results in a less-than-optimal multi-index access path with additional processing, including additional physical sorts.
- Since the 2X and 4X indexes were created specifically for other search calls, we didn't want to change them in such a way affecting other SQL.
- We added U04A6_CIGNA_CLIENT_ID to the existing 4X index and on the next two slides are the original and improved access paths.

DUGVIRTUAL

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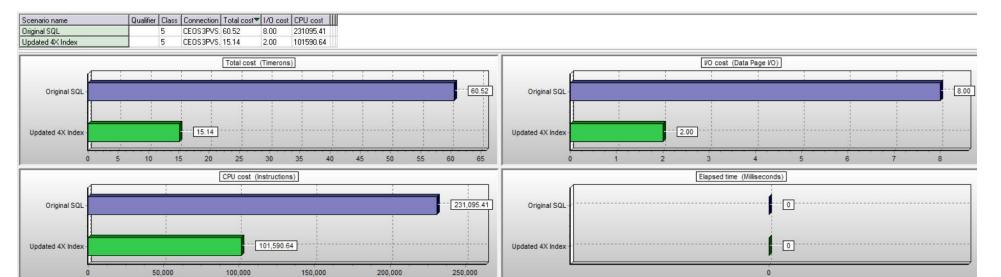


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Data operation complete (15.14)	Object name Type	e Rows Pages	Statistics time	e File	pages RI pa	arents RI chi	ildren RI self Col	count Stat	us App	end mode Loc	k size Volatile	
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										ISIQ FIISIZ KEYC	ILL FIISCO KEYCO	1
	SYSIBM.SQL150302115638840			2658933	2658933		2019-03-25 13:3			-1	-1	
	CLIENTOS.I_DOCVERSION22	Duplicates		2658933	2658933	3	2019-03-25 13:3			2658933	2658933	
	CLIENTOS.I_DOCVERSION73			1	1	1	2019-03-25 13:3			-1	-1	-1
	CLIENTOS.CI_DOCVERSION_0		6180 3	2658933	1143	5	2019-03-25 13:3			1145	1147	249
	CLIENTOS.UI_UC7B7_OWNER		739 2	1	1	1	2019-03-25 13:3			-1	-1	-1
	CLIENTOS.CI_DOCVERSION_0			2527018	2527018	1	2019-03-25 13:3			-1	-1	-1
	CLIENTOS.CI_DOCVERSION_0	02X Duplicates	3823 3	2643239	100940	4	2019-03-25 13:3	31:32 356	5 79	117523	146107	264
	CLIENTOS.CI_DOCVERSION_0	3X Duplicates	705 2	13533	13498	2	2019-03-25 13:3	31:32 681	47	13533	-1	-1
	CLIENTOS.CI_DOCVERSION_0	04X Duplicates	5194 3	2643789	103637	5	2019-03-25 13:3	31:32 486	1 83	157120	255369	264
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	CLIENTOS.CI_DOCVERSION_0)7X Duplicates	4989 3	2497496	1003	6	2019-03-25 13:3	31:32 470	9 85	1810	1906	190
	CLIENTOS.CI_DOCVERSION_0)8X Duplicates	739 2	168	168	1	2019-03-25 13:3	31:32 713	50	-1	-1	-1
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	<											>
	Column name	Data type	User type Av	vg col length	Index order 1	Key seq Card	dinality High2Key	Low2Key	Col nu	mber Nulls Null	count Default	^
	U7C98_CIGNA_ACCT_NR	VARGRAPHIC (7)	No 18	8	Ascending	N/A 103	637 g'3902341'	g'332095	3' 119	Yes 185	321	
	U66D4_CWR_DOCUMENT_ID	DOUBLE (8)	No 9		Ascending I	N/A 31	+2.0700000	00 +2.80000	0000 109	Yes 185	/54	
	UEF86_FREQUENCY	INTEGER (4)	No 5		Ascending I		3	2	116	Yes 185	321	
		TIMESTAMP (10)	No 11		Ascending 1		7776 '2019-03-08	-11 2016-03-	15-1-6	Yes 0		
	_		No 5		Ascending 1		940 103857	1002	120	Yes 185	321	
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Sorting #1 (1|15)

- One of the most powerful features of indexes is to eliminate reading all qualifying data and then physically sorting it.
- SQL calls with ORDER BY, GROUP BY and DISTINCT clauses frequently require physically sorting the results. And if the results set is large, overflowing to temp space on disk is typical and has even larger impacts on performance.
- This applies to OLTP particularly when paging through multiple rows of data, and to batch processing.
- Proper indexing taking into consideration the WHERE clause predicates AND sort requirements, can lead to huge savings.



Sorting #1 (2|15)

```
SELECT ...
FROM CGIOS2.DocVersion T0
WHERE ((T0.object_class_id IN (?))
AND T0.home_id IS NULL -- card=1; always NULL
AND T0.recovery_item_id IS NULL -- card=1; always NULL
AND ( version_status = ?
AND ( ube06_searchtype = ? OR ube06_searchtype = ?))))
ORDER BY u1708_documenttitle ASC, object_id ASC
FETCH FIRST 1000 ROWS ONLY
OPTIMIZE FOR 1000 ROWS
```

 An index on DOCVERSION (VERSION_STATUS, OBJECT_CLASS_ID, U1708_DOCUMENTTITLE, OBJECT_ID, UBE06_SEARCHTYPE) resolves the equal and IN(?) (really an equal) from the WHERE clause, the two order by columns, and the final OR condition on searchtype.



Sorting #1 (3|15)

- The index also resolves paging criteria on subsequent page request SQL calls that look similar, with additional paging WHERE criteria on U1708_DOCUMENTTITLE and OBJECT_ID.
- Allows processing to match and position within the index based on the first two columns, and then retrieve the qualifying data in the desired sort sequence WITHOUT ACTUALLY SORTING because it's traversing the sorted index.
- While traversing the index, it applies the remaining filtering criteria on searchtype and fetches and returns the data rows in the desired sequence meeting those criteria.
- There are various names for this including "step-through" index processing.



Sorting #1 (4|15)

- Can be very beneficial when processing large amounts of data, for example batch processing.
 - Instead of having to retrieve and fetch all qualifying data and sort it, the data is accessed via the index in sorted fashion.
 - Even better if the table data is "clustered" by this index (coming up shortly) to minimize physical IO to the table data pages.
- Even for smaller processing such as OLTP, instead of retrieving all qualifying data and sorting it, data is identified immediately and in pre-sorted sequence, particularly useful for paging programs.

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Sorting #2 (5|15)

• Another example:

SELECT ...

```
FROM DocVersion T0
WHERE (T0.home_id IS NULL -- card=1; always NULL
AND ( ( ( u7118_documentstatus = ? -- card=5; 2 selected; poor index
OR u7118_documentstatus = ?) -- candidate; but small & needed
AND object_class_id IN (?, ?, ?, ?, ?) -- card=12; 5 selected; poor
AND version_status = ?))) -- card= 3; 1 selected; poor
ORDER BY udd53_receivedon ASC, object_id ASC
FETCH FIRST 400 ROWS ONLY
OPTIMIZE FOR 400 ROWS
```

 Created new index (VERSION_STATUS, UDD53_RECEIVEDON, OBJECT_ID, OBJECT_CLASS_ID, U7118_DOCUMENTSTATUS).



Sorting #2 (6|15)

- Even with poor cardinality on the where clauses, this SQL statement ran well by matching on the first column, using the second and third to resolve the physical sort, and the fourth and fifth to provide additional screening on columns where more than one value is specified.
- The DBMS can "step through" the index and retrieve the rows in sequence as they appear on the index, up to 400 as specified in the SQL.
- And since the data is "clustered" (coming up next) on this index, physical IO is minimized.

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Sorting #2 (7|15)

Data operation complete (3193.73)	Object name Type F										< count Overflow			ndex tablespac			
2	CGIOS.DOCVERSION Table	5103766 329103 2	016-04-03 1	3:03:59 -1	0	0	0 0	0 1	160	0	0	Normal CGIO	SDATA_TS (CGIOSINDEX_1	S Rows inse	rted Ro	W
1 └── ↓ Tindex scan CGIOS.CI_DOCVERSION_05X (5664.79)																	
							I				I. I.	I	I			.I	I
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	CGIOS.CI_DOCVERSION_01×		13155 3	6103766	12	4		04-03 13:03:59			0 131		12	6103766	6103766	10	-1
	CGIOS.I_DOCVERSION22		8032 3	6103766	6061193	3		04-03 13:03:59			0 803		6103751	6103766	-1	-1	-1
	CGIOS.I_DOCVERSION73		1696 2	1	1	1		04-03 13:03:59			0 169		-1	-1	-1	-1	-1
	CGIOS.CI_DOCVERSION_10×		10559 3	5937906	447982	4		04-03 13:03:59			0 105		475410	922900	5937906	10	-1
	CGIOS.UI_U8AF8_APPLICATI		1696 2	169	169	1		04-03 13:03:59			0 169		-1	-1	-1	-1	-1
	CGIOS.UI_UBE28_DCN		3826 3	1173408	1173408	1		04-03 13:03:59			0 382		-1	-1	-1	-1	-1
	CGIOS.UI_UC7B7_OWNERDO		1696 2	1	1	1		04-03 13:03:59			0 169		-1	-1	-1	-1	-1
	SYSIBM.SQL15050121560830			6103766	6103766	1		04-03 13:03:59			1 662		-1	-1	-1	-1	1
	CGIOS.CI_DOCVERSION_02×		10486 3	5932064	155131	4		04-03 13:03:59			0 104		155135	5926771	5932064	-1	-1
	CGIOS.CI_DOCVERSION_03×		14568 3	6103766	33025	6		04-03 13:03:59			0 145		33027	33278	46527	-1	6
	CGIOS.CI_DOCVERSION_04×		23440 3	6103766	12	5		04-03 13:03:59			0 234		15	620032	6103766	-1	5
	CGIOS.CI_DOCVERSION_05×		13948 3	6103766	3	5		04-03 13:03:59			0 139		546	6103766	6103766	-1	5
	CGIOS.CI_DOCVERSION_06×		7287 3	6090722	6061193	2		04-03 13:03:59			0 728		6090722	-1	-1	-1	-1
	CGIOS.CI_DOCVERSION_07×	Unique	14542 3	6103766	2588	6	2016-	04-03 13:03:59			0 145		2590	2661	5428	-1	6
	CGIOS.CI_DOCVERSION_08×		13936 3	6103766	3	5		04-03 13:03:59			0 139		5	679	6103766	-1	5
	CGIOS.CI_DOCVERSION_09×	Unique	14566 3	6103766	22	6	2016-	04-03 13:03:59	18 Ye	es	0 145	565 95	24	29	4137	-1	6
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						<u> </u>		HighZKey		LOWZNey					ount Derauit		
	VERSION_STATUS	INTEGER (4)	No	4	Ascending		3	4	00.00.000000	10014.00	10.04.00.00.000	39	0	No 0	TC		
	UDD53_RECEIVEDON	TIMESTAMP (10)		11	Ascending		489			2014-09-	13-04.00.00.0000		0	Yes 60495	55		
	OBJECT_ID	VARCHAR (16)	No	20	Ascending			'y-Fv-			_	0	0	No 0			
		VARCHAR (16)	No	20	Ascending		12	'{¶@+b-e	+±.	'+N+{⊤xE-	+H	1	0	No 0			
	U7118_DOCUMENTSTATUS			5	Ascending		5	g'RESEND'		g'FAIL'		151	0	Yes 60495	555		
	SECURITY_ID	VARCHAR (16)	No	20	N/A	N/A	36	'ri-xK<	+•'	ир6-С	C+kB+T+E+'	2	0	No 0			
	EPOCH_ID	INTEGER (4)	No	4	N/A	N/A	24	24		1		3	0	No 0			
		VARCHAR (16)	No	5	N/A	N/A	1					4	0	Yes 61037	/66		
		VARGRAPHIC (80)		42	N/A	N/A	12	g'odanwss'		g'C49655		5	0	Yes 0			
	CREATE_DATE	TIMESTAMP (10)		11	N/A	N/A			51.50.391000'		12-07.05.55.1490		0	Yes 0			
	MODIFY_USER	VARGRAPHIC (80)		40	N/A	N/A	32	g'odanwss'		g'B89889		7	0	Yes 0			
	MODIFY_DATE	TIMESTAMP (10)		11	N/A	N/A					12-07.05.55.1510		0	Yes O			
	STORAGE_CLASS	VARCHAR (16)	No	21	N/A	N/A	16	'+V7'' ∢ a[B++T+\-	-'	\+++L"+0-	۰] +++ F-+++	9	0	Yes O			
ne index is used to access the data	IS_RESERVED	SMALLINT (2)		2	N/A	N/A	2	1		0		10	0	No O			
		CMALLINE (2)	ki	h	KL2A	KL2A	1	4		0		4.4	0	N ₂ 0			

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Sorting #3 (8|15)

• Another example:

SELECT ... FROM DocVersion T0 WHERE (T0.home_id IS NULL -- card=1; always NULL AND T0.recovery_item_id IS NULL -- card=1; always NULL AND ((ub3e8_clientname = ? -- card=30,209 AND object_class_id=? -- card=12 AND version_status = ?))) -- card=3 ORDER BY ub3e8_clientname DESC, object_id ASC FETCH FIRST 400 ROWS ONLY OPTIMIZE FOR 400 ROWS

 Created new index (VERSION_STATUS, OBJECT_CLASS_ID, UB3E8_CLIENTNAME, OBJECT_ID). Even with poor cardinality and one column with fair cardinality on the where clauses, this SQL statement ran well by matching on the first three columns, and using the fourth to resolve the physical sort.



Sorting #3 (9|15)

- The DBMS can "step through" the index and retrieve the rows in sequence as they appear on the index, up to 400 as specified in the SQL.
- And since the data is "clustered" (coming up next) on this index, physical IO is minimized.
- Note the DESC sort sequence doesn't matter in this example since there is an equal clause on UB3E8_CLIENTNAME; in fact the ORDER BY criteria on this particular column isn't even required, only OBJECT_ID.

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Sorting #3 (10|15)

	Data operation complete (16616.76) 60 → ↓ Sorted table data scan (16616.76)	Object name Ro CGI0S2.D0CVERSION 18	ows Pages Stat 180664 68698 201			oarents RI childre	n Riself Ke 0 0	yunique Keycolumns (1		Append mode Rows inserted		tile
	5	Index name			ull keycard First k	eycard Cluster ra	tio Col count			ard First3 keycar		d Pct free l
Before	3 白ー 4 E Row identifier scan (RID) (1026.16) 2 白ー 食↓ Data is sorted (1026.16)	SYSIBM.SQL180619113028 CGIOS2.I_DOCVERSION22			880664 18806 880664 18806		1	2019-02-10 16:08:00 2019-02-10 16:08:00	-1 1880664	-1 1880664	-1	1 1 1 1
	1 └── ∔m Index scan (no table access) CGIOS2.CI_DOCVERSION_05X (969.08)	CGIOS2.I_DOCVERSION73		523 2 1	1	100	1	2019-02-10 16:08:00		-1	-1	.1 .
		CGIOS2.CI_DOCVERSION_C	01X Unique	4934 3 1	880664 59153	3 80	5	2019-02-10 16:08:00	59154	238162	1880664	10 !
		CGIOS2.CI_DOCVERSION_C			880664 15378		5	2019-02-10 16:08:00	153783	284313	1880664	10 !
		CGIOS2.CI_DOCVERSION_			02640 10263		3	2019-02-10 16:08:00	102633	102640	-1	-1 -
		CGIOS2.CI_DOCVERSION_			460203 14599		3	2019-02-10 16:08:00	1459991	1460203	-1	-1 -
		CGIOS2.CI_DOCVERSION_0	05X Duplicates	8006 3 1	880664 2	86	5	2019-02-10 16:08:00	10	1692670	1880664	-1 -
		<										
		Column name	Data type	Avg col lengt	h Index order Key	y seq Cardinality	High2Key	Low2Key	Col number	Nulls Null count	Default	
		VERSION_STATUS	INTEGER (4)	4	Ascending N//	A 2	4	1	40	No 0		
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		U1708_DOCUMENTTITLE	VARGRAPHIC (255	5) 64	Ascending N//	A 1880664	g'sample'	g'30083335 - Vocat'	54	Yes 121556		
			VARCHAR (16)	20	Ascending 1	1880664	"hitP	'd5		No 0		
		UBE06_SEARCHTYPE	INTEGER (4)	5	Ascending N//	A 2		2	70	Yes 1880656		

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2百一至 Fetch table data CGI0S2.D0CVERSION (37.04) CGI0S2.D0CVERSION 1880664 (68698 2019-02-12 13:21:	:17 68698	0 0	0	0 1	113 Rows inserte	ed Row		
After ¹ → [™] Index scan CGIOS2.CL_DOCVERSION_06× (15.15) Index name U	Unique rule Leaf Level:	s Full keycard F	First keycard Cl	luster ratio Col co	unt Statistics time	First2 keycard First3 keycard	First4 keycard	Pct free Uniqu	Je col count
	Primary Index 2042 3		1880664 10		2019-02-12 13:21:17	1 1	-1	.1 1	
CGIOS2.1_DOCVERSION22 U	Unique 2475 3	1880664 1	1880663 98	8 3	2019-02-12 13:21:17	1880664 1880664	-1	-1 3	
CGI0S21_D0CVERSION73 D	Duplicates 523 2	1 1	1 10	00 1	2019-02-12 13:21:17	-1 -1	-1	-1 -1	
CGIOS2.CL_DOCVERSION_01X U	Unique 4934 3	1880664 5	59153 80	0 5	2019-02-12 13:21:17	59154 238162	1880664	10 5	
CGIOS2.CL_DOCVERSION_02X U			153782 90		2019-02-12 13:21:17	153783 284313	1880664	10 5	
CGIOS2.CL_DOCVERSION_04X D			102632 94		2019-02-12 13:21:17	102633 102640	-1	-1 -1	
CGI0S2.CL_DOCVERSION_03K D			1459990 86		2019-02-12 13:21:17	1459991 1460203	-1	-1 -1	
CGIOS2 CL_DOCVERSION_05X D		1880664	2 86		2019-02-12 13:21:17	10 1692670	1880664	-1 -1	
CGIOS2.CL_DOCVERSION_06X D	Duplicates 3691 3	1880664	2 99	9 4	2019-02-12 13:21:17	10 30011	1880664	10 -1	
Column name Data type	e Usertype A	Avg col length In	ndex order Key	seq Cardinality H	igh2Key	Low2Key	Col number F	Part key seq N	ulls Null count
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	APHIC (100) No 5	5 A	scending N/A	30209 g	VAMSI'	g'1111102'	110 0) Y	es 1820660
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Sorting #3 (11|15)

• Comparison



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Sorting #4 (12|15)

• Another example:

```
SELECT ...
FROM DocVersion T0
WHERE (T0.home_id IS NULL -- card=1; always NULL
AND T0.recovery_item_id IS NULL -- card=1; always NULL
AND ( ( uab28_policynumber = ? -- card=64,001
AND object_class_id=? -- card=12
AND version_status = ?))) -- card=3
ORDER BY udle3_policyfromdate DESC, object_id ASC
FETCH FIRST 400 ROWS ONLY
OPTIMIZE FOR 400 ROWS
```

 Created new index (VERSION_STATUS, OBJECT_CLASS_ID, UAB28_POLICYNUMBER, UD1E3_POLICYFROMDATE **DESC**, OBJECT_ID). Even with poor cardinality and one column with fair cardinality on the where clause, this SQL statement ran well by matching on the first three columns, and using the fourth and fifth to resolve the physical sort.



Sorting #4 (13|15)

- The DBMS can "step through" the index and retrieve the rows in sequence as they appear on the index, up to 400 as specified in the SQL.
- And since the data is "clustered" (coming up next) on this index, physical IO is minimized.
- Note that previously this used the index created in the prior example. Note also the DESC sort sequence does matter in this example. Although with REVERSE SCAN indexing, either works.

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Sorting #4 (14|15)

		()															_
	Data operation complete (8110.23)		Object name	Rows	Pages Statis			s RI parent	s RI child	ren RIself Key	/ unique Key	columns Col	count Append	d mode Lock	size Vo	latile	1
	6 🗖 – ↓ 📰 Sorted table data scan (8110.23)		CGIOS2.DOCVERS	ON 188066	4 68698 2019	02-12 13:21:	17 68698	0	0	0 0	1	113	Rowsi	inserted Row			
	5 🗗 – 🛃 Data is sorted (8110.23)							den er									
	4		Index name							ratio Col count			First2 keycard Fi				ree
• Doforo	3 由— ↓ E Row identifier scan (RID) (538.97)		SYSIBM.SQL18061		Primary Index		1880664	1880664	100	1	2019-02-12		-1 -1		1	-1	-
Before	2 由— ⋛↓ Data is sorted (538.97)		CGIOS2.I_DOCVER			2475 3	1880664	1880663	98	3	2019-02-12				1	-1	_
	1 └── ↓m Index scan (no table access) CGIOS2.CI_D	DOCVERSION_06X (481.88)	CGIOS2.I_DOCVER			523 2	1	1	100		2019-02-12				1	-1	_
			CGIOS2.CI_DOCVE			4934 3	1880664	59153	80	5	2019-02-12				880664		_
			CGIOS2.CI_DOCVE			5315 3	1880664	153782	90		2019-02-12				880664		
			CGIOS2.CI_DOCVE			666 3	102640	102632	94	3	2019-02-12				1	-1	
			CGIOS2.CI_DOCVE			2873 3	1460203	1459990	86	3	2019-02-12				1	-1	_
			CGIOS2.CI_DOCVE			8006 3	1880664	2	86	5	2019-02-12				880664		
			CGIOS2.CI_DOCVE	RSION_06X	Duplicates	3691 3	1880664	2	99	4	2019-02-12	13:21:17	10 3	0011 1	880664	10	
			<														
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			Column name	Data type		vg col length			ardinality	High2Key	L	ow2Key		imber Nulls Ni		Default	
			VERSION_STATUS				Ascending			4	1		40	No 0			
			OBJECT_CLASS_ID			0	Ascending			'}p0'1zE0 00'	'8	-	1	No 0			
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ſ						ller				·				II	l	- 71	
	Data operation complete (26.05)	Object name		Statistics tim			parents RI			sy unique Key			Append mode		Vola	atile	4
	2	CGI0S2.D0CVERSION	1880664 68698	2019-02-13	10:44:00 686	98 0	0	0	0	1	1	113	Rows inserted	d Row			
	1 └── ↓ Index scan CGIOS2.CI_DOCVERSION_07× (15.14)		П., .	. I I.							m		der ett		1.		=
		Index name				_				Statistics time		First2 keyca	ard First3 keyca				niqu
After		SYSIBM.SQL180619113	028090 Primary I	nde 2042 3	188066	4 18806	64 100	0 1		2019-02-131	0:44:00	-1	-1	-1	-1	i 1	
AILEI		CGIOS2.1_DOCVERSION	V22 Unique	2475 3	188066	4 18806	63 98	3		2019-02-131	0:44:00	1880664	1880664	-1	-1	1 3	
		CGIOS2.1_DOCVERSION	N73 Duplicat	es 523 2	1	1	100	0 1		2019-02-131	0:44:00	-1	-1	-1	-1	1 -1	
		CGIOS2.CI_DOCVERSIO	ON 01X Unique	4934 3	188066	4 59153	3 80	5		2019-02-131		59154	238162	1880664	10	0 5	
		CGIOS2.CI_DOCVERSIO		5315 3		4 15378				2019-02-131		153783	284313	1880664	10	-	
		CGIOS2.CI_DOCVERSIO		es 666 3						2019-02-13 1		102633	102640	-1	-1	-	
		CGIOS2.CI_DOCVERSIO		es 2873 3						2019-02-13 1		1459991	1460203	-1	-1		
		CGIOS2.CI_DOCVERSIO		es 8006 3	188066		86			2019-02-131		10	1692670	1880664	-1		
		CGIOS2.CI_DOCVERSIO		es 3691 3			99			2019-02-131		10	30011	1880664	10		
		CGIOS2.CI_DOCVERSIO	0N_07X Duplicat	es 5349 3	188066	4 2	99	5		2019-02-131	0:44:00	10	60229	60229	10	0 -1	
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		Column name	Data type		col length In				ligh2Key	1	Lo	ow2Key		Col number			<u>int l</u>
		VERSION_STATUS	INTEGER (4)			cending			ł		1			40	No		
		OBJECT_CLASS_ID	VARCHAR (1	6) 20	As	cending	N/A 9	1 1	pilizEii i	ш'	'a			1	No	0	
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		UD1E3_POLICYFROMD	ATE TIMESTAMP	(10) 11	De	escending	N/A 6	1441 3	2174-04-	10-04.00.00.0	00000' '2	010-01-02-05	5.00.00.000000'	107	Yes	182066	0
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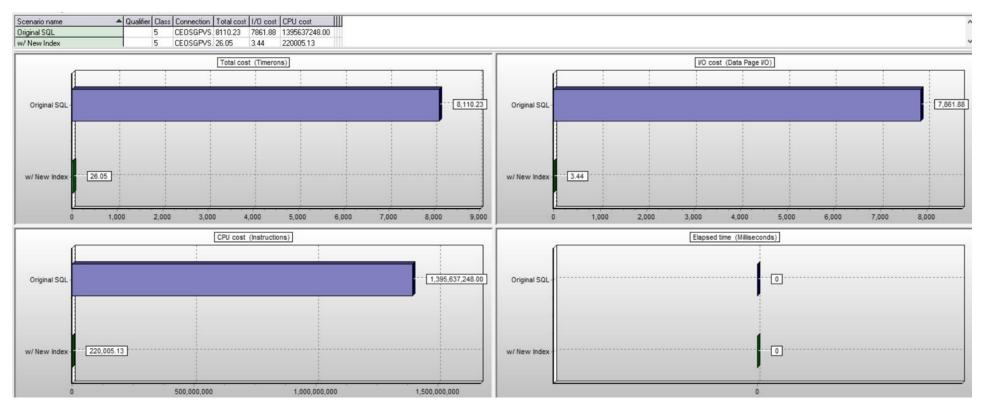
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Sorting #4 (15|15)

• Comparison



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Referential Integrity (1|8)

- There are various types of referential integrity or RI.
 - RI can be DBMS-enforced or application-enforced.
 - These days, let the DBMS handle RI unless there are situations the DBMS can't handle.
- The simplest is declaring a column or group of columns unique:
 - A one-column example is the CLAIM table where you can only have one row for a CLAIM_ID; this index would be defined as UNIQUE and the DBMS would prevent inserting a row with a duplicate CLAIM_ID.
 - A two-column example is the CLAIM_LINE table where you can only have one row for the combination of CLAIM_ID and LINE_ITEM. This two-column index would be defined as UNIQUE and the DBMS would prevent inserting a row with a duplicate CLAIM_ID / LINE_ITEM combination.



Referential Integrity (2|8)

- When an index is unique, either one column or multi-column:
 - Define the index as unique to tell the optimizer there will be only one row for any entry (e.g. the chain length is always one).
 - The DBMS can better optimize queries knowing this column or group of columns is unique.
 - You can "INCLUDE" additional columns for index-only access (coming up shortly).
- Another type of RI is the parent-child relationship involving foreign keys:
 - A CLAIM_LINE row can't be inserted until the corresponding parent CLAIM row has been inserted.
 - The DBMS checks for the existence of the CLAIM_ID on the CLAIM table before allowing any inserts to CLAIM_LINE with that same CLAIM_ID.
 - For this check it's imperative there's an index on the CLAIM_ID column, which there almost certainly is since it's likely the primary key of the table.



Referential Integrity (3|8)

- Consider the process to archive and purge old claims after some period. There are three RI options when deleting the parent:
 - To delete all the children too at the same time as part of that delete, use CASCADE.
 - If instead you don't want to delete the parent until all children are deleted first, use RESTRICT to prevent deleting child rows.
 - Can also set the CLAIM_ID on the CLAIM_LINE table to NULL using the SET NULL option.
- Same options on UPDATEs.



Referential Integrity (4|8)

• For example:

ALTER TABLE CLAIM_LINE ADD CONSTRAINT CLAIM_FK FOREIGN KEY(CLAIM_ID) REFERENCES CLAIM -- On CLAIM_LINE insert, ensure CLAIM_ID exists on CLAIM ON DELETE CASCADE -- On CLAIM delete, cascades; index CLAIM_LINE(CLAIM_ID) ON UPDATE RESTRICT -- On CLAIM update, restricts; index CLAIM_LINE(CLAIM_ID)

Index on CLAIM_LINE (CLAIM_ID, LINE_ITEM) suffices since CLAIM_ID is leading column.



Referential Integrity (5|8)

• Here's an example of a very straightforward DELETE:

delete from REASON_NOT_COVERED
where RNC = 1368



Referential Integrity (6|8)

• Here's an example of a very straightforward DELETE:

delete from REASON_NOT_COVERED
 where RNC = 1368

• And here's the EXPLAIN:

🗁 🏢 Data operation complete (59118904.00)	Object name		Туре	Rows	Pages	Stati	stics time	File p	ages Pct	t free F	R parents	RI children	RI self	Key uniqu	e Keycolum	ns Col coun
8 西— 🏆 Filter data (59118904.00)	DBADMIN.PEND_CHASE	_RNC_DET	Table	273	2	2016	6-07-10 03:27	2:09 2	-1	1		0	0	0	2	2
7 Jable access full DBADMIN.PEND_CHASE_RNC_DET (50.27)	DBADMIN.CLAIM		Table	1445005	57 3624803	2 2016	6-07-10 03:14	:26 3691	072 -1	7	7	13	0	0	1	124
6 Table access full DBADMIN.CLAIM (14461699.00)	DBADMIN.CLAIM_AU		Table	1501421	1 377429	3 2016	6-07-10 03:12	2:46 3791	936 -1	7	7	3	0	0	2	126
5 Table access full DBADMIN.CLAIM_AU (14857040.00)	DBADMIN.CLAIM_SERVI	CE_ITEM	Table	3844474	5 351055	7 2016	6-07-10 03:21	:49 3532	160 -1	Ę	5	0	0	0	2	77
4 Table access full DBADMIN.CLAIM_SERVICE_ITEM (13861336.00)	DBADMIN.CL_SERV_ITE	M_AU	Table	4020069	404319	3 2016	6-07-10 03:29	3:55 4062	445 -1	4	ļ	0	0	0	3	78
3 - JE Table access full DBADMIN.CL_SERV_ITEM_AU (15938724.00)	DBADMIN.REASON_NO1	_COVERED	Table	542	47	2016	6-07-10 03:23	3:40 47	-1	()	5	0	0	1	8
2 由 글→ Delete statement (50.03) 1 └─ ↓m Index scan (no table access) DBADMIN.PK_RNC (25.02)	•															
	Index name	Ту	pe U	nique rule	Leaf Leve	ls Full key	card First I	keycard [C	luster ratio C	ol count S	tatistics time	Int	ernal ID U	User defined S	System req S	eq pages [
	DBADMIN.PK_RNC	Re	egular Pi	rimary Index	2 2	542	542	1	1 1	2	016-07-10 0	3:23:40 1	1	No 1	1 1) (
	•		-													
	Column name	Data type		User type	Avg col length	Index order	Key seq 🛛 I	Cardinality	High2Key	Low2Key	Col nurr	nber Part ke	yseq Null	ls Null count	Default	
	BNC	011111111	(8)													
	INC	SMALLINT	[2]	No	2	Ascending	1	542	1941	3	0	0	No	0		
	RNC_DESCRIPTION	CHARACTE		No No	2 101			542 365	1941 Zero dollars b	3 Allegiance	0 +CF 1	0	No Yes			
			R (100)		2 101 194	N/A	N/A					0 0 0 0		: 1		
	RNC_DESCRIPTION	CHARACTE	R (100) (1024)	No		N/A N/A	N/A	365	Zero dollars b				Yes	: 1 : 0		
	RNC_DESCRIPTION PRINTED_DESC	CHARACTE VARCHAR (R (100) (1024) R (1)	No No		N/A N/A N/A	N/A N/A	365	Zero dollars b YOUR PLAN				Yes Yes	2 1 2 0 2 0		
	RNC_DESCRIPTION PRINTED_DESC SAVINGS	CHARACTE VARCHAR (CHARACTE	(100) (1024) (R (1) (2)	No No No		N/A N/A N/A N/A	N/A N/A N/A	365	Zero dollars b YOUR PLAN				Yes Yes Yes	2 1 2 0 2 0 2 542		
	RNC_DESCRIPTION PRINTED_DESC SAVINGS STATUS_IND	CHARACTE VARCHAR (CHARACTE SMALLINT	R (100) (1024) R (1) (2) R (10)	No No No		N/A N/A N/A N/A N/A	N/A N/A N/A N/A	365	Zero dollars b YOUR PLAN				Yes Yes Yes Yes	 1 0 0 542 539 		

• What happened here? Why five tablescans? Four are quite large compared to the simple DELETE using the PK index.

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Referential Integrity (7|8)

• Looking at the REASON_NOT_COVERED DDL, we see:

ALTER TABLE PEND_CHASE_RNC_DET ADD CONSTRAINT FK_PEND_CHASE_D1 FOREIGN KEY (RNC) REFERENCES REASON_NOT_COVERED (RNC) ON DELETE RESTRICT ON UPDATE NO ACTION

ALTER TABLE CLAIM ADD CONSTRAINT FK_536 FOREIGN KEY (RNC) REFERENCES REASON_NOT_COVERED (RNC) ON DELETE RESTRICT ON UPDATE NO ACTION

ALTER TABLE CLAIM_AU ADD CONSTRAINT FK_20654 FOREIGN KEY (RNC) REFERENCES REASON_NOT_COVERED (RNC) ON DELETE RESTRICT ON UPDATE NO ACTION

ALTER TABLE CLAIM SERVICE_ITEM ADD CONSTRAINT FK_537 FOREIGN KEY (RNC) REFERENCES REASON_NOT_COVERED (RNC) ON DELETE RESTRICT ON UPDATE NO ACTION

ALTER TABLE CL_SERV_ITEM_AU ADD CONSTRAINT FK_20657 FOREIGN KEY (RNC) REFERENCES REASON_NOT_COVERED (RNC) ON DELETE RESTRICT ON UPDATE NO ACTION

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Referential Integrity (8|8)

• Each of these tables:

PEND_CHASE_RNC_DET CLAIM CLAIM_AU CLAIM_SERVICE_ITEM CL_SERV_ITEM_AU requires an index with leading column RNC to eliminate the table scans.

• Table PEND_CHASE_RNC_DET is only two pages so a table scan is acceptable, however an index would be optimal and avoid accessing the data pages at all.



Index-only access (1|2)

- Often we can eliminate access to table data pages entirely by adding one or more columns to an index.
 - Doing this increases the size of the index, and time to create and maintain the index (e.g. INSERTs), but may also benefit other SQL calls.
 - The goal is to eliminate what will likely be physical IO to the table data pages to get additional columns in the select clause.
- Don't overuse this feature; it may make sense in cases where adding a short column or two helps many SQL calls; that's where to use this.
- Some DBMS index analyzers make recommendations that flood indexes with additional columns to reduce IO.



Index-only access (2|2)

- Doing this can lead to redundant indexing on primary keys and other unique indexes. DBAs often had to create a unique index to ensure a unique constraint, and for performance reasons create a second index with additional columns for index-only access which is redundant.
- To avoid this, nearly all DBMS have added the INCLUDE feature, to include additional columns on a unique index for index-only access without affecting the unique constraint on the base column or columns. For example:

```
CREATE UNIQUE INDEX CLAIM_PK
ON CLAIM
(CLAIM_ID ASC)
INCLUDE
(CLAIM_STATUS);
```



"One fetch" access (1|3)

• "One fetch" access is a very special case; a frequently-used example is a subselect to get the maximum (or minimum) of some date column:

SELECT MAX(EFF_DATE)
FROM tablename
WHERE ACCT_NUM =?
AND SUBSC_PID=?

- This SQL will benefit from an index on (ACCT_NUM, SUBSC_PID) but then require access to data pages to get EFF_DATE, and a sort to derive the maximum value.
- Instead, create optimal index (ACCT_NUM, SUBSC_PID, EFF_DATE **DESC**) to:
 - Make this query index only and
 - "One fetch" because the first entry accessed will be the max since the collating sequence on EFF_DATE is descending.



"One fetch" access (2|3)

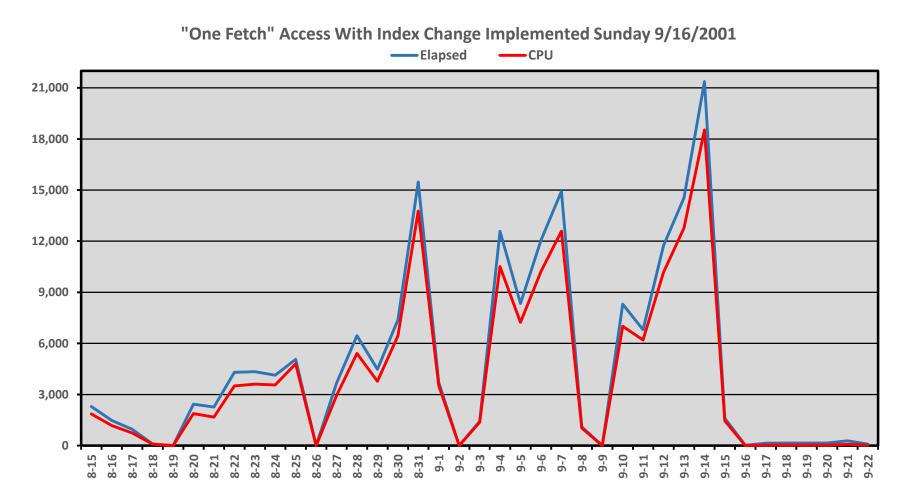
- This has a lot of applicability especially when retrieving maximum or minimum values in a subselect passed back to complete criteria in the main select.
- An example from 2001 was a recommended index change to improve one SQL call run repeatedly (average of once every 20 seconds):
 SELECT MAX (A_RANDOM_NUM) INTO :H :H

```
FROM GGDD. PORG_PROV_RFL_MAP
```

- Changed index to (A_RANDOM_NUM DESC)
- Converted NMI-scan to "one-fetch" access; dramatically reduced lock contention, completely eliminated lock timeout abends (over 15 CICS abends per day), etc.
- Saved \$1,600,000 per year (CPU) plus intangible savings from dramatically reduced customer wait time, abends, frustration and rework.



"One fetch" access (3|3)



Indexing

- Expression-based indexes
 - $\circ~$ Impacts of mixed case data
 - $\circ~$ Data entered free form; could be lower, upper or mixed case
 - $\circ~$ Data used frequently in searches, but unsure what case to use
 - o Concerned about performance of searches? And results returned by searches? You should be
 - Recent example using relatively-new (Db2 10.5) LOWER (SSO_ID) and LOWER (EMAIL)
 - $\circ~$ Two options:
 - 1. If possible, choose case to convert to <u>before</u> data row insert, and use for searches; either lower (e.g. email address) or upper (e.g. street address); will save processing time later and avoid potential issues
 - 2. If mixed case is required (e.g. names):
 - ✓ Insert data in mixed case for proper display
 - ✓ Settle on one case to use when retrieving data from a mixed-case column, either upper or lower (has no impact on how the data is displayed); in this case we'll use upper
 - ✓ Create an index on UPPER (LAST_NAME) for search purposes to avoid table / non-matching index scan
 - ✓ Always use WHERE UPPER (LAST_NAME) = ? (which is all upper case)
 - Recent example using relatively-new (Db2 10.5) LOWER (SSO_ID) and LOWER (EMAIL)
 CREATE INDEX ERD.DLG USER6X

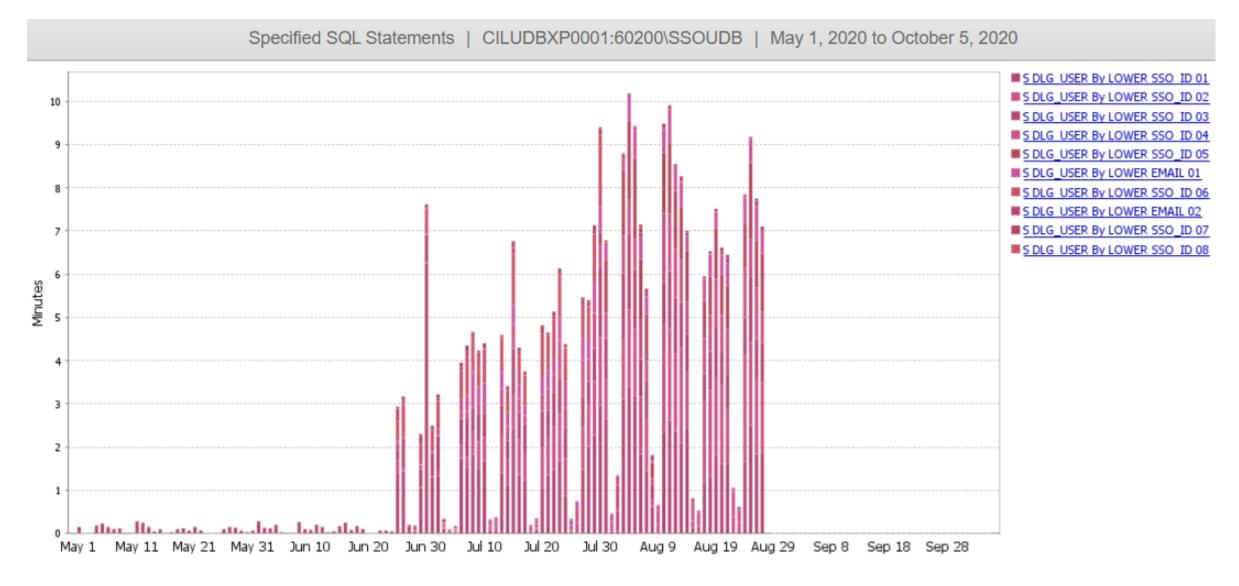
ON ERD.DLG_USER

(LOWER (EMAIL) ASC)

ALLOW REVERSE SCANS;

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Indexing



Indexing

EXCLUDE NULL KEYS

- $_{\odot}\,$ Situation encountered on FileNet database with column that should be unique
- $_{\odot}$ However this column is NULL for multiple "template rows"
- $\circ\,$ Need to enforce uniqueness via UNIQUE index, but couldn't until \ldots
- Relatively-new (Db2 10.5) EXCLUDE NULL KEYS allows multiple rows with NULL while enforcing uniqueness across all other rows

```
CREATE UNIQUE INDEX SVPP8DENTAL.CI_DOCVERSION_13X
```

```
ON SVPP8DENTAL.DOCVERSION
```

```
(U2728_DCN ASC)
PCTFREE 10
ALLOW REVERSE SCANS
```

- EXCLUDE NULL KEYS;
- $_{\odot}\,$ This was the first time we've ever had to use this option
- Improves compatibility for migrations from Oracle (default in Oracle)



Topics

- HW, SW and Currency
- DB Configuration
- Maintenance
- Data Archive and Purge
- SQL
- Indexing
- Flash Storage (SSD)
- Implementation Details
- Q & A and More Information



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Flash Storage (SSD)

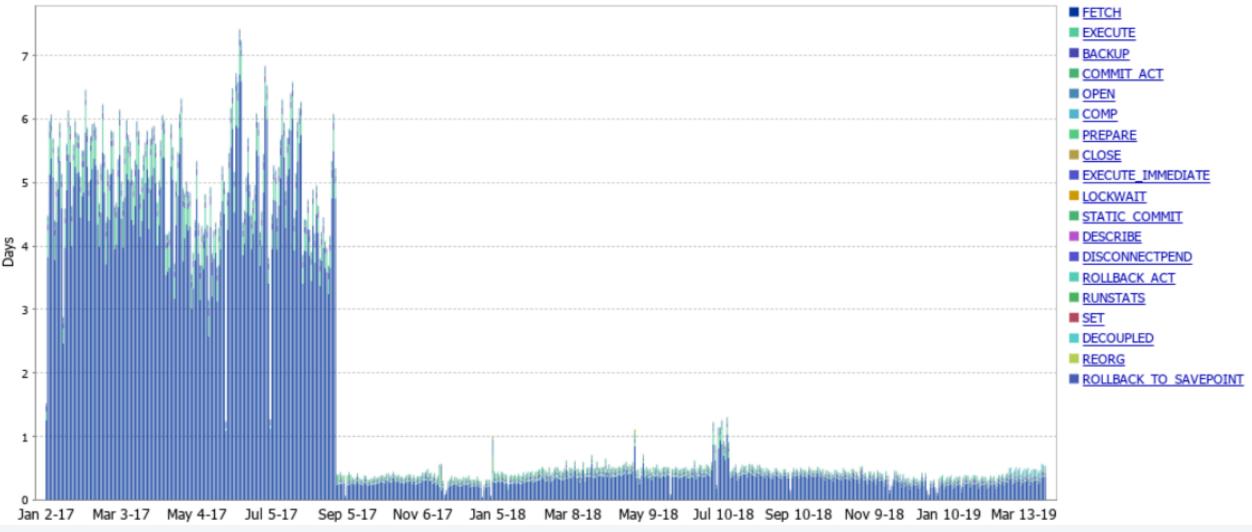
- Storage types
 - $_{\odot}$ SSD (Solid-State Drives) or Flash
 - \circ Spindle
 - \circ Optical
- Measurable impact
 - $_{\odot}$ Large project that included multiple performance improvements
 - 1. Major data purging
 - 2. DB re-configuration, including HADR
 - 3. Created six new indexes and changed ten existing indexes
 - 4. HW, OS and DBMS upgrades
 - 5. CPU cores reduced 25%
 - 6. SSD or Flash storage for all tables, indexes and transaction logs
- We could easily measure the overall impact, but what impact did SSD alone have?
- We found out on 6/30 when we were "migrated" off SSD in error
- Two weekends later, migrated back onto SSD; see next slide showing the impact





Top Waits | CILUDBXP0003_OR_04:50100\OVIHPRD | January 1, 2017 to March 31, 2019 | Selected Days: Monday, Tuesday, Wednesday,...

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Implementation Details

- HADR
- Replication and bi-directional failback capability
 - $\circ\,$ Using the prior example
 - 1. DBMS upgrade required an OS upgrade
 - 2. OS upgrade required a HW upgrade
 - 3. Able to provide bi-directional failback capability with the additional HW copy
 - 4. While still operating on the old platform, we moved data to new platform, purged, reorged, runstat, and we also replicated updates from the operational database on the old platform to the new platform
 - 5. After two weeks, we "migrated" (re-pointed) the app to the new platform
 - 6. If we encountered an issue after migration, we could re-point to the old environment where the data was current, again due to replication to keep the old platform data accurate
 - 7. After several days, we cut the lifeline to reduce overhead and began to free up the old platform resources
 - 8. We used IIDR\SQL Replication (DProp) for the data propagation in both directions (old to new before migration and new to old after migration)



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Q & A and More Information

- Q&A
- "How To Make Up Time, Or, How To Save Five Days In A Single Day"
 - CRM application upgrade and performance improvement project including HW upgrade, CPU core reduction, OS upgrade, storage conversion to SSD, DBMS upgrade, many configuration updates, major data purges, six index adds and ten index changes, all while maintaining bidirectional failback capability
 - o 2018-06-05 Central Canada Db2 User Group (CCDUG) Conference
 - 2019-06-04 International Db2 User Group (IDUG NA) Conference
- "Indexes: They're Not Just For Where Criteria Anymore"
 - \circ Presentation covering many aspects of indexing, many slides included in this presentation
 - 2019-04-30 Central Canada Db2 User Group (CCDUG) Conference
 - 2020-08-13 International Db2 User Group (IDUG NA) Virtual Conference
- Jim Bean, Cigna Performance & Forensics
- Email: jim.bean@cigna.com

