



**IDUG***VIRTUAL*

2021 Australasia Db2 Tech Conference

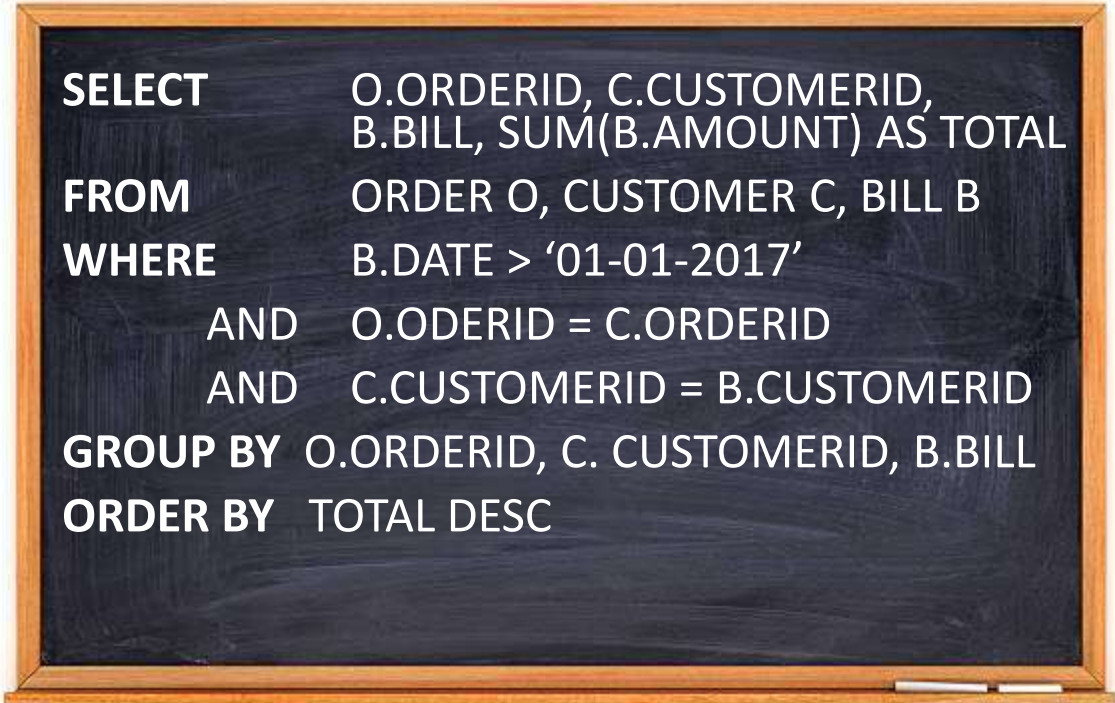
# SQL Tuning that Still Works

Sheryl Larsen, BMC

Even with the Intelligence  
of Db2zAI

z/OS

# First SQL Class Db2 V1



```
SELECT      O.ORDERID, C.CUSTOMERID,  
              B.BILL, SUM(B.AMOUNT) AS TOTAL  
FROM        ORDER O, CUSTOMER C, BILL B  
WHERE       B.DATE > '01-01-2017'  
              AND O.ORDERID = C.ORDERID  
              AND C.CUSTOMERID = B.CUSTOMERID  
GROUP BY    O.ORDERID, C.CUSTOMERID, B.BILL  
ORDER BY    TOTAL DESC
```

# 1984

- No internet
- No iPhone
- No laptop
- No ear buds
- No email
- No .....

• lwauX (Load Word Algebraic With Update Indexed) instruction

• lwax (Load Word Algebraic Indexed) instruction

• lwbrx or lbrx (Load Word Byte-Reverse Indexed) instruction

• lwz or l (Load Word and Zero) instruction

• lwzu or lu (Load Word with Zero Update) instruction

• lwzux or lux (Load Word and Zero with Update Indexed) instruction

• lwzx or lx (Load Word and Zero

# Fast Forward

35  
Y  
E  
A  
R  
S

1984

**Inner and Outer Joins, Table Expressions, Subqueries, GROUP BY, ORDER BY,** Complex Correlation, Global Temporary Tables, CASE, 100+ Built-in Functions including SQL/XML, Limited Fetch, Insensitive Scroll Cursors, UNION Everywhere, MIN/MAX Single Index, Self Referencing Updates with Subqueries, Sort Avoidance for ORDER BY, and Row Expressions, 2M Statement Length, GROUP BY Expression, Sequences, Scalar Fullselect, Materialized Query Tables, Common Table Expressions, Recursive SQL, CURRENT PACKAGE PATH, VOLATILE Tables, Star Join, Sparse Index, Qualified Column names, Multiple DISTINCT clauses, ON COMMIT DROP, Transparent ROWID Column, Call from trigger, statement isolation, FOR READ ONLY KEEP UPDATE LOCKS, SET CURRENT SCHEMA, Client special registers, long SQL object names, SELECT from INSERT, UPDATE or DELETE, INSTEAD OF TRIGGER, SQL PL in routines, BIGINT, file reference variables, XML, FETCH FIRST & ORDER BY in subselect & fullselect, caseless comparisons, INTERSECT, EXCEPT, MERGE not logged tables, OmniFind, spatial, range partitions, data compression, DECFLOAT, optimistic locking, ROLE, TRUNCATE, index & XML compression, created temps, inline LOB, administrative privileges, implicit cast, increased timestamp precision, currently committed, moving sum & average, index include columns, row and column access controls, time travel query, GROUPING SETS, ROLLUP, CUBE, global variables, Text Search functions, accelerated tables, DROP COLUMN, array data type, XML enhancements, moving SUM/AVG, ...

1989

2003

2010

2015

2019

# Cost based Optimizer figures out how to get the data

- The optimizer is responsible for
  - Choosing the most efficient method of accessing the data for a given SQL statement
- Think of your transportation choices
  - Start/end location, time of day, construction, traffic, available options/routes
    - All can impact the “quickest” route

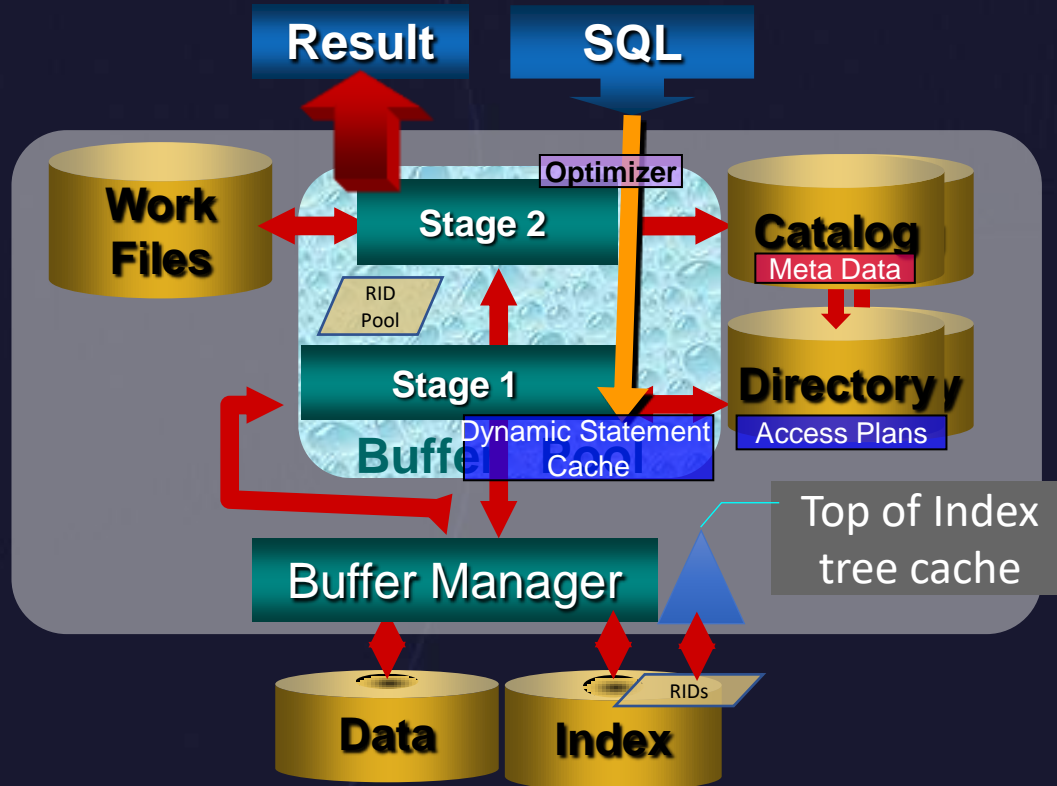


Optimizer



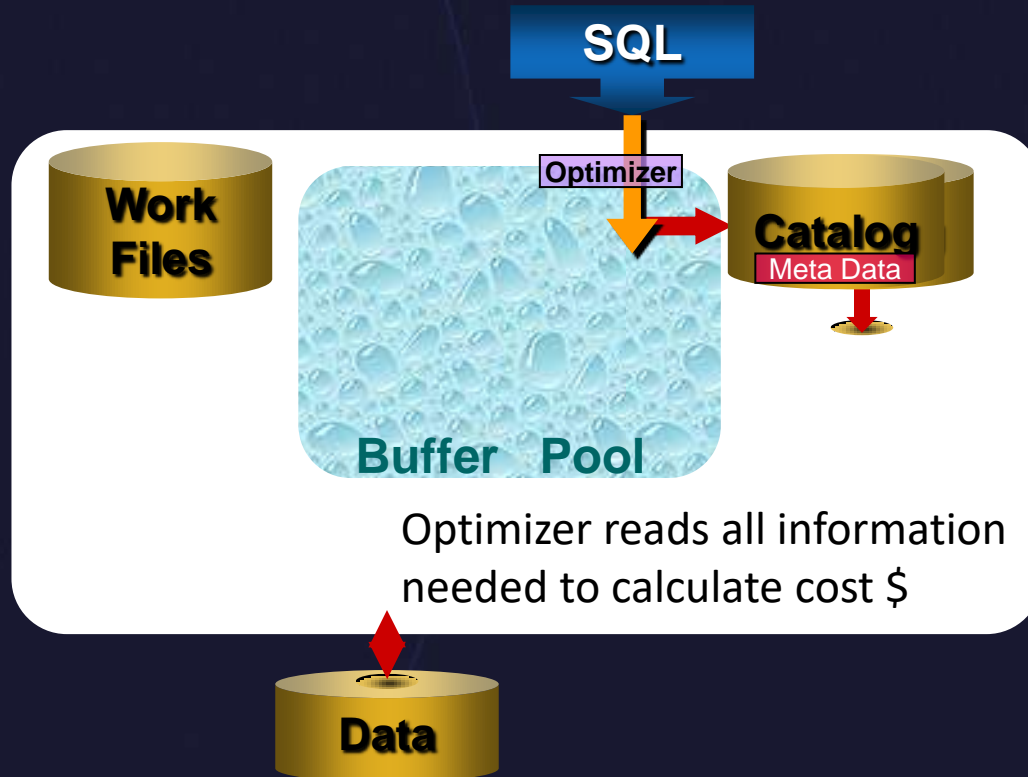
# DB2 Engine Components

## SQL Execution





# Meta Data – Everything known about each object

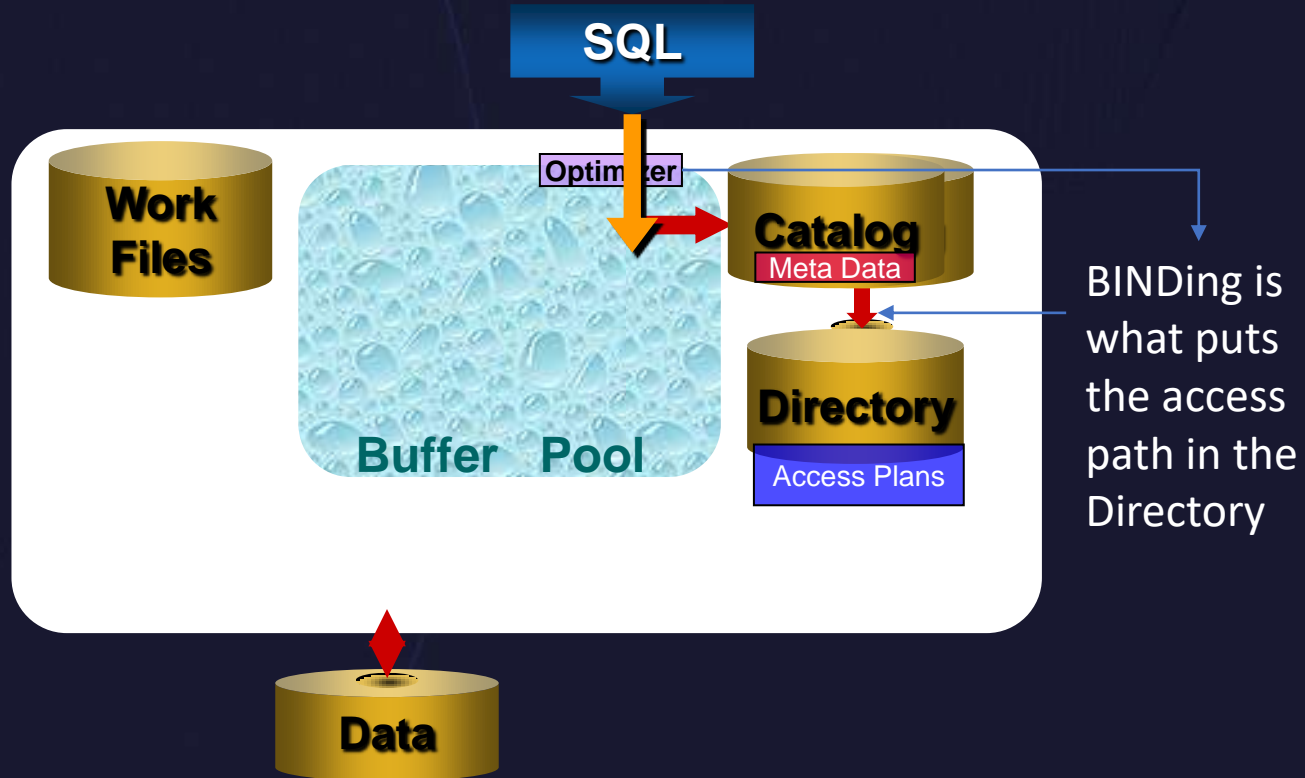


Stored in the Catalog

12 for z/OS Catalog Poster

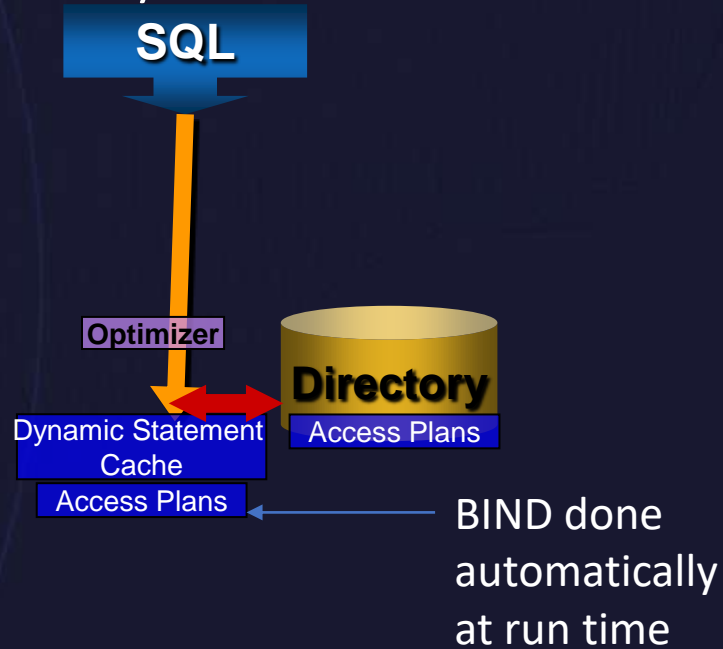
The poster displays a grid of database catalog information, organized by table and column. The columns include Table Name, Column Name, Data Type, Length, and other attributes. The rows represent individual columns within each table. The poster is titled "12 for z/OS Catalog Poster" and includes the BMC logo.

# Static SQL Access Plans Stored in Directory

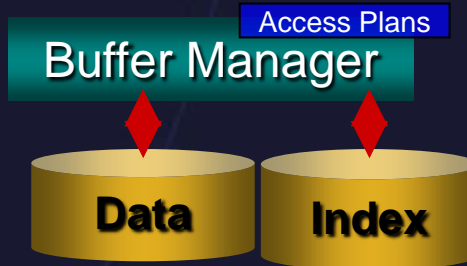




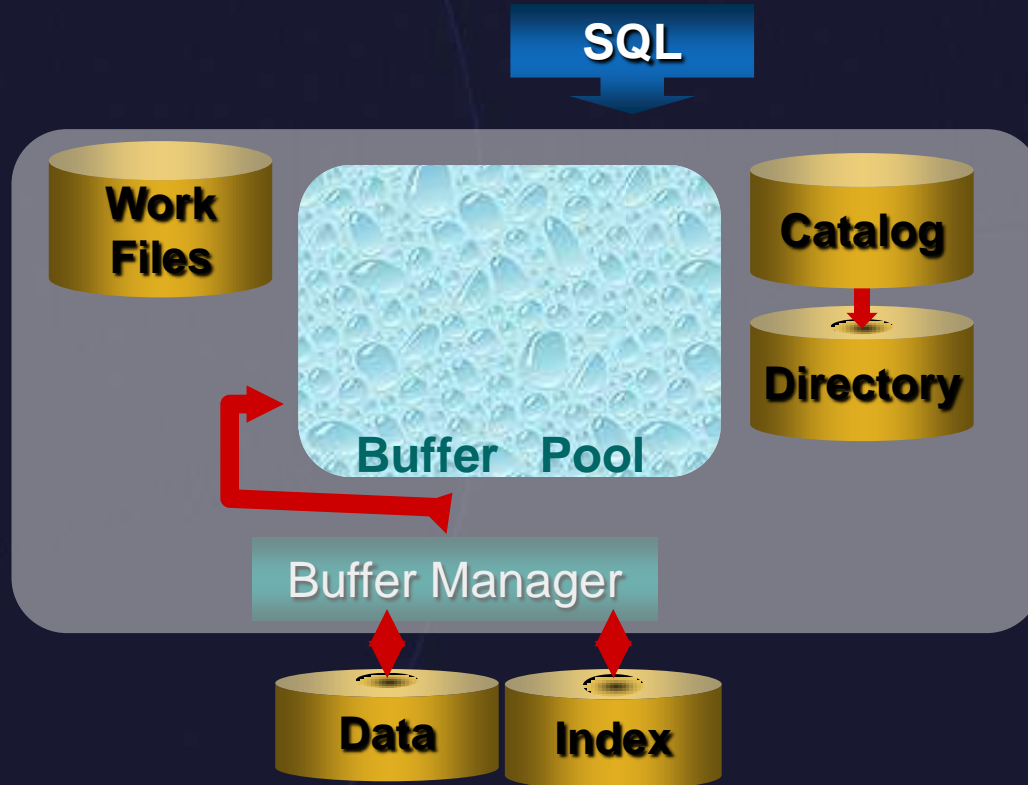
# Dynamic SQL is stored in the Dynamic Statement Cache



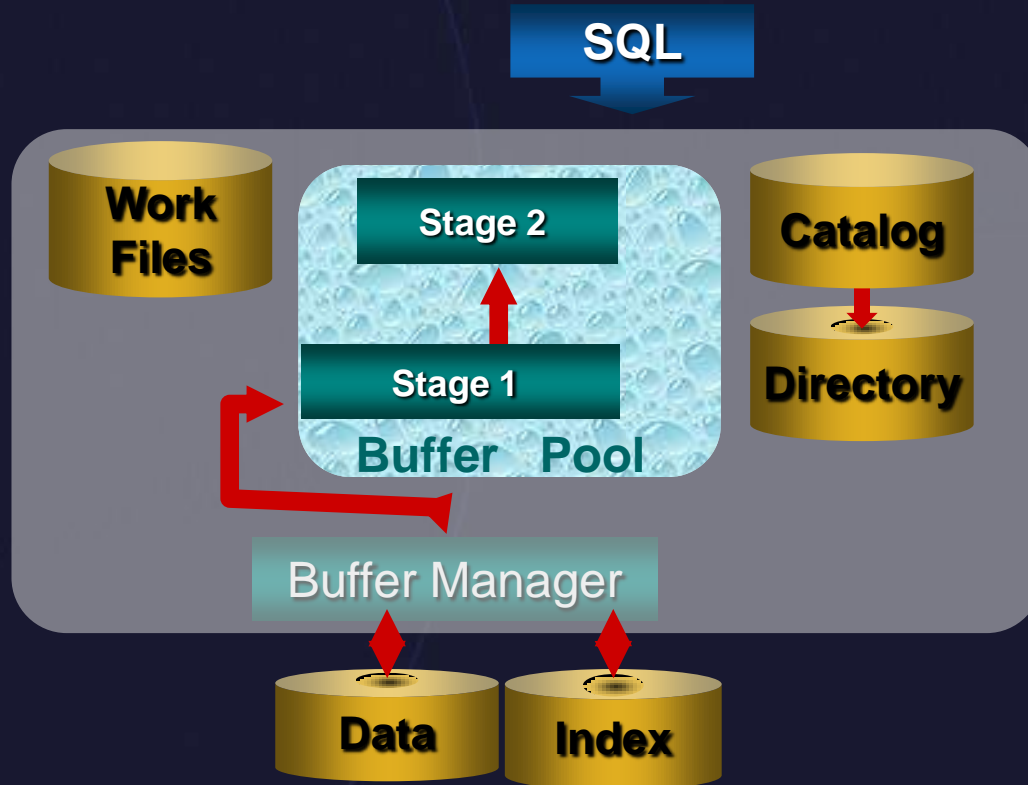
Execution time is when the Access Plan is given to the Buffer Manager



# Buffer Pool stores data in memory



# Stage 1 & 2 filter the data



# Indexable Stage 1 Predicates

Predicate Type	Indexable	Stage 1
COL = <i>value</i>	Y	Y
COL = <i>noncol expr</i>	Y	Y
COL IS NULL	Y	Y
COL <i>op</i> <i>value</i>	Y	Y
COL <i>op</i> <i>noncol expr</i>	Y	Y
COL BETWEEN <i>value1</i> AND <i>value2</i>	Y	Y
COL BETWEEN <i>noncol expr1</i> AND <i>noncol expr2</i>	Y	Y
COL LIKE ' <i>pattern</i> '	Y	Y
COL IN ( <i>list</i> )	Y	Y
COL LIKE <i>host variable</i>	Y	Y
T1.COL = T2.COL	Y	Y
T1.COL <i>op</i> T2.COL	Y	Y
COL=( <i>non subq</i> )	Y	Y
COL <i>op</i> ( <i>non subq</i> )	Y	Y
COL <i>op</i> ANY ( <i>non subq</i> )	Y	Y
COL <i>op</i> ALL ( <i>non subq</i> )	Y	Y
COL IN ( <i>non subq</i> )	Y	Y
COL = <i>expression</i>	Y	Y
(COL1,...COLn) IN ( <i>non subq</i> )	Y	Y
(COL1, ...COLn) = ( <i>value1</i> , ... <i>valuen</i> )	Y	Y
T1.COL = T2.colexpr	Y	Y
COL IS NOT NULL	Y	Y
COL IS NOT DISTINCT FROM <i>value</i>	Y	Y
COL IS NOT DISTINCT FROM <i>noncol expression</i>	Y	Y
COL IS NOT DISTINCT FROM <i>col expression</i>	Y	Y
COL IS NOT DISTINCT FROM <i>non subq</i>	Y	Y
T1.COL IS NOT DISTINCT FROM T2.COL	Y	Y
T1.COL IS NOT DISTINCT FROM T2.col expression	Y	Y

## Stage 1 Predicates

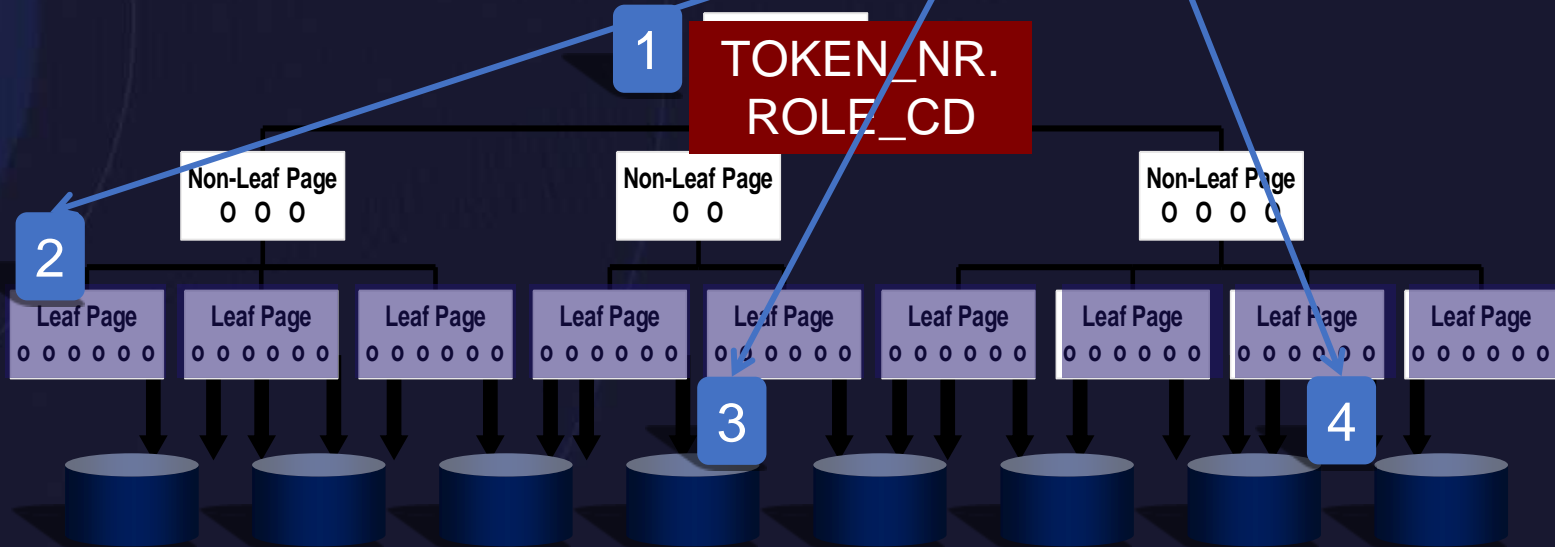
Predicate Type	Indexable	Stage 1
COL <> <i>value</i>	N	Y
COL <> <i>noncol expr</i>	N	Y
COL NOT BETWEEN <i>value1</i> AND <i>value2</i>	N	Y
COL NOT BETWEEN <i>noncol expr1</i> AND <i>noncol expr2</i>	N	Y
COL NOT IN ( <i>list</i> )	N	Y
COL NOT LIKE ' <i>char</i> '	N	Y
COL LIKE '% <i>char</i> '	N	Y
COL LIKE ' <i>char</i> '	N	Y
T1.COL <> T2.COL	N	Y
T1.COL1 = T1.COL2	N	Y
COL <> ( <i>non subq</i> )	N	Y
COL IS DISTINCT FROM	N	Y

1. **Indexable** = The predicate is applied to the root page of the chosen index. When the optimizer chooses to use a predicate in the probe of the index, the condition is named Matching (matching the index). This is the first point that filtering is possible in DB2.
2. **Index Screening** = The Stage 1 predicate is a candidate for filtering on the index leaf pages. This is the second point of filtering in DB2. *If partitioned filters limiting partitions are also applied*
3. **Data Screening** = The Stage 1 predicate is a candidate for filtering on the data pages. This is the third point of filtering in DB2.
4. **Stage 2** = The predicate is not listed as Stage 1 and will be applied on the remaining qualifying pages from Stage 1. This is the fourth and final point of filtering in DB2.

# Four Points of Filtering

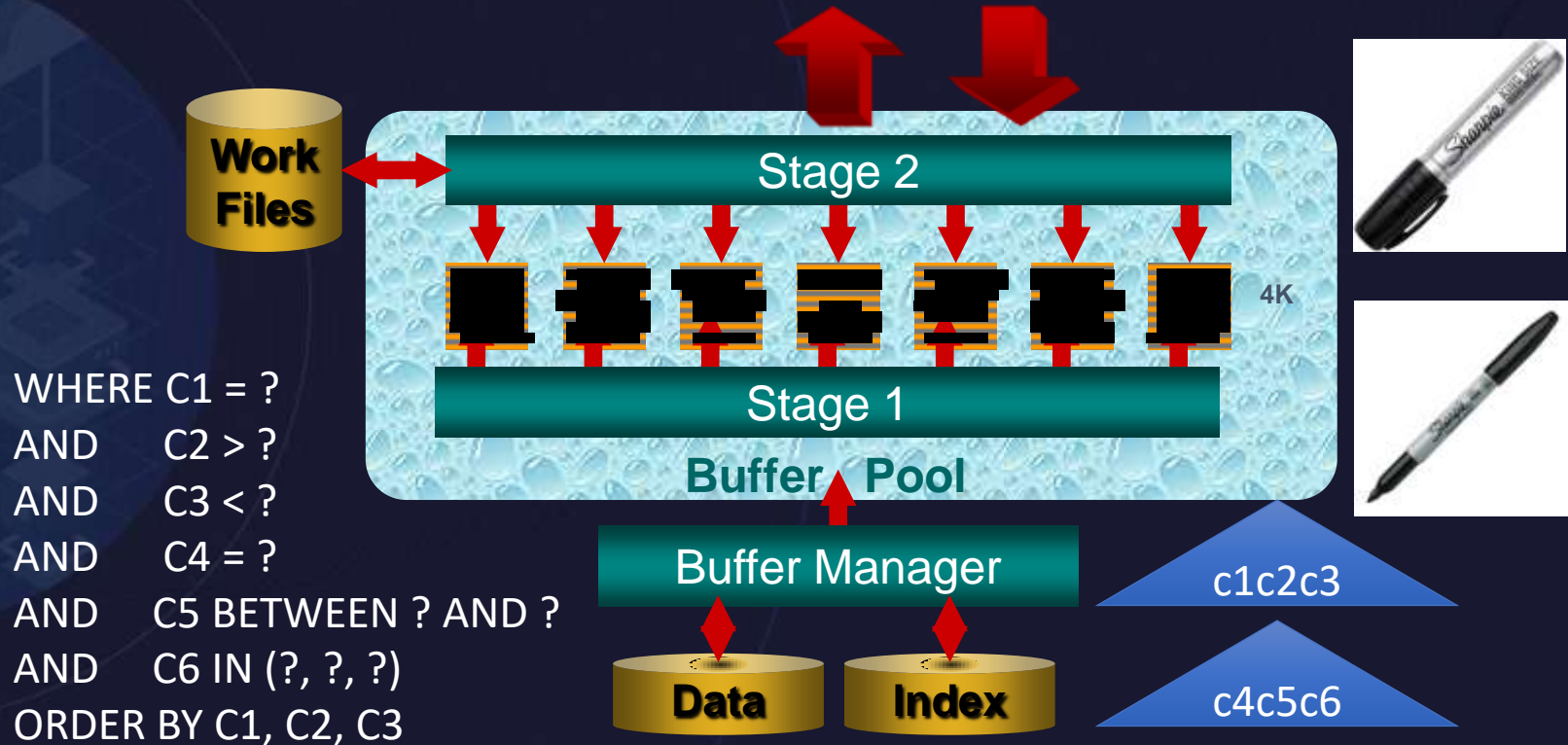
1. Indexable Stage 1 Probe
2. Stage 1 Index Filtering
3. Stage 1 Data Filtering
4. Stage 2

WHERE C.LAST\_NM LIKE ?  
C.TOKEN\_NR =  
B.TOKEN\_NR  
AND C.ROLE\_CD > ?  
AND CASE C.SEX WHEN 'X'  
THEN ? END) = 'ABCDE'



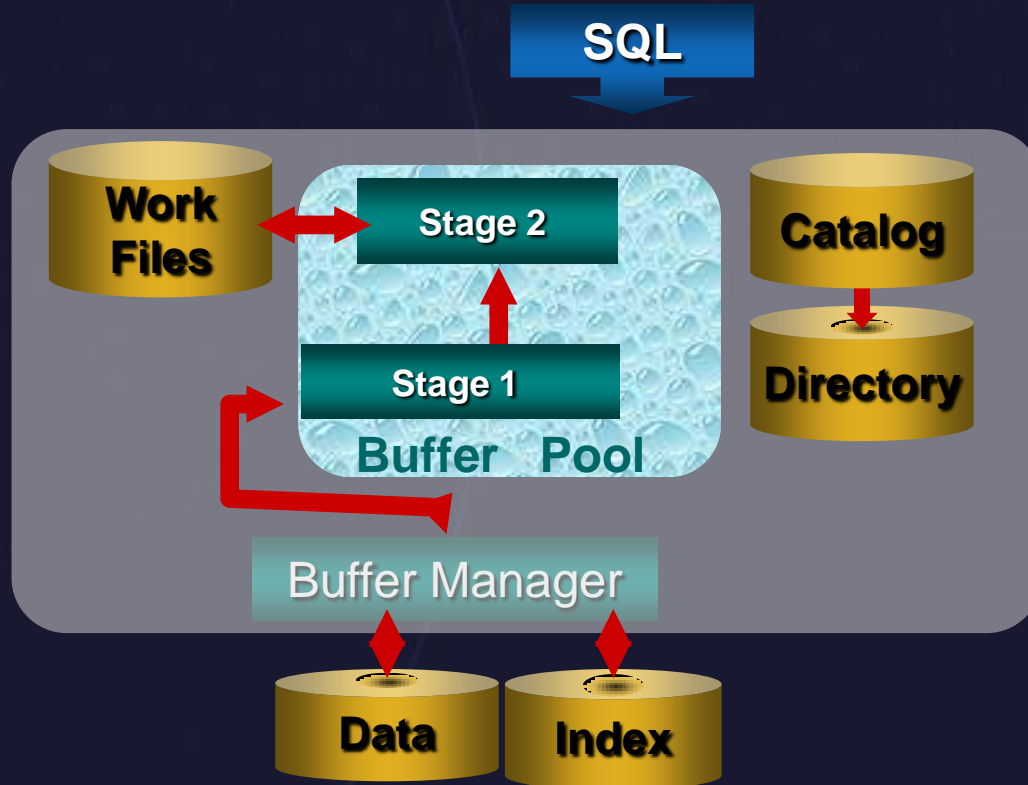


# Filtering – z/OS



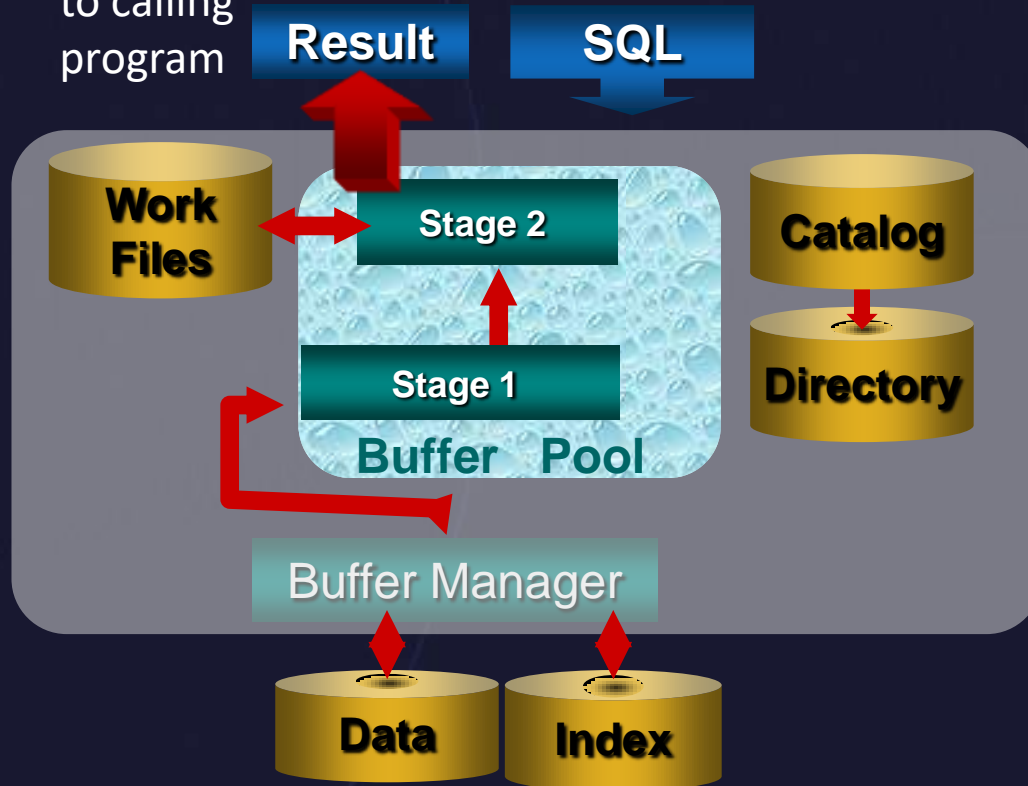
# If a Sort is needed for ORDER BY/GROUP BY

Work Files are filled with the remaining result data and sorted ... sometimes



# The Result is brought back in memory

Data is sent  
to calling  
program



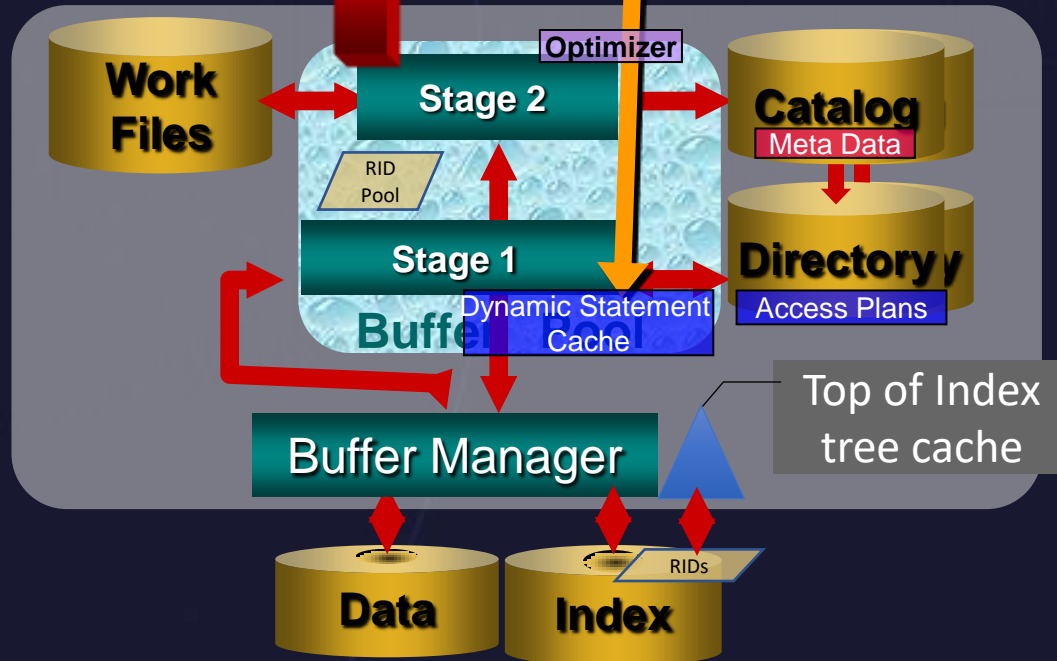
# Source may be Remote



Network

Result

SQL

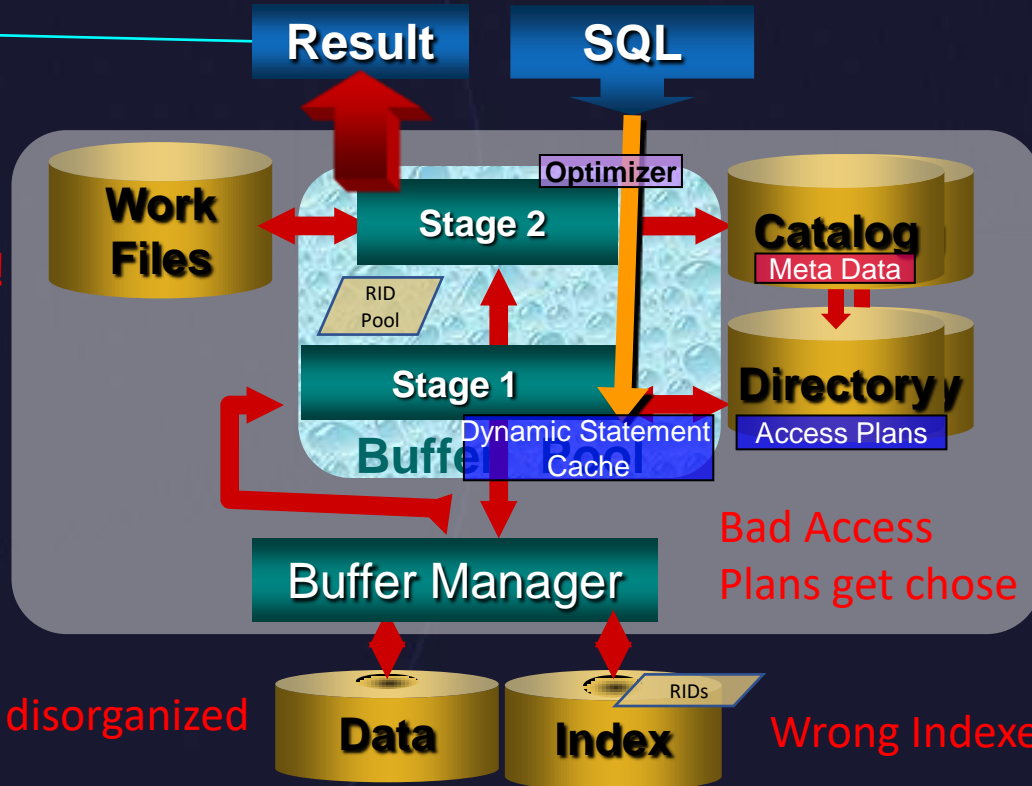


# What Could Go Wrong?



Sort Work blows up!

Bad SQL goes in



# Agenda

- Review of what Db2zAI can and cannot do
- How to change the optimizers mind
  - Case Studies Using a Proven Method
  - Extreme Tuning
- How to put a query on a diet
- What other query attributes are red flags to optimal performance?



# The Db2 Optimizer

## How Does it Decide so Fast?

### Good Input

- 35 years of catalog statistics refinement
- Ability to use some real time information
- Ability to refine scope of data collection - STATSFEEDBACK

### Cost-based Smarts

- 35 years of algorithm refinement
- Creates a cost model for every query
- **Defaults** are used when query values are **unknown**



**How close does the optimizer get with '?' or ':hv'?**

# The Trillions of Optimizer Cost-based Results

**Good for Everybody**

**Great for a Few**

# Default Statistics

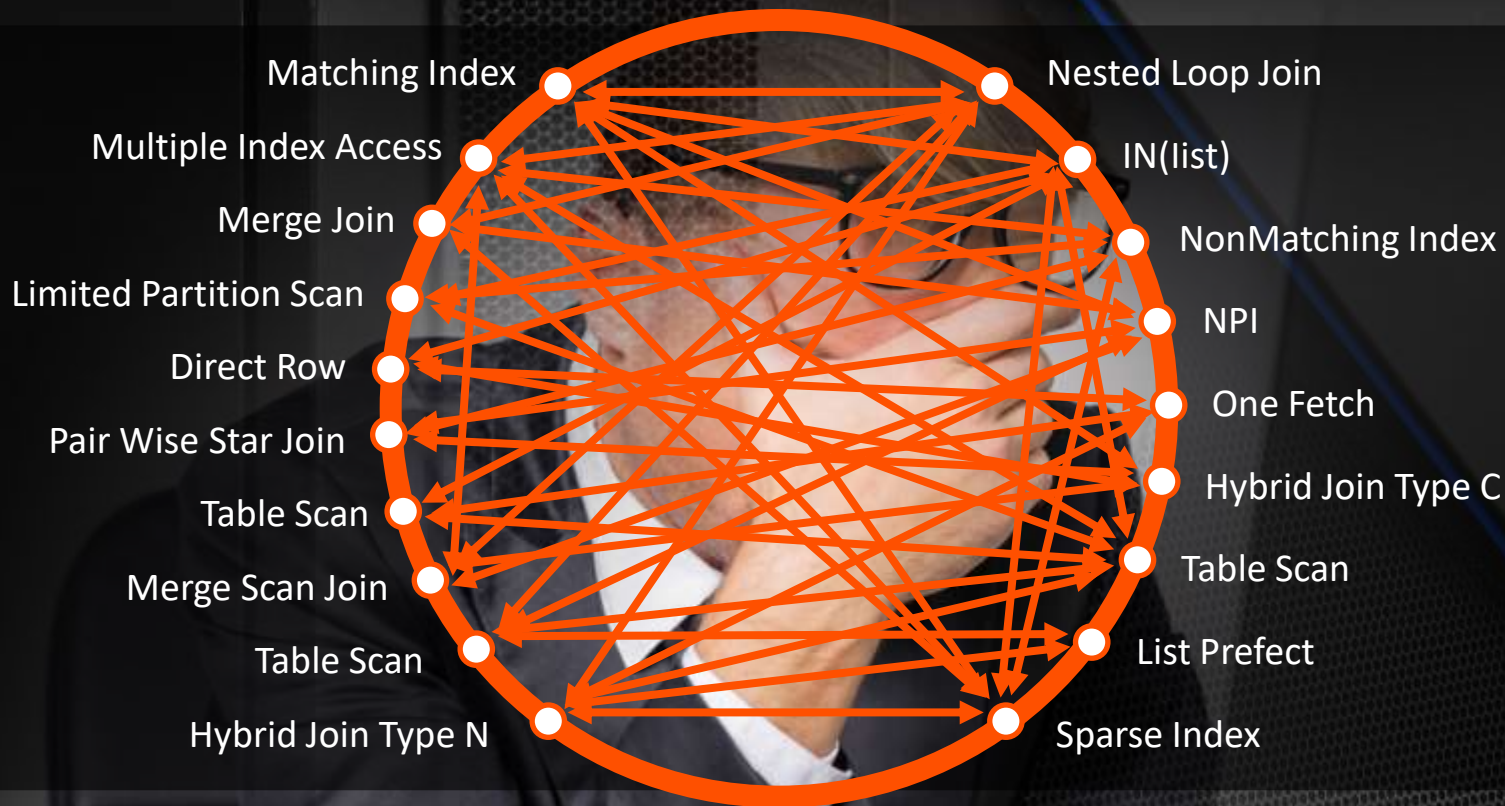
WHERE >?

WHERE BETWEEN ? AND ?

COLCARDF	Factor for <, <=, >, >=	Factor for LIKE or BETWEEN
>=100,000,000	1/10,000	3/100,000
>=10,000,000	1/3,000	1/10,000
>=1,000,000	1/1,000	3/10,000
>=100,000	1/300	1/1,000
>=10,000	1/100	3/1,000
>=1,000	1/30	1/100
>=100	1/10	3/100
>=2	1/3	1/10
=1	1/1	1/1
<=0	1/3	1/10

How Close to Reality?

# There Are Many Ways to Get to Your Data



# The Answer: Personalize Your Optimizer

Technology needed:

- Learns patterns from workload data collected in your unique operating environment
- Uses derived insight in determining optimal access paths for SQL statements

Built on top of the IBM Machine Learning for z/OS (MLz) stack

Leverages MLz services *without requiring data scientist support* –

Db2 generates model training data, deploys and monitors  
and retrains models via MLz services

- Db2ZAI product ID: 5698-CGN



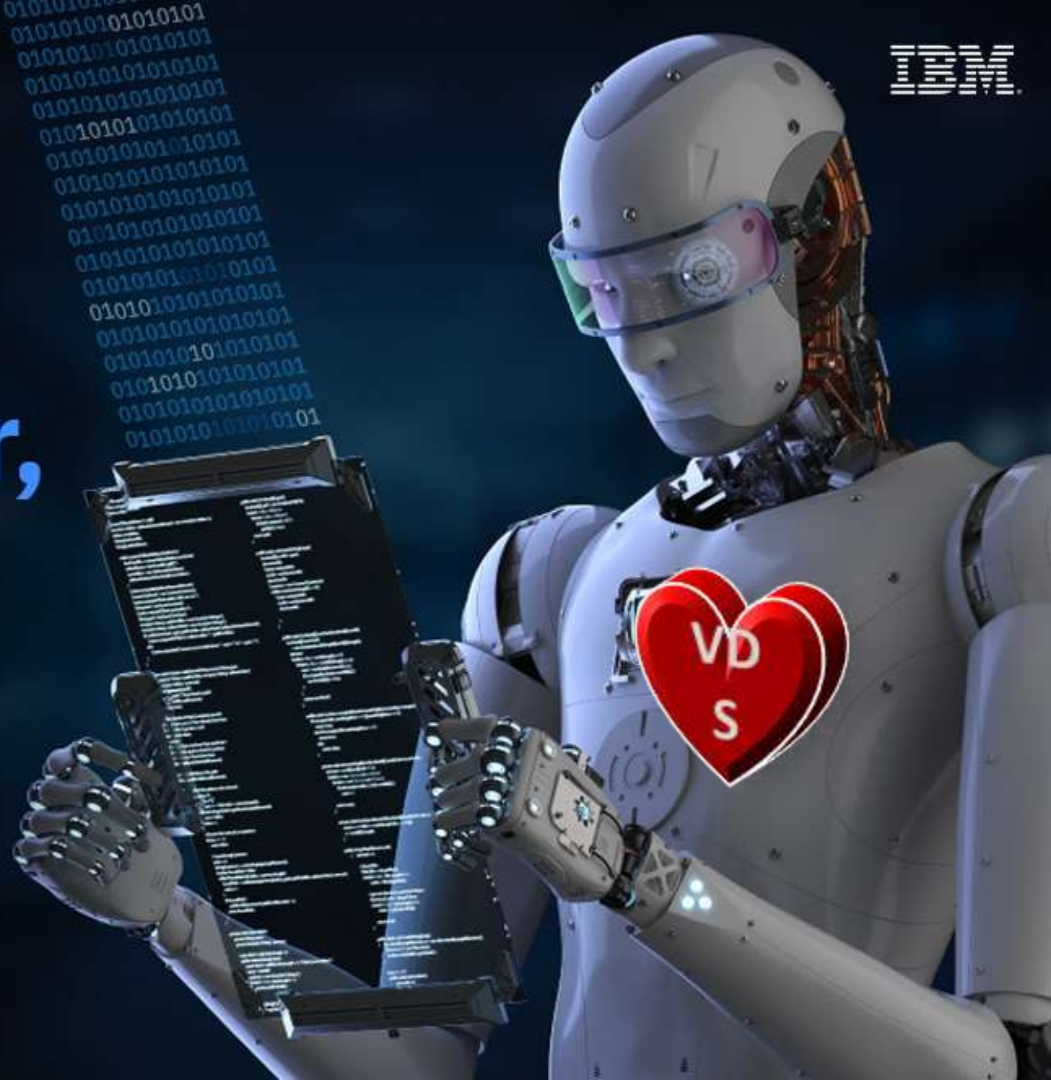
**IBM Db2**



# AI Makes Db2 **Better, Smarter, Faster**

**IBM Db2 AI for z/OS**

**#Db2ZAI**





# IDEA: Augment the Db2 Z Optimizer with AI/Machine Learning!

1. Correct estimates used for :hv and ?
2. Add OPIMIZE FOR n Rows when # of rows fetched is learned
3. Examine Sort behavior to optimize memory usage
4. Optimize parallelism in packages using history

The Db2 Z Optimization Team Took Action:

[https://www.ibm.com/support/knowledgecenter/en/SSGKMA\\_1.2.0.2/src/ai/ai\\_home.html](https://www.ibm.com/support/knowledgecenter/en/SSGKMA_1.2.0.2/src/ai/ai_home.html)

**IBM Db2® AI for z/OS® aka Db2zAI**





# VDS – Virtual Data Scientist

- **Has the data**
- Catalog statistics
- Deep execution statistics
- History
- **Knows which algorithm to use**
- Classification for known patterns
- Linear Regression for Date/Time sequencing
- Models for random behavior

## **Learns from modeling and scoring**

- **Watches 100 executions**

## **Provides solutions**

- **A list of ready packages**
- **Db2ZAI SQL Performance dashboard**

## **Cleans up after itself**

- **Keep models current and removes old behavior**

# Fill in Unknown Values - :hv or ?

## Customized Filter Factors

For STATIC use REBIND  
For DYNAMIC uses PREPARE

Learn from the workload .....

## PACKAGE Selection Screen

INCLUDE/EXCLUDE  
Recommended List

```
DECLARE    C_BRWZUM3 CURSOR FOR
SELECT    COL1, COL2, COL3, COL4,
FROM      BRWZUM
WHERE     ( (COL1 = :COL1-LAST
            AND  COL2 = :COL2-LAST
            AND  COL3 = :COL3-LAST
            AND  COL4 > :COL4-LAST )
OR
          (COL1 = :COL1-LAST
            AND  COL2 = :COL2-LAST
            AND  COL3 > :COL3-LAST )
OR
          (COL1 = :COL1-LAST
            AND  COL2 > :COL2-LAST )
OR
          (COL1 > :COL1-LAST))
ORDER BY  COL1, COL2, COL3, COL4
```

## Applies To

Any query with :hv or ?



# Predict # of Rows Qualifying

OPTIMIZE FOR  $n$  ROWS

## Input

Track last fetched + SQLCODE  
Repeat 100 times  
Take AVG #



## Applies To

Queries qualifying many rows,  
But retrieving only a few



# Optimize Sort Tree Usage and Memory

SQL with DISTINCT  
ORDER BY or FETCH FIRST large rows  
Any > 4K row sort



# Optimize Parallelism in non-OLTP Queries

DEGREE = 'ANY'

DSNZPARM CDDSSRDEF = 'ANY'

## Input

Transactions > 120ms  
Never < 10ms



## Output

Reduced ELAPSED  
Reduced CPU





# Db2ZAI: Augment the Db2 Z Optimizer with AI/Machine Learning!



1. Fill in “unknown” values in queries – Use Classification, Linear Regression and Model random behavior to correct estimates
2. Predict number of rows processed and add OPIMIZE FOR n = Optimal Rows
3. Examine Sort behavior to optimize memory usage
4. Optimize Parallelism in non-OLTP packages

# SQL Review Checklist

1. Examine Program logic
2. Examine FROM clause
3. Verify Join conditions
4. Promote Stage 2's and Stage 1 NOTs
5. Prune SELECT lists
6. Verify local filtering sequence
7. Analyze Access Paths
8. Tune if necessary

# SQL Tuning Examples

```
WHERE S.SALES_ID > 44  
      AND S.MNGR = :hv-mngr  
      AND S.REGION BETWEEN  
            :hvlo AND :hvhi CONCAT ``
```

No Operation

```
SELECT S.QTY_SOLD, S.ITEM_NO  
      , S.ITEM_NAME  
FROM    SALE S  
WHERE   S.ITEM_NO > :hv  
ORDER BY ITEM_NO  
FETCH FIRST 22 ROWS ONLY
```

Limited Fetch

# All the Possible Access Paths

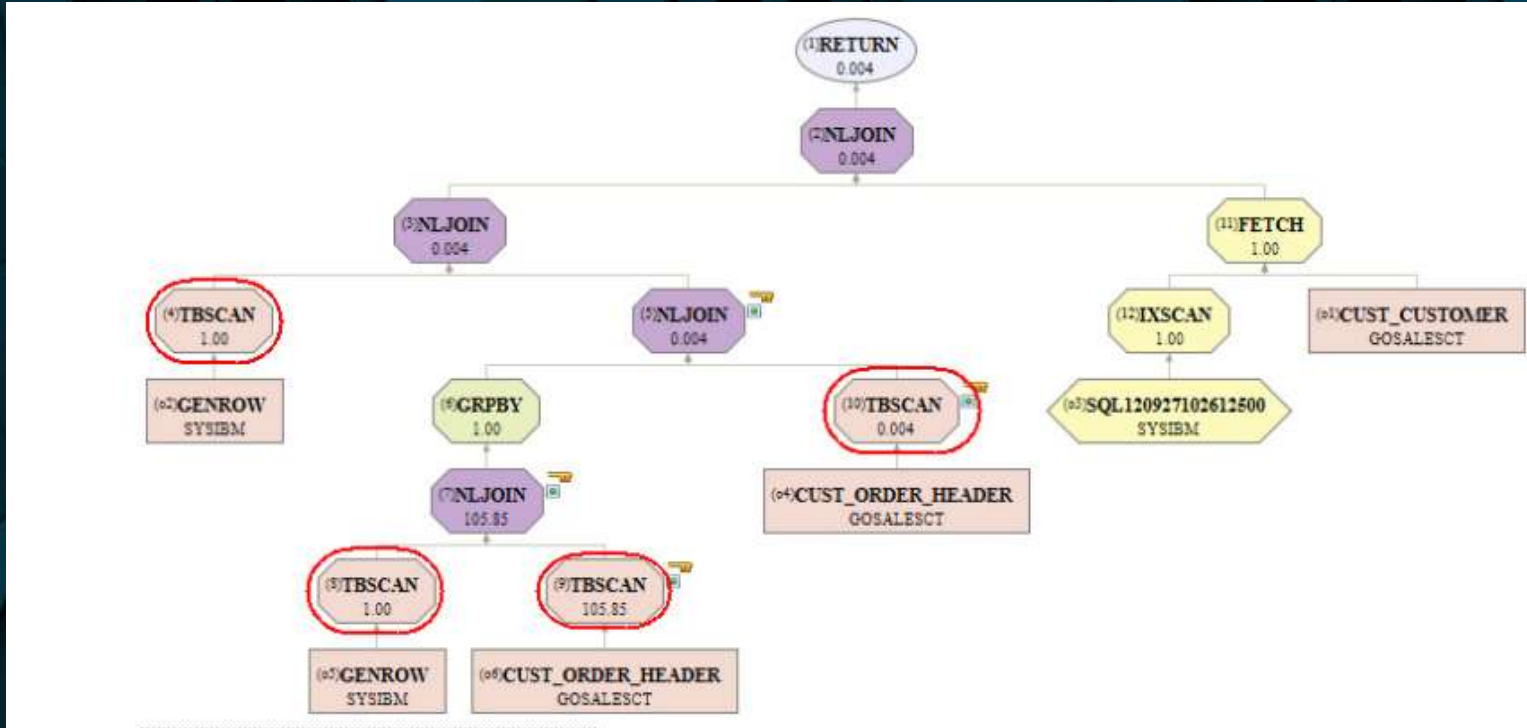
Index	Table	Join
One Fetch	Limited Partition Scan Using Non-partitioning index (NPI)	Nested Loop
IN(list) Index Access		
Matching Index Access	Limited Partition Scan Using Partitioning Index	Hybrid Join: Type C or Type N
Sparse Index Access		
NonMatching Index Access	Limited Partition Scan Using Data Partitioned Secondary Index (DPSI)	Star Join: Cartesian or Pair-wise
List Prefetch	Table Scan	Merge Scan
Multiple Index Access	Partitioned Table Scan	Direct Row

Db2 11

Makes  
Dynamic

(Bold names use an Index)

# Access Path Analysis



The larger the graph and the more rows involved, the more costly it is.

# Tuning SQL

- FIND ALL Expensive Queries

PROGNAME	PROCSU
EXPNPROG	121,059,664
EXPNPROG	21,059,664
ONESECPG	79,664
SUBSECPG	9,664
CHEEPPRG	64
FREEPROG	4

PROCSU is  
Too Expensive to Calculate!

2,147,483,647



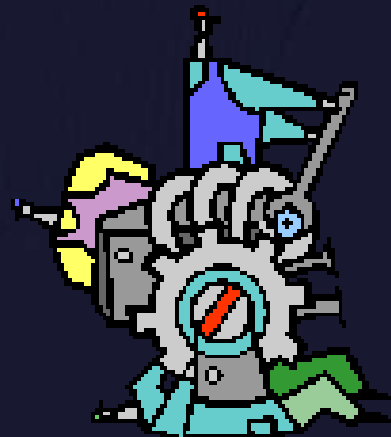
# Tuning Techniques to Apply When Necessary

Learn Traditional Tuning Techniques

OPTIMIZE FOR n ROWS

No Ops

Index & MQT Design



Experiment with Extreme Tuning Techniques

DISTINCT Table Expressions

Odd/old Techniques

Anti-Joins

Manual Query Rewrite

# OPTIMIZE FOR n ROWS FETCH FIRST n ROWS



- Both clauses influence the Optimizer
  - To encourage index access and nested loop join
  - To discourage list prefetch, sequential prefetch, and access paths with Rid processing
  - Use FETCH n = total rows required for set
  - Use OPTIMIZE n = number of rows to send across network for distributed applications
  - Works at the statement level

# Fetch First Example

## Query #1

```
SELECT S.QTY_SOLD
       , S.ITEM_NO
       , S.ITEM_NAME
FROM   SALE S
WHERE  S.ITEM_NO > :hv
ORDER BY ITEM_NO
```

## Query #1 Tuned

```
SELECT S.QTY_SOLD, S.ITEM_NO
       , S.ITEM_NAME
FROM   SALE S
WHERE  S.ITEM_NO > :hv
ORDER BY ITEM_NO
FETCH FIRST 22 ROWS ONLY
```

- Optimizer choose List Prefetch Index Access + sort for ORDER BY for 50,000 rows
- All qualifying rows processed (materialized) before first row returned = .81 sec
- <.1sec response time required

- Optimizer now chooses Matching Index Access (first probe .004 sec)
- No materialization
- Cursor closed after 22 items displayed (22 \* .0008 repetitive access)
- $.004 + .017 = .021$  sec

# No Operation (No Op)

- +0, CONCAT ' ' also -0, \*1, /1
  - Place no op next to predicate
  - Use as many as needed
  - Discourages index access, however, preserves Stage 1
  - Can Alter table join sequence
  - Can fine tune a given access path
  - Can request a table scan
  - Works at the predicate level



Does not Benefit  
DB2 on Linux,  
UNIX or  
Windows

# No Op Example CONCAT ``

**SALES\_ID.MNGR.REGION Index**

**MNGR Index**

**REGION Index**

```
SELECT S.QTY_SOLD
      , S.ITEM_NO
      , S.ITEM_NAME
FROM   SALE S
WHERE  S.SALES_ID > 44
      AND S.MNGR = :hv-mngr
      AND S.REGION BETWEEN
           :hvlo AND :hvhi
ORDER BY S.REGION
```

```
.....
FROM   SALE S
WHERE  S.SALES_ID > 44
      AND S.MNGR = :hv-mngr
      AND S.REGION BETWEEN
           :hvlo AND :hvhi CONCAT ``
ORDER BY R.REGION
```

- Optimizer chooses Multiple Index Access
- The table contains 100,000 rows and there are only 6 regions
- Region range qualifies 2/3 of table
- <.1sec response time required
- No Op allows Multiple Index Access to continue on first 2 indexes
- Two Matching index accesses, two small Rid sorts, & Rid intersection

# No Op Example - Scan

SALES\_ID.MNGR.REGION Index

MNGR Index

REGION Index

```
SELECT S.QTY_SOLD
      , S.ITEM_NO
      , S.ITEM_NAME
FROM   SALE S
WHERE  S.SALES_ID > 44 +0
      AND S.MNGR = :hv-mngr CONCAT ``
      AND S.REGION BETWEEN
          :hvlo AND :hvhi CONCAT ``
ORDER BY S.REGION
FOR FETCH ONLY
WITH UR
```

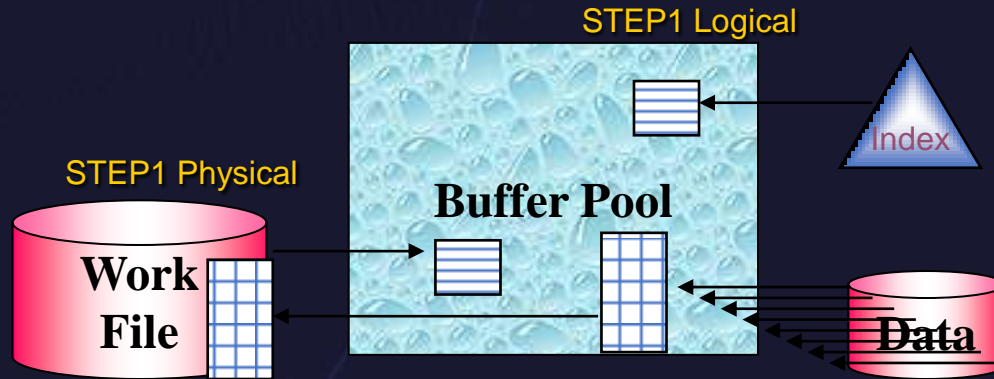
- If you know the predicates do very little filtering, force a table scan
- Use a No Op on every predicate
- This forces a table scan
- FOR FETCH ONLY encourages parallelism
- WITH UR for read only tables to reduce CPU

Should this be  
Documented?

# DISTINCT Table Expressions



- Table expressions with DISTINCT
  - FROM (SELECT DISTINCT COL1 FROM T1 ..... ) AS **STEP1** JOIN T2 ON ... JOIN T3 ON ....
- Used for forcing creation of logical set of data
  - No physical materialization if an index satisfies DISTINCT
- Can encourage sequential detection
- Can encourage a Merge Scan join



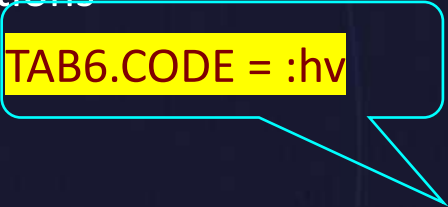


# DISTINCT Table Expressions Example

- SELECT Columns  
FROM TABX, TABY,  
    (SELECT DISTINCT COL1, COL2 .....  
      FROM BIG\_TABLE Z  
      WHERE local conditions) AS BIGZ  
WHERE join conditions
- Optimizer is forced to analyze the table expression prior to joining TABX & TABY

# Typical Join Problem

```
SELECT COL1, COL2 .....  
FROM ADDR, NAME, TAB3, TAB4, TAB5, TAB6, TAB7 WHERE  
join conditions  
AND TAB6.CODE = :hv
```



Cardinality 1

- Result is only 1,000 rows
- ADDR and NAME first two tables in join
- Index scan on TAB6 table
  - Not good because zero filter

# Tuning Technique

SELECT COL1, COL2 .....

FROM ADDR, NAME,

Keeps large tables  
joined last

(SELECT DISTINCT columns

FROM TAB3, TAB4, TAB5, TAB6, TAB7

WHERE join conditions

AND (TAB6.CODE = :hv OR 0 = 1))

AS TEMP

WHERE join conditions

Gets rid of Index Scan



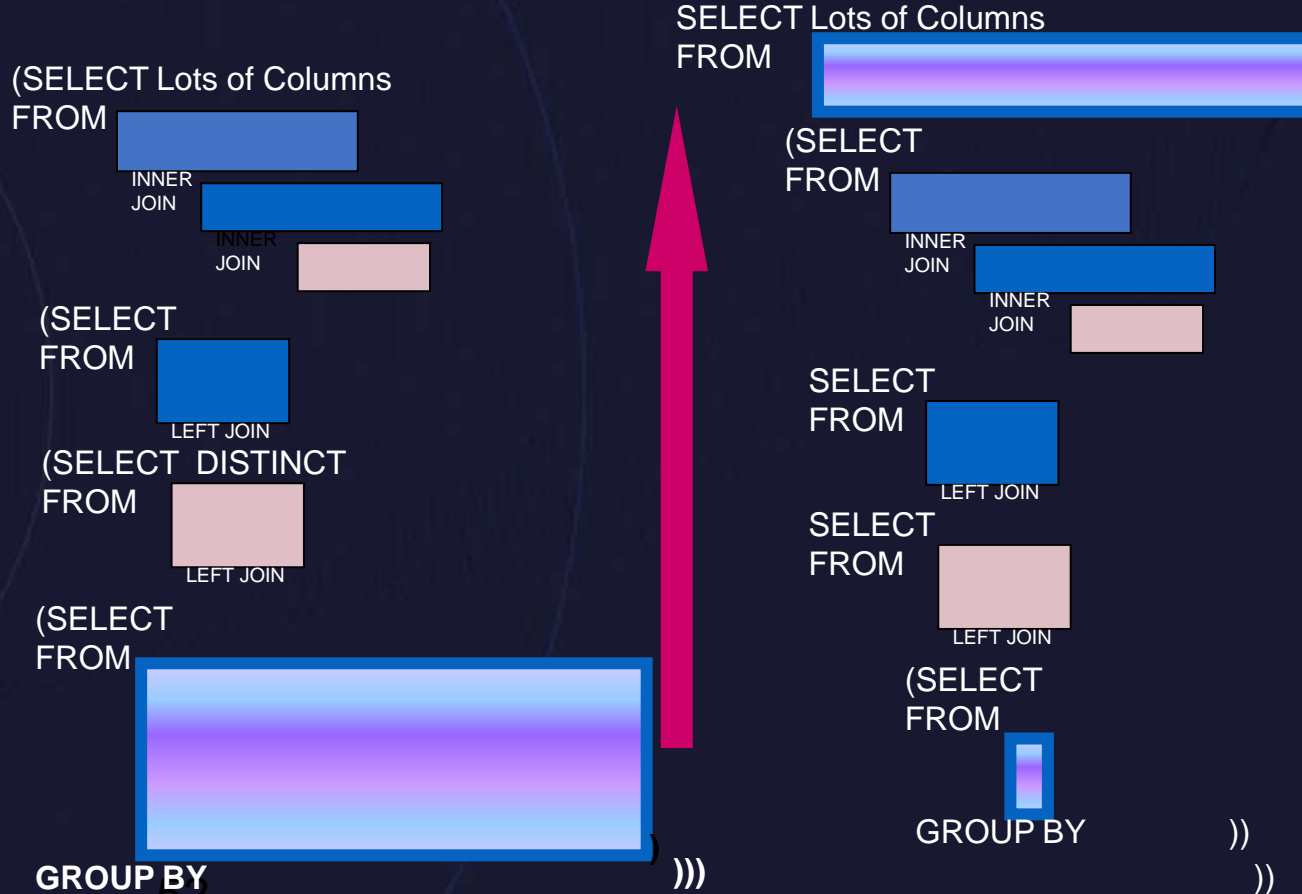
# Put a Query on a Diet

For Extreme Cases  
(used on all platforms)

# A Typical Data Warehouse Query

- Initial cost of 16 million timerons
  - WOULD NOT FINISH!
- A DISTINCT table expression and GROUP BY
- Initial join involved all columns and all rows
- The very wide and very deep set was dragged through many more query steps

# Before and After





# Tuning Technique

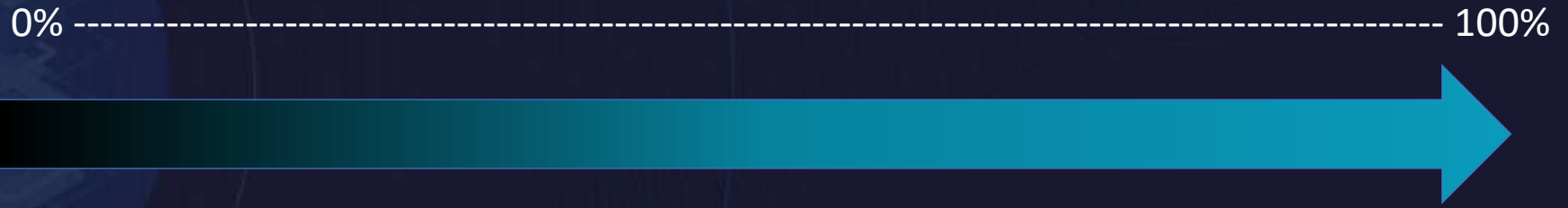
- Extreme Cross Query Block Optimization
- Identify and pre-qualify the core set of data and only select the keys early on
- Once all the steps are complete, go back and get the remaining columns
- Referred to as “Group By Push Down” and “put your query on a diet”
  - Keeping it thin through the DB2 engine
- Brought cost down to 270,000 timerons
  - Query now finishes in 4 minutes!

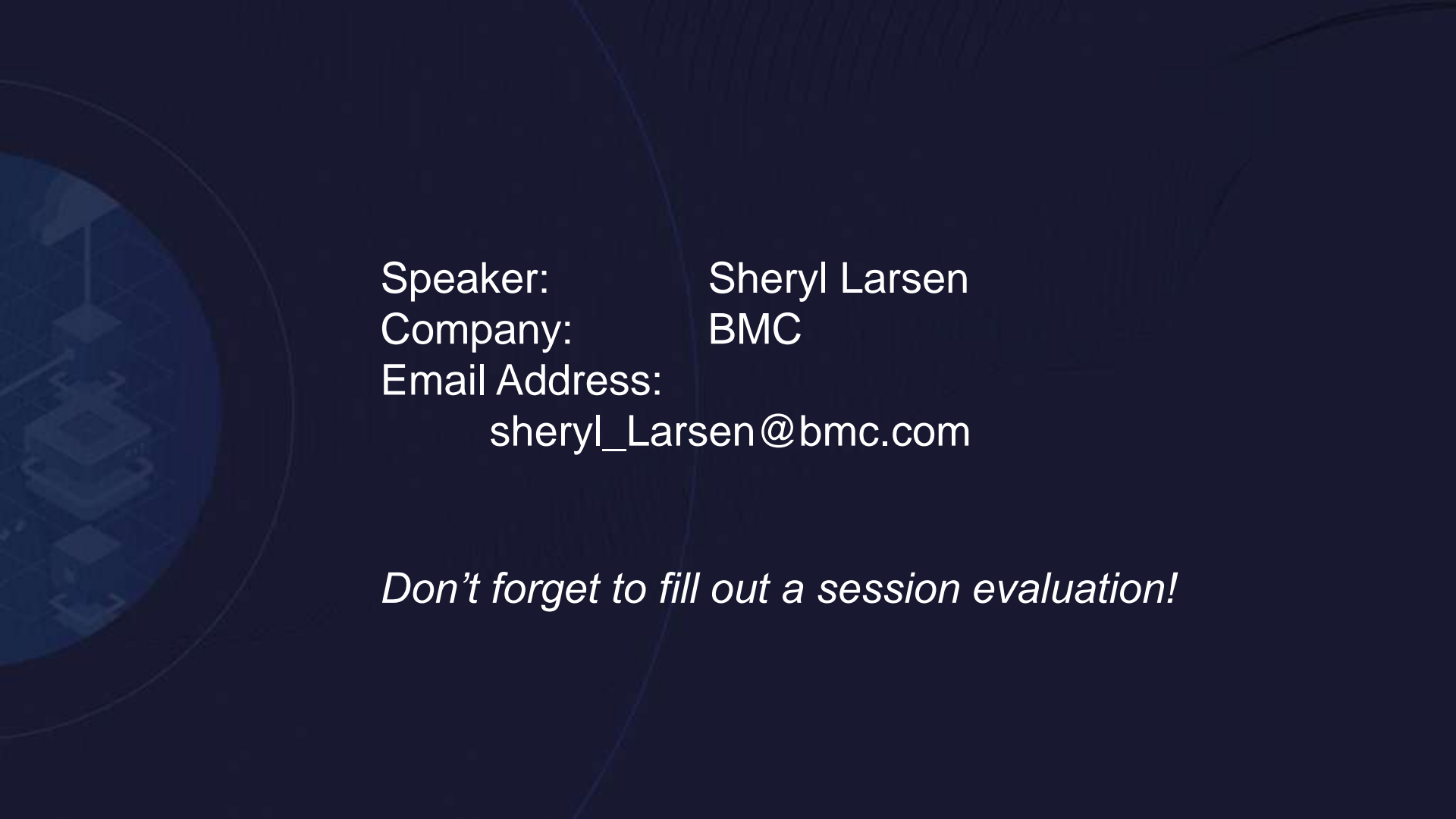
# What other query attributes are red flags to optimal performance?

What ever Tony said!



# SQL Tuning Confidence Level





Speaker: Sheryl Larsen  
Company: BMC  
Email Address:  
sheryl\_Larsen@bmc.com

*Don't forget to fill out a session evaluation!*