





IDUG DB2 EMEA Tech Conference Lisbon Portugal | October 2017



Intro

- Mark Gillis Principal Consultant with Triton Consulting
- Originally DB2 on mainframe (starting in 1990 with v2.1) but midrange since 2000

IBM Certified Advanced Database Administrator - IBM Certified Database Administrator - DB2 10.5 f IBM Certified Designer – Cognos 10 BI Reports IBM Certified Developer - Cognos 10 BI Metadata IBM Certified Database Administrator - DB2 11.1 f

http://db2geek.triton.co.uk/





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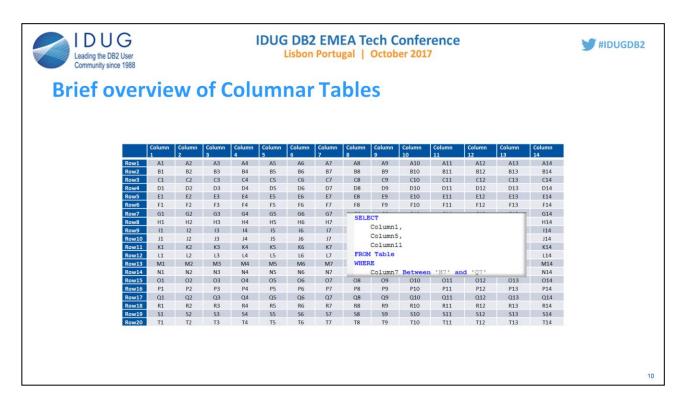
Agenda

- Brief overview of BLU technology
- Examples of workload performance in V10.5
- The benefits of V11.1 for your workload
- Examples of where the savings are
- Gotchas: where you might not get the advertised benefits

That is my advertised agenda, but I might skip about a bit

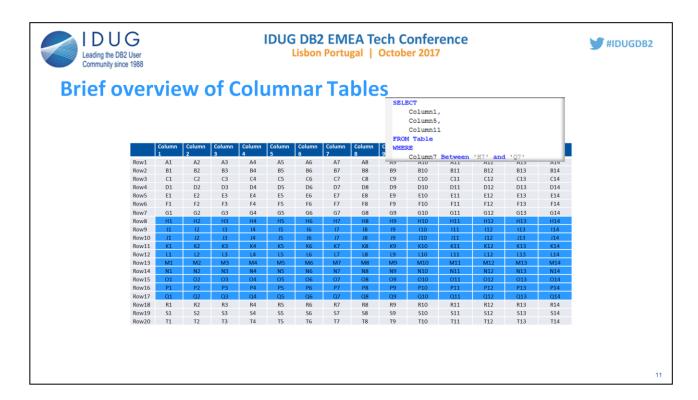
The idea is to share observations gleaned from experience of using systems that have been upgraded to V11.1

It is not going to present any blinding insights into why it works, or doesn't work

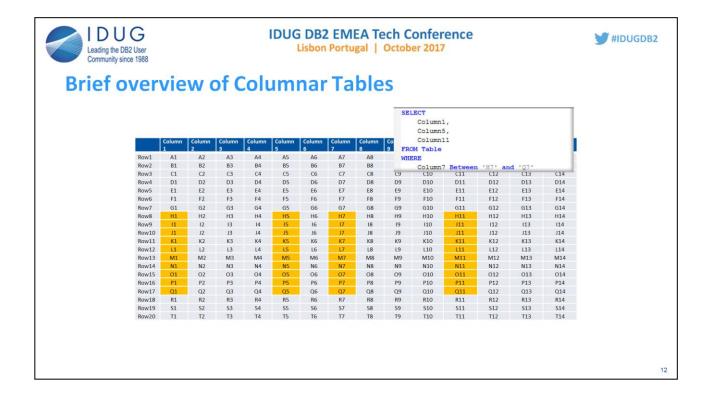


The advantage of column-organized tables over row-organized is that far less data needs to be retrieved for a query to be satisfied. This is particularly aimed at OLAP / BI queries where large volumes of data need to be processed to return the result set; often aggregated into more compact, summarized details. With column-organized tables only the pages that contain the columns requested by the query will be retrieved. Data skipping will further reduce the result set by eliminating pages that do not match the predicates from the WHERE clause. And the data will be significantly compressed, both on disk and in memory to compact the data even further.

So, here's a (very) simple illustration of a table and its data

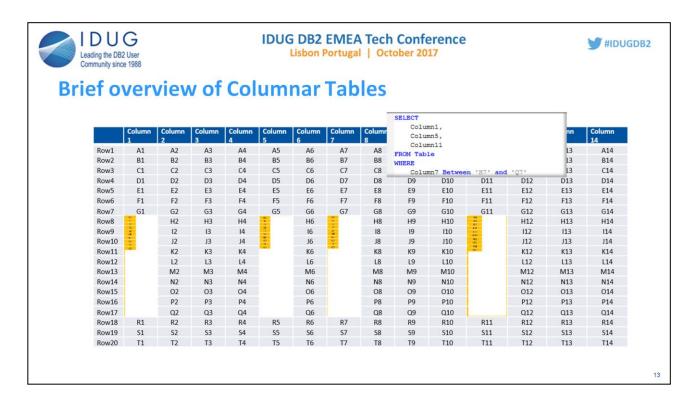


With a row-based table the 'area' of data that the query would have to access is shown by the shaded area

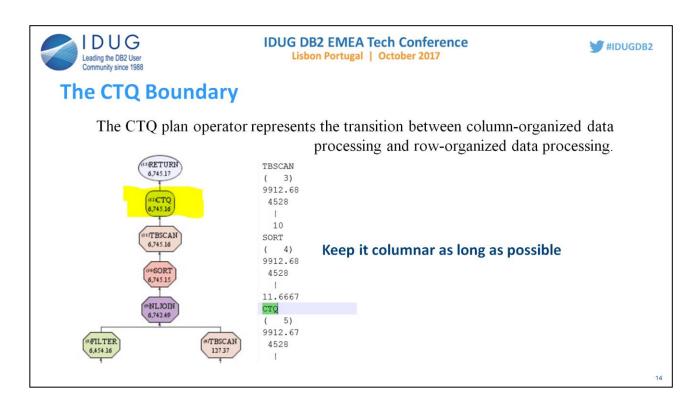


But if it was column-organized, the same query would access the shaded area shown

Bearing in mind that besides the reduced number of pages that are being retrieved, the column-organized data is compressed using routines that can offer 10-fold improvements over pre 10.5 algorithms, and that the database engine will be taking advantage of hardware capabilities (e.g. Parallel vector processing, multi-core parallelism and single instruction, multiple data (SIMD) parallelism) to further improve the data processing speed, and you can anticipate very rapid analytical processing of large volumes of data.



And, bearing in mind that besides the reduced number of pages that are being retrieved, the column-organized data is compressed using routines that can offer 10-fold improvements over pre 10.5 algorithms, and that the database engine will be taking advantage of hardware capabilities (e.g. Parallel vector processing, multi-core parallelism and single instruction, multiple data (SIMD) parallelism) to further improve the data processing speed, and you can anticipate very rapid analytical processing of large volumes of data.



The columnar table queue: The CTQ operator represents a boundary within the DB2® query engine. Operators that appear below the boundary process data as compressed column-organized vectors and tuples, whereas operators that are above the boundary operate on tuples that are not encoded.



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Advertized Benefits of V11.1

- OLAP operations
- NLJOIN
- Sorting
- IDENTITY and EXPRESSION columns
- NOT LOGGED INITIALLY

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BLU Acceleration has also added SQL advances with richer function and compatibility including SQL compatibility with IBM PureData® System for Analytics. This enables native columnar online analytical processing for deep in-database analytics, the analytic capabilities of PureData System for Analytics, wide rows, new data types, logical character support, improved PostgreSQL compatibility, and a wide variety of additional SQL functions being incorporated in DB2 Version 11.1

enhancements include Nested Loop Join (NLJN) support, which features a fast radix sort with superior parallelism that is able to sort compressed and encoded data.

Other enhancements include BLU Acceleration support for IDENTITY and EXPRESSION generated columns, European Language support and NOT LOGGED INITIALLY support for column-organized tables.



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Basic scenario

• CONTRACT TYPE a reference table with 3 rows

• CUSTOMER another reference table, but with 2,615 rows

• CONTRACT a fact table with 5,000,000 rows of randomly

generated data

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I've defined a simple set of tables:

2 Dimension tables: very simple

1 Fact table with 5 million rows of data

we have a Primary Key on Contract_Type

A PK on Customer

And a PK on CONTRACT

Contract also has Foreign Key references to the 2 Fact tables



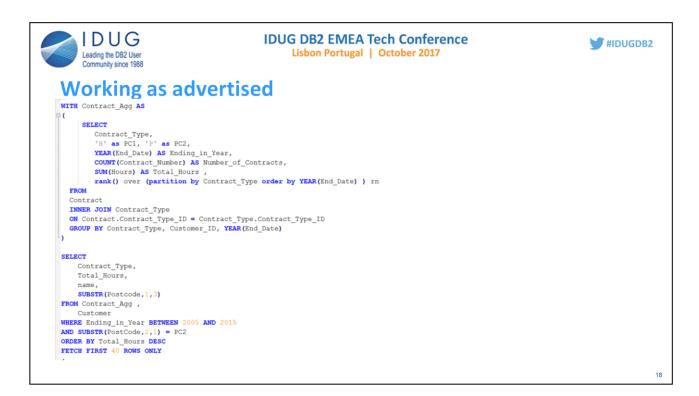
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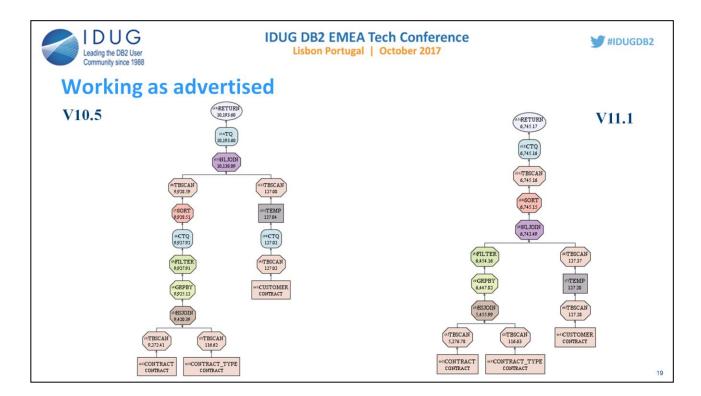
Test Case

```
explain plan with snapshot for
SELECT
                           Customer
    ,Contract_Type
    , SUM (Hours)
                           Total Hours
FROM
    Contract
   inner join
    Customer
                  on Contract.Customer_ID = Customer.Customer ID
    inner join
    Contract Type on Contract.Contract Type ID = Contract Type.Contract Type ID
GROUP BY
   Name
    ,Contract_Type
!db2exfmt -1 -d CONTRACT -e DB2I1054 -o Query_1_Shadow_EXP0076W.explain -u db2i1054
```

- 1) Take a simple 3-way join query
- 2) Put an explain on it
- 3) And format the output, so we can see what the optimizer is proposing to do



This is pretty horrible SQL but it is intended to show a particular access path, not be as efficient as possible.

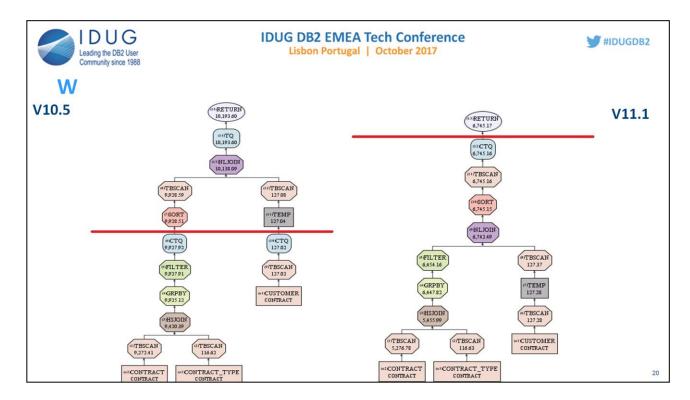


Explaining columnar based queries is a lot harder than explaining row-based ones; the access path often shows nothing more than TBSCAN

Q5: V10.5 has the CTQ for both legs half way down

V11.1 has the CTQ right at the top, so has done Nested Loop Join, the Table Scan of the CUSTOMER temp table and the final sort, all in columnar format

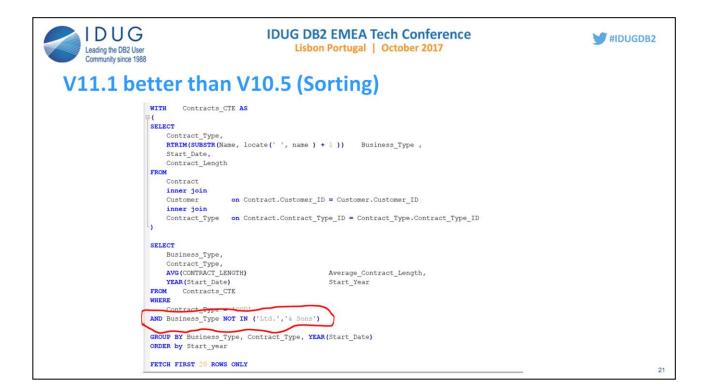
Note it is also a more efficient retrieval of data from CONTRACT



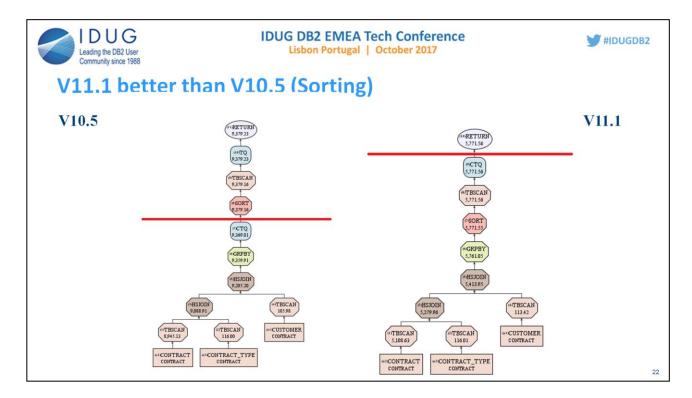
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Establish the company type by trapping the last word of the company title



Q2 : SORT has moved above the CTQ boundary AND it's now a much more efficient sort:

a radix sort is a non-comparative integer sorting algorithm that sorts data with integer keys by grouping keys by the individual digits which share the same significant position and value. A positional notation is required, but because integers can represent strings of characters (e.g., names or dates) and specially formatted floating point numbers, radix sort is not limited to integers. Radix sort dates back as far as 1887 to the work of Herman Hollerith on tabulating machines. [1]

Basically this is enabling the highly-compressed columnar data to be sorted without unpacking it and / or using extra memory





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Paradis Sort

PARADIS: An Efficient for In-place F

In-place radix sort is a popular distribution algorithm for short numeric or string keys run-time and constant memory complexity cient parallelization of in-place radix sort is inguity for two reasons. First, the initial phase elements into buckets suffers read-write deent in its in-place nature. Secondly, load I recursive application of the algorithm to the ets is difficult when the buckets are of very which happens for skewed distributions of

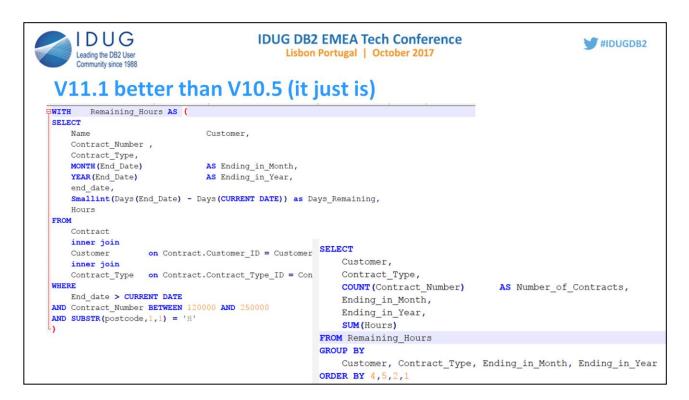
\mathcal{N} set of array indices $\{0, 1,, \mathcal{N} - 1\}$ $d[\mathcal{N}]$ the array of size $ \mathcal{N} $ to be sorted n, h, t array index $\in \mathcal{N}$	
n, h, t array index $\in \mathcal{N}$	
\mathcal{P} set of processor indices $\{0, 1,, \mathcal{P} - 1\}$	
p, q processor index $\in \mathcal{P}$	
p, q processor index $\in \mathcal{P}$ p_0, p_1, \dots shorthand for "processor 0", "processor 1",	
\mathcal{B} set of bucket indices $\{0, 1,, \mathcal{B} - 1\}$	
i, j, k bucket index $\in \mathcal{B}$	
\mathcal{L} set of recursion levels $\{0, 1,, \mathcal{L} - 1\}$	
l recursion level $\in \mathcal{L}$	
b(v) index of the bucket where element v should be	long
gh_i head pointer of bucket i	
gt_i tail pointer of bucket i	
ph_i^p head pointer of the stripe for processor p in bu	i
pt_i^p tail pointer of the stripe for processor p in buc	ket i
\mathcal{M}_i $\{n \mid gh_i \leq n < gt_i\}$, i.e., the indices of bucket is	i
\mathcal{M}_i^p $\{n \mid ph_i^p \leq n < pt_i^p\}$, i.e., the indices of stripe p	i
C_i $ \mathcal{M}_i = gt_i - gh_i$, i.e., size of bucket i	
$C_i^p \qquad \mathcal{M}_i^p = pt_i^p - ph_i^p$, i.e, size of stripe p, i	
$C_i(k) \{n \in \mathcal{M}_i \mid b(d[n]) = k\} $	
i.e. the number elements in \mathcal{M}_i belonging to \mathcal{M}_i	\mathcal{M}_k
$C_i^p(k)$ $ \{n \in \mathcal{M}_i^p b(d[n]) = k\} $	
i.e. the number elements in \mathcal{M}_i^p belonging to .	\mathcal{M}_k

Asked John Hornibrook at IBM Toronto for some detail

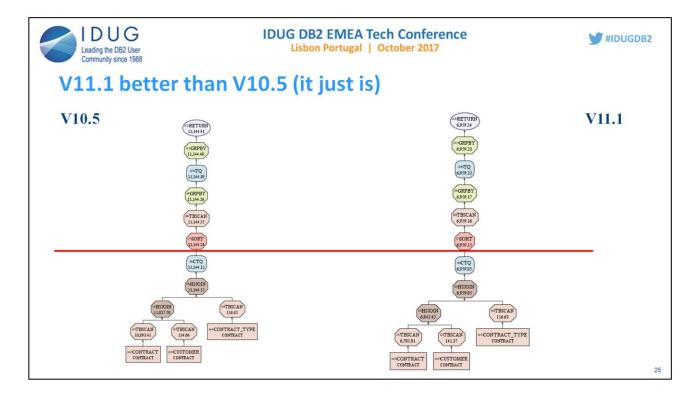
He explained that it is an implementation of a RADIX sort call PARADIS

Very detailed technical paper of which this is the intro. Read and digest

But then you get to the notations and it becomes clear that this is beyond the comprehension of most mortals



So, a similar query; the conventional 3-way join in a Common Table Expression but with a WHERE clause And a select based on that CTE with OLAP functions and aggregation but no further where clause



Q3: identical set of operations, V11.1 is cheaper

Note the CTQ boundary has not been moved above the SORT or GROUP BY functions, so V11.1 is still doing these operations more efficiently, even when the data has been returned to a row-based format

Provisos: this is db2expln output and therefore estimated costs. But it is trying to reproduce scenarios observed in actual client implementations. Often found that the before and after upgrade figures are very different, even if the actual access path is identical.

Suggestions (Calisto Zuzarte)

- (a) reloading the tables and getting better compression?
- (b) new statistics ... for example auto-runstats after extents were freed up?
- (c) FPAGES and NPAGES comparison?



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Examining columnar table scans

MON_GET_INDEX

				Index	BufferPool	Physical		Index Only	Index	Logical	Physical
Query	Туре	Table	Index	Туре	Reads					reads	
Q1	Col	CONTRACT_BLU	SQL140625033039096548	СРМА	3039	2	3037	0	0	3004	0
Q1	Col	SYN140625033039072302_CONTRACT_BLU	SQL140625033039114008	СРМА	0	0	0	0	0	0	0

MON_GET_TABLE

							Logical	Num			
					Physical	Logical	Index	Cols	Logical	Physical	
Query	Qtype	Table	Scans	Logical Reads	Reads	Pages	Pgs	Refd	Reads	Reads	Scans
Q1	Row	CONTRACT	66	5204973	23520	5354	7987	33	7299	1995	8
Q1	RoX	CONTRACT	66	5217741	25468	5354	7987	39	12768	1948	0
Q1	Col	CONTRACT_BLU	3	5533	265	1316	2886	13	2352	200	1
Q1	Col	SYN140625033039072302_CONTRACT_BLU	0	7	2	60	4	0	0	0	0

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You can get some details; you can find the index that has been built on the CONTRACT table for instance and see how much read activity there is on that

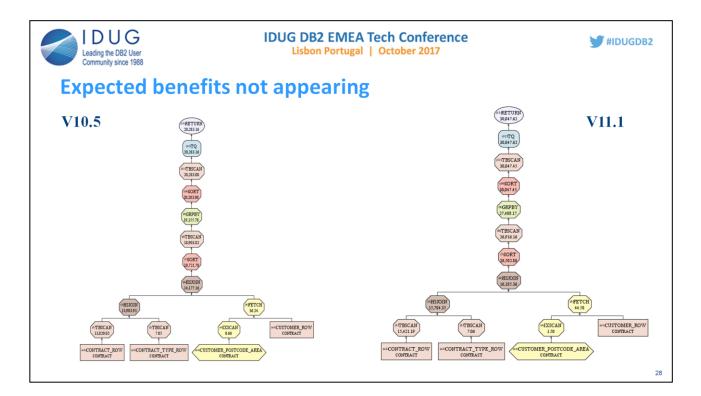
You can find the synopsis table associated with your columnar data and see how heavily that is being used

But these are

```
IDUG
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   Leading the DB2 User
   Community since 1988
         Contracts_CTE AS
 WITH
旦(
 SELECT
     Name AS Custom SELECT
     Contract_Type,
                         Customer,
     End Date,
                         Contract_Type,
     COUNT (Contract
                         Number_of_Contracts,
     SUM (Hours)
                         YEAR (End_Date)
                                                        AS Ending_in_Year,
 FROM
                         MONTH (End Date)
                                                        AS Ending in Month,
     Contract
                          CASE WHEN End Date > CURRENT DATE
     inner join
                          THEN Smallint (Days (End Date) - Days (CURRENT DATE))
     Customer
                          ELSE 0
     inner join
                          end as Days_Remaining,
     Contract_Type
                          Total Hours
 GROUP BY Name, Con
                     FROM Contracts_CTE
                     WHERE YEAR (End Date) = 2017
                     ORDER BY Days_Remaining DESC
                     FETCH FIRST 200 ROWS ONLY
```

Conventional 3 way join with some aggregation to get the number of contracts and the total hours

And then the select to just retrieve this years data, sorted by the number of remaining days for each customer



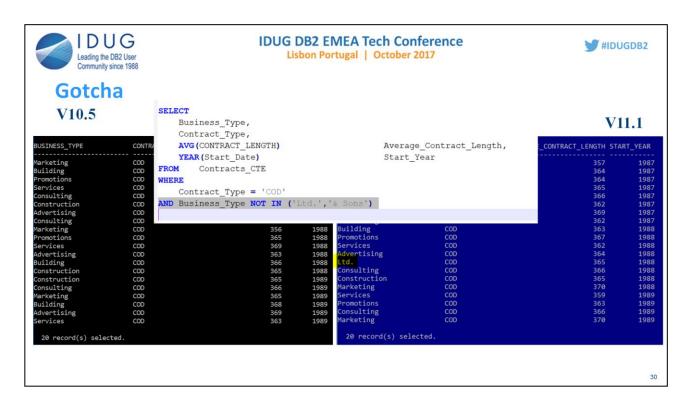
Q1: identical set of operations, V11.1 is more expensive

This is, of course, a fudge. If you look at the table names you can see that these are all called xx_ROW and these are row based versions of the same tables (left over from some experiments with Shadow tables).

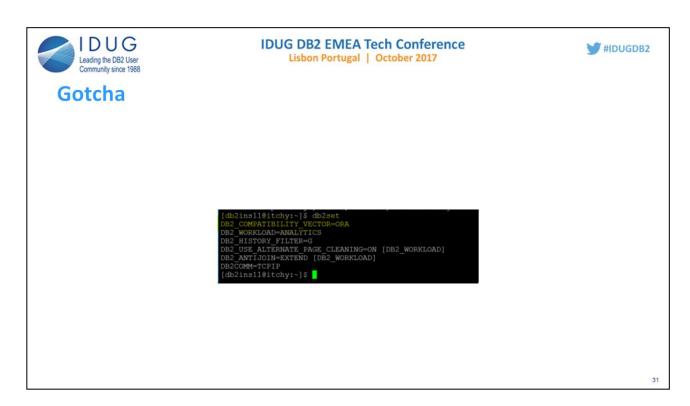
But the point is to illustrate that row-based processing may be more expensive in V11.1

```
IDUG
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                                                                                                               #IDUGDB2
     Leading the DB2 User
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     Community since 1988
Gotcha
WITH
       Contracts_CTE AS
SELECT
    Contract_Type,
   RTRIM(SUBSTR(Name, locate(' ', name ) + 1 )) Business_Type ,
   Start_Date,
   Contract_Length
   Contract
   inner join
               on Contract.Customer_ID = Customer.Customer_ID
   Customer
   inner join
   Contract_Type on Contract_Contract_Type_ID = Contract_Type.Contract_Type_ID
SELECT
   Business_Type,
   Contract_Type,
   AVG (CONTRACT_LENGTH)
                                      Average_Contract_Length,
   YEAR (Start_Date)
                                      Start_Year
FROM Contracts_CTE
   Contract_Type = 'COD'
AND Business_Type NOT IN ('Ltd.','& Sons')
                                                                                                                            29
```

Gotcha? Arguably, but this is something that stumped me enough that I ended up raising a PMR



The result set from V10.5 is pretty much what I was after
But the result set from V11.1 includes data that I specifically requested be excluded



Here's what IBM identified as the problem:

The registry variables include a setting for Oracle compatibility



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PMR 15263,999,866

The back end engineer has created the following APAR

IT19976 ADDITIONAL SPACE IS ADDED TO "IN" CLASUE IN TABLE ORGNIZED BY COLUMN

Local Fix:

Rewrite the query to avoid this condition.

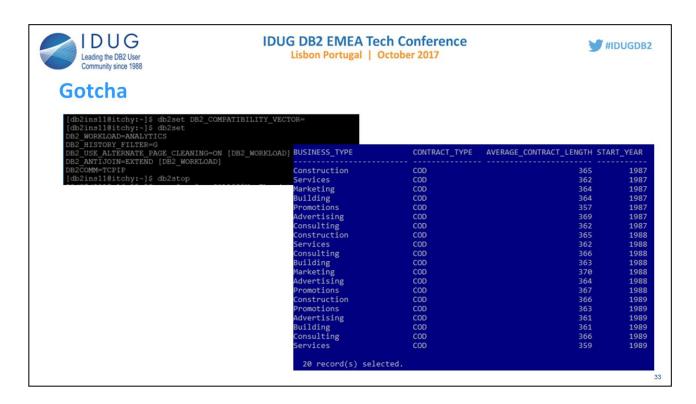
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This is cut and pasted verbatim from the PMR as written by IBM, so any spelling mistakes are as received.

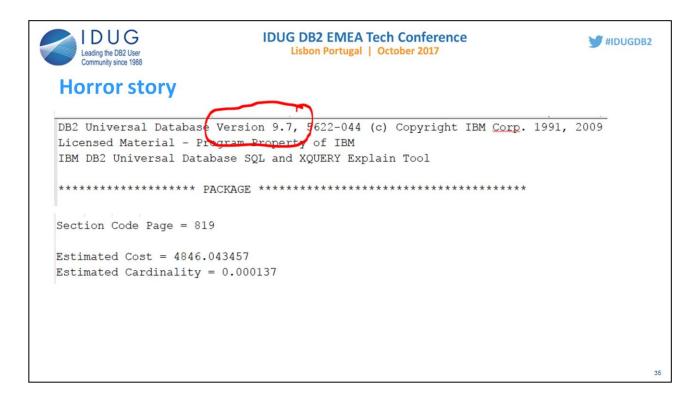
The bottom line here is that, from v10.1 onwards, there was a bug in the code that appended a space to the supplied variable, when Oracle compatibility was switched on.

This will be fixed with the APAR shown. Not sure when this will be supplied.

Although it does comes with this helpful snippet of advice



So, you just need to remove that registry variable and your V11.1 query will return the correct results



It was explained before the upgrade (from V9.7) and had an acceptable estimated cost



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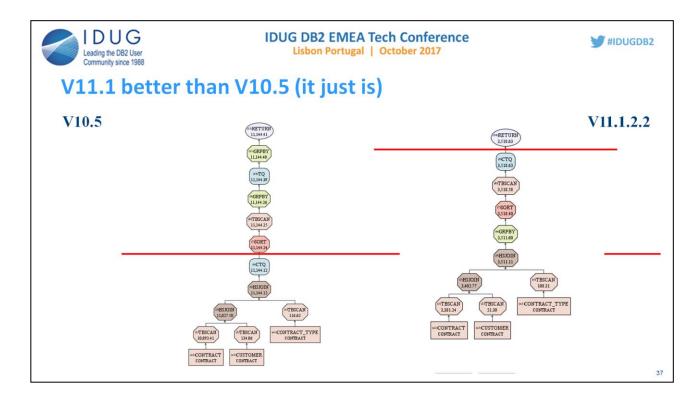
Horror story

```
DB2 Universal Database Version 11.1, 5622-044 (c) Copyright IBM Corp. 1991, 2015
Licensed Material - Program Property of IBM
IBM DB2 Universal Database SQL and XQUERY Explain Tool

Section Code Page = 819
Estimated Cost = 8215343923200.000000
Estimated Cardinality = 0.805647
```

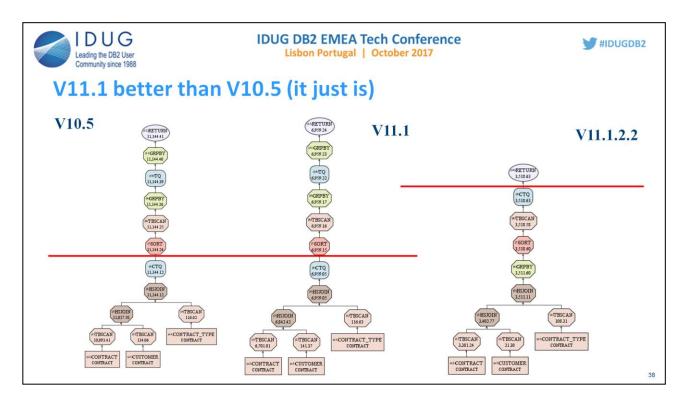
So we've upgraded to V11.1, rebound all the procs and this one (and I think it was the only one) goes from 4,500 timerons to 8.2 trillion. Needless to say, this did not represent a significant performance improvement

The problem actually lay, not with V11.1, but with the way the database was managed with this particular client. REORGs and RUNSTATS were done rarely and REBINDS never, once the "optimum" access path had been achieved. With an upgrade, of course, all packages needed to be rebound.



Q3: identical set of operations, V11.1 is cheaper

Note the CTQ boundary has not been moved above the SORT or GROUP BY functions, so V11.1 is still doing these operations more efficiently, even when the data has been returned to a row-based format



So, looking at each access path side by side: V10.5 compared to V11.1 compared to V11 FP 2



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Comparison across the workload

Query v11.1.1.1 FP2 % improvement

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Summary

- There are significant performance improvements to be had by upgrading to V11.1
 - Over 95% of code we have monitored has improved after the upgrade, with no changes to code or configuration: improvements were straight "out of the box"
- Most improvements to be had are in the column-organized data
 - Row-based should not suffer, unless your database is configured specifically for ANALYTICS
- Any performance degradation is liable to be due to 'time-bombs'
 - Record all stats, config settings and access paths before and after the upgrade, in order to help finger-pointing at the new release

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I'm still saying V11.1 because, although I've shared a few test results from our R&D server after upgrading to FP2, I haven't yet rolled FP2 out on any of our client sites

